



COMMONWEALTH of VIRGINIA

Department for the Aging

Linda L. Nablo, Commissioner

December 19, 2007

Honorable Members of the General Assembly
General Assembly Building
910 Capitol Square
Richmond, Virginia 23219

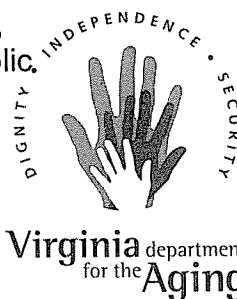
Honorable Members of the General Assembly:

Pursuant to § 2.2-712 of the Code of Virginia, this report on the status of the Virginia Public Guardian and Conservator Program is presented. The document includes a brief background of the program, an update on the expansion of this program through the use of Department of Mental Health Mental Retardation and Substance Abuses Services (DMHMRSAS) funds and a summary and final report of the 2007 program evaluation The Need for Public Guardians in the Commonwealth of Virginia: Final Report (Statewide Evaluation and Needs Assessment for Public Guardianship: Roberto, Duke, Brossoie and Teaster, Virginia Polytechnic Institute and State University, Center for Gerontology, May 2007). This report was authorized pursuant to Item # 301(B)(4) of the 2005 Appropriations Act. A preliminary report was submitted to the Virginia General Assembly in last year's annual report. Last, a status update to Guardianship Regulations, pursuant to § 2.2-712 (B)(3), Code of Virginia, is also presented.

Background

The Virginia Public Guardian and Conservator Program (VPGCP) was established by law in 1997 in §§ 2.2-711 *et seq.*, Code of Virginia. The Virginia Department for the Aging (VDA) administers the program with fifteen local programs, through a competitively negotiated contract process.

Public guardianship is the discharge of the commonly held precept that "government is, or ought to be, instituted for the common benefit, protection, and security of the people, nation, or community" (Constitution of Virginia, Article I, Section 3). Public guardianship is the appointment and responsibility of a public official or publicly funded entity that serves as a legal guardian for a person:



- who is incapacitated;
- who is indigent; and
- for whom there is no person willing and suitable to serve as guardian.

Once appointed by the circuit court, the guardian of last resort or public guardian usually serves for the life of the incapacitated adult.

The Virginia Public Guardian and Conservator Program (VPGCP) responds to the documented need for guardians of last resort and has evolved over the years. The VPGCP now has the capacity to protect more than 600 of the most vulnerable citizens of the Commonwealth; nearly a two hundred percent (200%) increase from 2005. The primary focus of the VPGCP is social/human services rather than legal services. By definition, the complexity of the cases referred to the public guardian programs requires a great deal of time as well as a thorough knowledge of the social services and health care systems.

Expansion of Public Guardian Program Through Partnership with the Department of Mental Health Mental Retardation and Substance Abuse Services (DMHMRSAS)

For fiscal years 2007 and 2008, the General Assembly invested significant resources, through DMHMRSAS, to provide guardianship services to individuals currently residing in, or at risk of placement, in a state mental retardation (MR) training center. DMHMRSAS, working collaboratively with VDA through a Memorandum of Agreement, added significant capacity to the existing public program. As a direct result of funding received from DMHMRSAS, capacity in the public program was increased to serve an additional 83 persons in state MR training centers, 129 individuals in the community at risk of placement in state MR facilities and current funding will allow guardianship services for an additional 40+ *at risk* persons with a MR diagnosis. As such, total program capacity increased from 368 persons in fiscal year 2006 to 620-plus persons for fiscal year 2008. A Request for Proposals (RFP) is currently pending that encourages the development of new public programs in un-served areas of the Commonwealth to serve the MR population.

2007 Study of the Virginia Public Guardian and Conservator Program – Final Report

In last year's annual report preliminary findings were presented on a Statewide Needs Assessment for public guardianship. This assessment, in conjunction with an evaluation of the programs was authorized pursuant to Item # 301(B)(4) of the 2005 Appropriations Act and § 2.2-712 (B)(9), Code of Virginia. In accordance with Code qualification requirements, in 2005 a study to assess the future need for public guardians in the Commonwealth was funded by the Virginia General Assembly and responsibility assigned to the Virginia Department for the Aging (VDA). VDA contracted with the Center for Gerontology at Virginia Polytechnic Institute and State University

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(Virginia Tech) to design and implement the study of public guardianship programs. Virginia Tech completed this assessment and distributed a Final Report in May, 2007. A summary follows below and a complete copy of the final report is attached (The Need for Public Guardians in the Commonwealth of Virginia: Final Report, Roberto, Duke, Brossoie and Teaster, Virginia Polytechnic Institute and State University, Center for Gerontology, May 2007, 92 pages). VDA is especially grateful to Virginia Tech for absorbing the additional cost associated with this study in excess of the \$18,000 allotted.

Final Report – Summary

Based on U.S. Census population estimates and projections and data on unmet need provided by a survey of 114 agency representatives (including 100% of the 15 current public guardian programs), there is an estimated need for 1,441 additional public guardians than are currently available through the Virginia Public Guardian and Conservator Program. By 2010, this number is expected to increase to 1,707; and by 2030 the estimated unmet need will be 2,170. These numbers are conservative in nature and represent only those impoverished persons in desperate need of state funded guardianship services.

Final Report – Findings

Individuals served through the public program range from 18 to 100 years of age. Of those receiving only guardianship services about one-third are older adults (aged 60 years and older). Of those receiving both guardian and conservator services more than two-thirds are older adults. 53% of individuals currently receiving services through the program are living with a combination of physical, cognitive and mental problems and over half are older females.

Respondents reported that over 1100 residents of the Commonwealth are currently on a waiting list for public guardian services. Of this number, 62% are older adults. The Final Report noted that there is an immediate current need as well as imminent future need for additional public guardians and conservators throughout the Commonwealth. Major impediments to adequately address these needs include the cost of the petitioning process (funding), and a lack of suitable persons available to serve indigent adults.

Guardianship Regulations

As reported to the General Assembly in last year's report, significant progress continues in promulgating regulations pursuant to § 2.2-712 (B)(3) of the Code of Virginia. After over 7 years in research and deliberations and with substantial assistance and input from the Virginia Public Guardian & Conservator Advisory Board (pursuant to §§ 2.2-2411 and 2.2-2412, Code of Virginia), VDA submitted Stage 3 of the Final Regulation to the Department of Planning and Budget (DPB) on December 1,

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2007. DPB completed its review on December 13, 2007 and forwarded the Final Regulation to Secretary Marilyn Tavenner as *recommended*. If approved by the Secretary and the Governor, VDA is on target to have a Final Regulation promulgated by second quarter 2008.

If you need any additional information, please contact Faye Cates, MSSW, Guardianship Program Specialist at 804-662-9310 or faye.cates@vda.virginia.gov.

Sincerely,

A handwritten signature in cursive script that reads "Linda Nablo". The signature is written in black ink and is positioned centrally below the word "Sincerely,".

Linda Nablo
Commissioner

**THE NEED FOR PUBLIC GUARDIANS
IN THE COMMONWEALTH OF VIRGINIA**

Final Report Submitted to the

**Commonwealth of Virginia
Department for the Aging**

May 15, 2007

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**FINAL REPORT:
THE NEED FOR PUBLIC GUARDIANS IN THE COMMONWEALTH OF VIRGINIA**

Executive Summary

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Center for Gerontology, Virginia Polytechnic Institute and State University,
and Pamela B. Teaster, Ph.D., University of Kentucky

Introduction

In 2005, a study to assess the future need for public guardians in the Commonwealth of Virginia was funded by the Virginia General Assembly and responsibility assigned to the Virginia Department for the Aging (VDA). VDA contracted with the Center for Gerontology at Virginia Polytechnic Institute and State University (Virginia Tech) to design and implement the study of public guardianship reported in this document.

Methodology

Multiple methods were used to gather data necessary to estimate the current need for public guardians and to predict future needs for such services throughout the Commonwealth. Extant data were used in analyses designed to predict/forecast future guardianship needs. The primary agencies surveyed to establish estimates of current and future (i.e., 2010) need for guardianship included all of the existing Public Guardianship and Conservator Programs (PGCPs), Community Services Board (CSB) directors, Adult Protective Services (APS) supervisors, and directors of social services for state hospitals (SH) and training centers (TC).

Findings

Based on U.S. Census population estimates and projections and data on unmet need provided by the 114 agency representatives responding to the survey, there is an estimated need for 1,441 more public guardianship slots than are available through the Public Guardianship and Conservatorship Program. Projections are made on the assumption that the current public guardianship service level will remain stable and that the unmet need for public guardians will continue to escalate. In 2010, the unmet need will be 1,707; by 2020 it will rise to 1,939; and by 2030 the unmet need will increase to 2,170 incapacitated persons.

Individuals served through public guardianships and conservatorships range from 18 to 100 years of age. Almost one-third of those with guardianships only are over the age of 60 while more than two-thirds of those with guardianship/conservatorships are older adults. Females over the age of 60 comprised more than half of the population currently being served. Individuals living with a combination of physical, cognitive, and mental problems made up 53% of the guardianship population.

Respondents reported that over 1100 residents of the Commonwealth were on a waiting list for public guardianship/conservatorship services with 62% of individuals on the waiting aging being 60 years of age and older. Persons typically remained on waiting lists six months or more. The most common reasons for the wait were problems finding a suitable guardian and court costs inherent in the legal process. Respondents noted that the cost of the petitioning process makes guardianship unavailable to many vulnerable adults who most need it, and that there is a chronic and unremitting lack of suitable persons available to serve as guardian when a person in need is also indigent. Occasionally, individuals are appointed who are not qualified and not able to perform the functions generally expected of someone who assumes the guardian role. When this happens it is not usually in the best interest of either the person appointed or the person who needs a guardian.

Conclusions

An immediate as well as future need for additional guardianship/conservatorship services exists in Commonwealth. As documented in previous studies in Virginia, the need for guardianship, the cost of the petitioning process, and a lack of suitable persons available to serve as guardian emerged as chronic problematic issues in providing guardianship services to vulnerable adults in need of such services

Recommendations

Pursuant to the terms of the contract with the Virginia Department for the Aging, the following recommendations are made based on our interpretation of the findings of the (a) projected needs analyses and (b) surveys completed by the respondents from the participating agencies.

- Fund individual programs to meet needs of the 1,441 individuals who are currently in need of guardianship/conservatorship services.
- Enhance funding steadily so that the individual programs can serve the projected increase in clients.
- Increase funding so that the public guardianship programs have statewide coverage.
- Create mechanisms in jurisdictions so that court costs for indigent clients can be waived or substantially reduced.
- Identify ways to cover the cost of the petitioning process for families who are willing to assume guardianship of indigent family members and are appropriate.
- Encourage agencies to keep a referral or waiting list as a means of starting to more systematically documenting the need for public guardianships/conservatorship services.

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**FINAL REPORT:
THE NEED FOR PUBLIC GUARDIANS IN THE COMMONWEALTH OF VIRGINIA**

Introduction

Guardianship is a relationship created by state law in which a court grants one person or entity (the guardian) the duty and power to make personal and/or property decisions for another (the ward or incapacitated person). The appointment of a guardian occurs when a judge decides that an adult lacks capacity to make decisions on his or her own behalf. Guardians are often family members or friends, and sometimes attorneys, corporate trustees, agencies, or even volunteers. For some at-risk, and often low-income people, there is no one to help them. These vulnerable people frequently fall through societal cracks, failing to receive needed services, falling victim to third party interests, and being inappropriately placed in facilities. Public guardianship, or guardianship of last resort, refers to the appointment and responsibility of a public official or publicly funded entity who serves as a legal guardian in the absence of willing and responsible family members and friends to serve, or without resources to employ, a private guardian.

Guardianship in Virginia. Since 1980, when the Virginia Department for the Aging (VDA) reported a need to find someone other than sheriffs to act as guardian of last resort for persons in need, several entities have undertaken efforts to assess and document the need for public guardians to serve the Commonwealth. Although the number for unmet need varied across studies, the message was clear – the citizens of Virginia need of guardians of last resort.

In response to the documented need for guardians of last resort, the Virginia Public Guardian and Conservator Programs (PGCP) were established by law in 1997 in § 2.1-373.10 - § 2.1-373.14 of the Virginia Code. The local programs, chosen through a request for proposal (RFP) process, are administered by the Virginia Department for the Aging (VDA). As of July 2006, the Virginia General Assembly, under the auspice of the VDA, funds 15 public guardian and conservator programs. The programs are located throughout the Commonwealth and have an overall capacity to serve 368 persons in need of a public guardian or conservator.

In 2005, a study to assess the future need for public guardians in the Commonwealth of Virginia was funded by the Virginia General Assembly and responsibility assigned to the Virginia Department for the Aging (VDA). The VDA contracted with the Center for Gerontology at Virginia Polytechnic Institute and State University (Virginia Tech) to design and implement the study of public guardianship reported in this document. Project staff included the Principle Investigator and Director of the Center, Karen A. Roberto; Joy O. Duke, Project Coordinator; and Nancy Brossoie, Data Manager and Analyst. Pamela B. Teaster, Associate Professor at the University of Kentucky, served as the Project Consultant.

Location of Public Guardian and Conservator Programs. The 15 PGCP programs are located throughout the Commonwealth and provide services across a variety of rural and urban settings. However, of the 134 localities in Virginia (95 counties & 39 independent cities), almost one-half (48%) are not currently being served by a PGCP. Counties (52%) and independent cities (38%)

without PGCPs are listed in Appendix A, Table A and Table B, respectively. Using the Virginia's Community Service Board designation of population density, the distribution of PGCPs across Virginia's rural and urban areas is presented in Appendix A, Table C. The majority of programs serve the residents of rural areas.

A closer look at PGCP locations suggests that programs are unevenly distributed across Planning Districts and Health Planning Regions, thus potentially limiting advocacy for the rights and services of vulnerable adults (See Appendix A, Table D). Across the five Health Planning Regions (HPR), three PGCPs provide services for multiple districts. Nine Planning Districts do not have any PGCPs in their jurisdiction.

Methodology

We used multiple methods to gather data necessary to estimate the current need for public guardians and predict future needs for such services throughout the Commonwealth. As described below, extant data were used in analyses designed to predict/forecast future guardianship needs. New data were collected from key organizations throughout the Commonwealth to assess perceptions of current and future needs for public guardians. This project was reviewed and approved by the Virginia Tech Institutional Review Board for research involving human subjects.

Extant Data Sources. Census data, agency data, and other published reports (e.g., JLACR, 2006, *Impact of an Aging Population on State Agencies*) were reviewed to obtain an estimate of population growth for the Commonwealth of Virginia. Based on these multiple data sources, projection of the need for public guardians and conservators were made for 2010, 2020, and 2030.

New Data Sources. Data for the needs assessment were obtained from individuals representing multiple organizations. The primary agencies surveyed to establish estimates of current and future (i.e., 2010) need for guardianship included all of the existing Public Guardianship and Conservator Programs (PGCPs), Community Services Board (CSB) directors, Adult Protective Services (APS) supervisors, and directors of social services for state hospitals (SH) and training centers (TC). The entities other than the PGCPs (i.e., CSBs, APS, SHs, TCs) were selected because they were determined by the research team and were reflected in prior studies (Schmidt, Miller, Bell, & New, 1981) to be key stakeholders in the guardianship process.

Prior to commencing the assessment of need, the participating organizations and key individuals associated with the organizations were contacted for their input and support of the study. This was done so that we could follow organizational protocols regarding access to information and to help secure a high response rate from participants. Persons who provided comments on the survey instruments included, Dorothy Salomonsky with the Public Guardian Program located with Jewish Family Services of Hampton Roads, Thom Butcher of Richmond City Department of Social Services and Wayman Trent of Accomack County Department of Social Services, Brinda Fowlkes of Piedmont Geriatric Hospital in Burkville, Denise Forbes at the Central

Virginia Training Center in Lynchburg, and Debbie Burcham at Henrico County Mental Health and Retardation Services.

As a result of a 1996 change in requirements for completing Medicaid applications, persons entering and residing in nursing homes and adult care residences must have a legal power of attorney or a guardian. The impact of this change on the public guardianship system is not well documented. Thus, secondary sources of data were nursing homes (NH) and assisted living facilities (ALF). Beverley Soble of the Virginia Health Care Association identified and solicited the help of Richard Shelly of Ashland Convalescent Center in Ashland, Greg Mitchell of Royal Care Senior Living in Staunton, and Shirley Hrebic of Orange County Nursing Home to pilot-test and provide feedback about the data instrument for these entities. Given the large number of such facilities, a stratified random sampling procedure was used to select facilities in each of Virginia's planning districts, representing both large and small, and for-profit and not-for-profit facilities.

Procedure. Study information and surveys were distributed to local program staff members by a state-level administrator of the primary organizations. Janet Brown James, Esq., Guardianship Coordinator and Legal Services Developer at VDA, distributed the survey to each of the PGCPs; Gail Nardi, AS/APS Program Manager distributed the survey to all local APS agencies; Mary Ann Beageron, Virginia Association of Community Service Boards, distributed the survey forms to the CSBs; and Lee Price and Rosemarie Bonacum of the Department of Mental Health Mental Retardation and Substance Abuse Services (DMHMRSAS) distributed survey forms to the state hospitals and training centers. Each local informant received an e-mail message from the administrator in October 2006 encouraging their participation, along with an information/direction sheet, and a copy of the survey. Respondents were asked to return their surveys via e-mail, fax, or postal mail. Upon receipt, completed surveys were reviewed by the project staff. The data manager followed up with individual respondents when expected data were not reported or if there was a need to clarify responses. In addition, approximately two weeks after surveys were sent, the project coordinator made follow-up telephone reminder calls to individuals who had yet to return the survey. Agencies who returned incomplete surveys were also contacted and asked to clarify or provide missing information.

Also in October 2006, study information and surveys were sent by postal mail to the attention of selected NH and ALF administrators. The names of NHs and ALFs were created by accessing agency listings provided through websites operated by the Virginia Department of Social Services, Virginia Health Care Association, Virginia Health Quality Center, and Virginia Association of Nonprofit Homes for the Aging. Once identified, the 242 NHs and 564 ALFs were grouped according to planning district. To ensure statewide representation, 20% of the agencies within each planning district were randomly selected for participation. A review of the sample selection indicated that the agencies satisfactorily represented small and large operations across the state.

A preaddressed, postage-paid envelope was included in the packet sent to NH and ALFs for ease of return. Multiple attempts were made to follow up with NHs and ALFs that either did not return the survey or whose information was incomplete.

Survey Instrument. A brief survey instrument was designed specifically for this project. Although the same basic information was collected from all entities participating in the assessment, each survey was tailored to the specific organization. We found this to be an effective data collection strategy for the 1997 assessment of need for public guardianship (Teaster & Roberto, 1997) as well as for similar types of projects conducted by the Center.

The survey instrument was comprised of open-ended and structured-choice responses that required respondents to provide information such as: (a) total number of clients currently being served; (b) number of clients served by the agency known to have a public or private guardian; (c) number of guardianship cases initiated by the agency in 2004 and 2005; (d) age, sex, and physical/mental health status of clients with guardians; (e) number of clients agency is currently serving who do not have, but need a guardian; and (f) projected number of guardians needed for clients the agency anticipates serving in 2010. The instructions and wording of items for the various surveys were designed to eliminate, as much as possible, the potential for duplication of cases. As noted below, the response to the survey varied by organization.

1. Public Guardianship and Conservator Programs (PGCP)

The survey was sent to all 15 PGCPs; however, two of the programs were new as of July 2006 and were not yet serving clients. The 13 fully-operating PGCPs returned the surveys for a response rate of 100%. The survey instrument for the PGCPs is in Appendix B.

2. Adult Protective Services (APS)

Surveys were sent to all 121 local APS programs; 60 surveys were returned for a response rate of 50%. The survey instrument for APS is in Appendix C.

3. Community Services Boards (CSB)

Thirty-four of the 40 CSBs returned the survey for a response rate of 85%. See Appendix D for the CSB survey instrument.

4. State Hospitals (SH)

Five out of the 17 state hospitals returned the survey for a response rate of 29%. The survey instrument for the state hospitals is in Appendix E.

5. Training Centers (TC)

The survey was sent to the five training centers located throughout Virginia; 2 surveys were returned for a response rate of 40%. See Appendix F for the training center survey instrument.

6. Nursing Homes (NH)

Surveys were sent to a stratified random sample of 47 nursing homes. Sixteen returned the survey for a response rate of 34%. The survey instrument for the nursing homes is in Appendix G.

7. Assisted Living Facilities (ALF)

Only 12 of the 110 ALFs selected to participate in this assessment returned the survey for a response rate of 10.9%. The survey instrument for the ALFs is in Appendix H.

Survey Directions. A copy of the directions and example form sent with each survey to all of the agencies and entities is provided in Appendix I.

Data Analyses. We used Excel, a spreadsheet program, for calculating the estimates and projections of need, for tracking responses, and for organizing the narrative data. This program allowed us to report the data both quantitatively and qualitatively and to generate charts and tables. Data were analyzed using SPSS (Statistical Package for the Social Sciences) 14.0.2 (2006).

Limitations. Formulas for forecasting or projecting need are built on a number of assumptions. Although we have systematically outlined the assumptions used in the analyses, caution is warranted when interpreting and extrapolating the findings.

We used a conservative approach in making all projections. Assumptions included that the same percent of people 18 years of age and older will need public guardianship services in the future as are needed today; the same social service programs would be available in the future at 2005 level of service; no new guardianship programs would be implemented and no currently existing programs would be discontinued, institutionalization rates would remain at 2005 state levels; and the process by which an individual becomes a guardian or conservator would remain the 2005 criteria. If any of the previous assumptions were to change, the demand for guardianship would likely be altered.

Because baseline data on the unmet need for guardianships/conservatorships has not been collected consistently across agencies, we used a ratio-correlation method to project future needs. Specifically, by multiplying expected population growth (U.S. Census Bureau estimates) by the responses provided, a projection of need is calculated. A limitation of using this method is that it assumes steady change over time and underestimates the characteristics of fast growing populations (Chu, 1974).

Although we had each of the survey instruments reviewed by key persons affiliated with each organization and pilot-tested the instruments with representative respondents, the needs assessment data is not without its limitations. First, records were often not available for the questions asked. Providing data requested often entailed a substantial amount of time to manually review case files. Some organizations complied graciously with our requests, while others either did not complete the survey at all or completed the survey from memory, thus possibly under or over reporting the information requested.

Related to the above limitation, there are concerns related to the person who actually completed the survey. With self-reported organizational surveys, there is a lack of control or direct oversight regarding who provides the data. In some instances, an upper-level staff person provided the information. Such data can presumably have a high level of accuracy due to the individual's experience and institutional knowledge. In other organizations, supervisors sent the survey instrument to specific workers who may or may not have sufficient knowledge of the agency's cases beyond those for which they were responsible.

Some APS cases may not have been counted because agencies vary in their handling of annual guardian reports. Some agencies open APS cases on each case. Others handle guardianship, not as a part of APS, but as a separate category of cases. Because the survey asked about guardianships on APS caseloads, some may not have been counted.

Although the survey instruments were designed to limit duplicated counts of clients, some overlap of clients across organizations may have occurred. Similarly, although individual agencies were asked for an unduplicated count of their specific clients, it is quite possible that overlap within the parent organization occurred.

Finally, organizations not responding to the request for information will cause the numbers of persons with the need for public guardianship to be lower than would be the case had they responded. Although it is not sound practice to extrapolate the findings beyond the survey data collected, it is appropriate to note that the numbers reported are very conservative estimates of the future need for public guardianship.

Findings

The findings of this study are based on data from the 114 surveys returned from the primary sample (i.e., PGCP, APS, CSB, SH, TC). First, we provide projected estimates of the need for public guardianships for persons expected to be residing in the Commonwealth of Virginia in 2010, 2020, and 2030 remaining constant with standards of federal and state population projections. Second, we present information about the characteristics of individuals served by the public guardian and conservatorship programs. In the final section, we present data describing wait lists for guardianship and what happens when guardians are not available.

I. The Need for Public Guardians

Organizations' Estimates of Current Unmet Need. To estimate current unmet need, respondents were instructed to consider their waiting list for public guardians/conservators in their local program as of October 1, 2006, and their knowledge of their service area. Unmet need was defined for the respondents as "means persons alleged to meet legal criteria for incapacity but who have not yet been formally adjudicated as legally incapacitated."

Respondents' overall estimates of current unmet need ranged from 0 to 675. As shown in Table 1, the estimated need for conservatorships only was much less than either the need for guardianship only or combined guardianship and conservatorships. Estimates provided by the individual organizations (i.e., PGCP, APS, CSB, SH, TC) are provided in Appendix J.

Table 1. Organizations' Estimates of Current Unmet Need for Guardianship/Conservatorship

Estimated Need	Guardianship Only	Conservatorship Only	Both Guardianship and Conservatorship
0	88	104	76
1-25	22	9	31
26-50	2	0	1
51-75	0	0	2
76-100	0	0	2
> 100	2	1	2

Note: Data were derived by combining responses to questions about the unmet needs of persons under age 60 and persons over age 60. The total number in each category is equal to twice the number of respondents.

Organizations' Predictions of Future Needs. Respondents were asked to take into consideration their local program's caseload since 2000 in order to project number of persons needing a public guardian/conservator in their service area at the end of the decade (2010). Overall projections of future need range from 0 to 1,750. Similar to estimates of current need, projections for the need for conservatorships only were much lower than either the need for guardianship only or combined guardianship and conservatorships. Projections made by the individual organizations (i.e., PGCP, APS, CSB, SH, TC) are provided in Appendix K.

Table 2. Organizations’ Predictions of Future Needs of Guardianships/Conservatorships

Predicted Need	Guardianship Only	Conservatorship Only	Both Guardianship and Conservatorship
0	45	77	34
1-25	39	32	50
26-50	13	3	10
51-75	2	0	4
76-100	4	0	6
> 100	11	2	10

Note: Data were derived by combining responses to questions about the unmet needs of persons under age 60 and persons over age 60. The total number in each category is equal to twice the number of respondents.

Current Estimates and Future Projections. Based on U.S. Census population estimates and projections and data on unmet need provided by 114 individuals from the different agencies responding to the survey (Public Guardianship Programs, Community Service Boards, Adult Protective Services, State Hospitals, and Training Centers), currently, there is an estimated need for 1441 more public guardianship slots than are currently available through the PGCPs (Table 3). Projections are made on the assumption that the current service level will remain stable and that unmet need for public guardians will continue to escalate. In 2010, the unmet need will be 1707; by 2020 it will rise to 1939, and by 2030 the unmet need will increase to 2170.

The estimates and projections were calculated based on the following assumptions:

Assumptions of population estimates and projections for adults 18+ years of age

- 2005 population estimate based on US Census Bureau, 2005 American Community Survey, Virginia estimate within +/-0.1 margin of error
- US Census Bureau, Interim State Population Projections, 2005
 - rate of growth 2000-2010 = 14.7897%
 - rate of growth 2000-2020 = 27.6622%
 - rate of growth 2000-2030 = 40.5332%

Assumptions about service availability

- *the same percent of people 18+ will need services in 2030 as they do in 2005
- *availability of social services will remain at 2005 level despite changes in resources and revenues
- *no new statewide guardianship programs will be implemented
- *institutionalization in state facilities remains at 2005 levels
- *requirements and process for becoming a guardian or conservator remain at 2005 criteria

Table 3. Projected Unmet Need for Guardians, Conservators, & Guardians/Conservators Based on Information about Current Unmet Needs

Public Guardian slots remain at 2005 level

	population age 18+ (from census)	age 18+ unmet need (from survey)	current service level (from providers)	projected unmet need
2005	5,521,454	1,803	362	1,441
2010	6,338,060	2,069	362	1,707
2020	7,048,809	2,301	362	1,939
2030	7,759,475	2,532	362	2,170

Based on the same assumptions listed on the previous page, we also calculated projections for the future need using the respondents' projected need for guardianships in 2010. Respondents were asked to take into consideration their local program's caseload since 2000 in order to project number of persons needing a public guardian/conservator in their service area at the end of the decade (2010). As shown in Table 4, these projections are significantly higher than those based on current need estimates.

Table 4. Projected Unmet Needs for Guardians, Conservators, & Guardians/Conservators Based on Projections for 2010 Provided by Primary Respondent Groups

Public Guardian slots remain at 2005 level

	population age 18+ (from census)	age 18+ unmet need (from survey)	current service level (from providers)	projected unmet need
2005	5,521,454	1,803	362	1,441
2010	6,338,060	9,630	362	9,268
2020	7,048,809	10,689	362	10,327
2030	7,759,475	11,758	362	11,396

Note: For estimates and projections of unmet need in each Planning District see Appendix L.

II. Public Guardianship/Conservatorship Client Characteristics

In this section we present information about individuals served by the public guardianship programs. Unless otherwise indicated, the aggregate data were derived from the responses of the primary respondents to the survey (i.e., PGCP, APS, CSB, SH, TC; n = 114).

Number of New Guardianships in 2004 and 2005. The PGCPs served a total of 34 new clients in 2004 and 50 in 2005. As shown in Table 5, in the majority of cases, the PGCP served as guardian only; no new cases needing a conservator only were initiated during this time period.

Table 5. Number of New Clients Served by the PGCPs in 2004 and 2005

Guardianship Only		Conservatorship Only		Both Guardianship and Conservatorship	
2004	2005	2004	2005	2004	2005
24	42	0	0	10	8

Respondents from the other organizations were asked to provide a numeric estimate of the number of guardianships and conservatorships that they initiated (serve as petitioner) for their clients in 2004 and 2005 (See Table 6). In addition to the use of the public guardianship programs, the large number of privately assumed cases of guardianship/conservatorship is noteworthy. Aggregate responses from the individual organizations (i.e. APS, CSB, SH, TC) are provided in Appendix M.

Table 6. Number of Guardianships/Conservatorships Petitioned for by Organizations other than the PGCPs

	Guardianship Only		Conservatorship Only		Guardianship and Conservatorship	
	2004	2005	2004	2005	2004	2005
Commonwealth's Public Guardian and Conservator Program	17	33	0	1	29	40
Other Public Programs/Sources (e.g., volunteer programs operated through local DSS or AAA)	5	7	0	1	19	18
Private Programs and Sources (including family/friend, attorney).	152	165	3	8	171	239

Characteristics of Persons with Public Guardianships/Conservatorships. Individuals served through public guardianships and conservatorships range from 18 to 100 years of age. Almost one-third of those with guardianships only were over the age of 60, while more than two-thirds of those with guardianship/conservatorships were older adults. Females over the age of 60 comprised more than half of the population currently being served. Individuals living with a combination of physical, cognitive, and mental problems made up 53% of the guardianship population. Characteristics of persons with guardianship/conservatorships served by the individual organizations (i.e., PGCP, APS, CSB, SH, TC) are provided in Appendix N.

Table 7. Characteristics of Persons with Public Guardianships/Conservatorships

Clients/Consumers	G-ship Only	% of Total	C-ship Only	% of Total	G-ship & C-ship	% of Total	Total
Total Number	517	49%	28	3%	504	49%	1049
Age Range	18-100		18-100		18-99		
Number age 60+	151	31%	9	2%	331	67%	491
Number of females	240	45%	12		283	53%	535
Number of females, age 60+	83	26%	5	2%	230	72%	318
Number with physical health problems	93	54%	2	1%	77	45%	172
Number with cognitive problems	73	46%	4	3%	81	51%	158
Number with mental health problems	89	63%	8	6%	45	32%	142
Number with combination physical, cognitive, and mental health problems	238	45%	15	3%	280	53%	533

III. Unmet Need for Guardians

In this section we present information about the wait lists and the time it takes to find appropriate guardians and conservators. During follow up telephone conversations with the organizations, it was noted that some agencies report no formal waiting list because there is no program or other guardianship source for which the person can wait. Agencies generally handle this problem by continuing to keep an open case and providing whatever services are appropriate with hope but without expectation that a person to serve as guardian will be found.

Waiting Lists for Public Guardianships and Conservatorships. Respondents reported that over 1100 residents of the Commonwealth were on a waiting list for guardianship/conservatorship services. Guardianship only services were sought for 69% of the clients, with 55% of these clients being under the age of 60. Information on clients currently on a waiting list provided by the individual organizations (i.e., APS, CSB, SH, TC) are shown in Appendix O.

Table 8. Waiting Lists for Public Guardianships and Conservatorships

Clients/ Consumers	Guardianship Only	% of Total	Conservatorship Only	% of Total	Guardianship/ Conservatorship	% of Total	Total Number
# currently on waiting List	781	69%	81	7%	268	24%	1130
# under age 60 currently on waiting list	234	55%	67	16%	123	29%	424

Agency respondents also indicated how long they perceived the average time clients are on a waiting list and the reasons why the wait occurred. Results indicate the wait lasts six months or more. The most common reasons for the wait were problems finding a suitable guardian and court costs inherent in the legal process. The length of time individuals are generally on a wait list and the reason for their wait is identified by organization in Appendix P.

Table 9. Length of Time Waiting and Why Clients are Wait Listed for Public Guardianships and Conservators

	Guardianship Only	Conservatorship Only	Guardianship/ Conservatorship	Total	% of Total
Average wait in months	6 months or more	6 months or more	6 months or more		
<i>Why on wait list?</i>					
Suitable Guardian	49	26	44	119	58%
Court Cost	26	7	14	47	23%
Court Calendar	8	3	4	15	7%
Other	11	3	11	25	12%

When Public Guardians Are Not Available. Respondents were asked to comment on what happens to people who have an unmet need for a guardian/conservator and never have one appointed. Two predominate themes emerged from the data, regardless of type of agency offering the comments: (a) the cost of the petitioning process makes guardianship unavailable to many vulnerable adults who most need it; and (b) there is a chronic and unremitting lack of suitable persons who are available to serve as guardian when the person in need is also indigent. Both of these themes are well-supported in a recent national study of public guardianship (Teaster, Wood, Karp, Lawrence, Schmidt, & Mendiondo, 2005).

In many instances, court costs for individuals who are low-income are either waived or substantially reduced. Also, if the cases do not come before the courts, then other savings can not be realized. In earlier studies of guardianship (Schmidt, Teaster, Abramson, Alameda, 1997; Teaster & Roberto, 2003), it was proven that public guardianship creates a cost savings to the state, in the latter study, with savings in the millions per year. Following Virginia's lead, the same savings are now documented in other states (e.g., Vera Institute, New York), and at commensurate savings. Comments from one respondent highlight the cost issue and reinforces the vulnerability of the persons needed a public guardian:

Money is critical in getting guardianships for individuals needing them. Most people needing a guardian have no resources to pay for the process. (CSB Respondent)

Respondents consistently remarked about the difficult in finding suitable guardians for their clients/consumers, particularly when there was not a public program in their catchment area or when there was a waiting list for the public program.

The majority of our clients remain "at risk" too long due to the difficulty of finding a guardian/conservator for them. (APS Respondent)

We have one individual that has been in a state psych hospital since 2000 and waiting for guardianship in order to go to a nursing home. We have numerous

clients [who] reside in ALFs [who] have not friends or family and are unable to consent to medical or dental or psych services. Even though we have this [public guardianship] program now, we are limited to serving 15 people in a very large catchment area. (CSB Respondent)

A related issue raised was that occasionally there is an appointment of an individual who is not qualified and not able to perform the functions generally expected of a person who assumes the guardian role. When this happens, it is not usually in the best interest of either the person appointed or the person who needs a guardian. The following comment exemplifies this problem.

Complicating the guardianship issue is the appropriateness of guardians. Many times, a friend or relative steps forward. The guardian ad litem recommends approval of the candidate. Before APS knows it, these persons are appointed and clearly have no idea of the scope and magnitude of their commitment. . . . Moreover, some of them are frail and completely unable to execute duties. . . . They seem unable to understand what is being asked and can sometimes be unable to even visit the respondent. Convincing them to allow for a substitute guardian is resisted, as they often feel that their performance is a failure or that as a friend/relative, they cannot give up. (APS Respondent)

IV. Additional Data

Projections by Public Guardian and Conservator Programs (PGCP)

The current PGCPs projected unmet needs by 2010 for their service area. The projected number of slots required ranged from 0-450. Appendix Q, Tables A-F show projected slots needed based on age (adults under age 60 and adults over age 60) and service type (guardianship only, conservatorship only, guardianship and conservatorship).

Sheriffs as Guardians

Prior to 1997 sheriffs were authorized to serve as guardian of last resort. We contacted John Jones, Executive Director of the Virginia Sheriffs' Association, to identify any local sheriff offices still serving in this capacity. He sent an e-mail message to all the sheriffs requesting that they contact the Project Coordinator if they had any guardianship cases. Eight sheriffs, located in the following areas responded: Rockbridge County, Norfolk, Page County, Bedford County, Winchester, Virginia Beach, Buena Vista, and Hampton.

Following up with the eight sheriffs who responded to Mr. Jones' request, we learned that three of the eight do not currently serve as guardian to any person -- Rockbridge County, Norfolk, and Winchester. In Rockbridge County, the sheriff reported that all of his cases were transferred to Sally Goodfellow of the Rockbridge Area Community Services Public Guardian Partnership. A spokesperson for the Norfolk sheriff reports that the sheriff has no guardianship cases at this time. The sheriff in Winchester reports no current guardianship cases, his last ward having died two weeks prior to the receipt of our survey. The Winchester sheriff did not object to serving as guardian and was willing to accept cases in the future if his services were needed.

We received information from three sheriffs located in Hampton, Virginia Beach, and Page County. They serve a total of eight wards with six of the eight being served by the Virginia Beach sheriff. The Virginia Beach Sheriff's Office indicated that future cases would be referred to the Public Guardian Program. In every case, while the Sheriff is the guardian of record, the day-to-day activities of the guardian are delegated to another person in the sheriff's office.

Conclusions

An immediate as well as future need for additional guardianship services exists in Commonwealth. The overall estimates of current unmet need ranged from 0 to 675, with the greatest need being for combined guardianship and conservatorships. The respondents' projection for the number of persons needing public guardianship services at the end of the decade (2010) range from 0 to 1,750. Similar to estimates of current need, the greatest need was projected for combined guardianship and conservatorships services.

Although the numbers of unmet need illuminate the need for additional guardianship services to serve current and future citizens of Virginia, the numbers of unmet need documented in this survey are considerably less than estimates and projections reported in prior studies (Teaster & Roberto, 2002; Virginia Department for the Aging, 1980; Virginia Department for Social Services). One reason for this difference is that we made a concerted effort to reduce duplication of client reports between agencies (i.e., two agencies such as APS and CSBs reporting on same person). In addition, because of the lack of adequate and available baseline data, we used a conservative approach when calculating estimates and projections. When respondents' projections or "guesstimates" of need for 2010 were used in the equation, the unmet need for guardianship/conservatorship services increased substantially.

Based on available data, we conclude with confidence that there is an immediate as well as future need for additional guardianship/conservatorship services in Commonwealth. As documented in the most recent study in Virginia (Teaster & Roberto, 2002), the need for guardianship, the cost of the petitioning process, and the lack of suitable persons available to serve as guardian emerged as chronic problematic issues in providing guardianship services to vulnerable adults in need of such services.

Public guardianship, as with private guardianship, is intended to be of last resort and is a function of the state under the doctrine of *parens patriae* (father of the country), which provides public guardians for situations in which family members are inappropriate, individuals are indigent, or persons are labeled *social deviants* and are in need of therapeutic intervention by the state (Schmidt, 1995). The aging of the population, including the increase in the numbers of persons 85 years of age and older, along with the rise in persons with mental retardation or other developmental disabilities who are outliving their parents, and persons with AIDS comprise populations that also may require guardianship. Because of these increasing numbers, as well as the complexity of making decisions for individuals in these groups, it is imperative that Virginia fiscally supports public guardianship services so that persons throughout the Commonwealth may be free from neglect, exploitation, and abuse and have a maximized quality of life.

Recommendations for State Planning

Pursuant to the terms of the contract, the following recommendations are based on our interpretation of the findings of the (a) projected needs analyses and (b) surveys completed by the participating organizations.

- Fund individual programs to meet needs of the 1441 individuals who are currently in need of guardianship/conservatorship services.
- Enhance funding steadily so that the individual programs can serve the projected increase in clients.
- Increase funding so that the public guardianship programs have statewide coverage.
- Create mechanisms in jurisdictions so that court costs for indigent clients can be waived or substantially reduced.
- Identify ways to cover the cost of the petitioning process for families who are willing to assume guardianship of indigent family members and are appropriate.
- Encourage agencies to keep a referral or waiting list as a means of starting to more systematically documenting the need for public guardianships/conservatorship services.

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Appendix A
Location of Public Guardianship Conservatorship Programs

Table A. List of Counties in Virginia with No Public Guardianship and Conservator Program Available to Residents

Counties			
Amherst	Dinwiddie	Loudon	Prince William
Appomattox	Essex	Lunenburg	Rappahannock
Amelia	Frederick	Mecklenburg	Richmond
Augusta	Gloucester	Middlesex	Rockingham
Brunswick	Goochland	New Kent	Russell
Buckingham	Greensville	Northumberland	Shenandoah
Campbell	Halifax	Nottoway	Surry
Charles City	Henry	Page	Sussex
Charlotte	Highland	Patrick	Warren
Chesterfield	King and Queen	Pittsylvania	Westmoreland
Clarke	King William	Powhatan	
Cumberland	Lancaster	Prince Edward	
Dickenson	Lee	Prince George	

Table B. List of Independent Cities in Virginia with No Public Guardianship and Conservator Program Available to Residents

Independent Cities			
Colonial Heights	Hopewell	Martinsville	Staunton
Danville	Lynchburg	Norton	Waynesboro
Emporia	Manassas	Petersburg	Winchester
Harrisonburg	Manassas Park	Poquoson	

Table C. Location of Public Guardianship Program by Planning District (PD)

PD	Rural	Urban
1	x	
2	x	
3	x	
4	x	
5	x	
8		x
15	x	
16	x	
23		x

Table D. Public Guardianship and Conservator Programs (PGCP) per Health Planning Region (HPR) and Planning District (PD)

HPR	PD	# PGCP
1	6	Shared with PD 5
	7	0
	9	Shared with PD16
	10	0
	16	1
2	8	1
3	1	1
	2	1
	3	1
	4	1
	5	1
	11	0
	12	0
4	13	0
	14	0
	15	3
	19	0
5	17	0
	18	0
	22	Shared with PD 23
	23	3

Appendix B

Public Guardianship and Conservator Programs (PGCP) Survey

Center for Gerontology at Virginia Tech

PUBLIC GUARDIANSHIP AND CONSERVATOR PROGRAMS SURVEY

If you have questions about any of the survey items, please contact the Project Coordinator, Joy Duke, at joyduke@msn.com or by telephone at 804-261-4046.

Please return your completed survey by **OCTOBER 20, 2006** to the Center for Gerontology by either e-mail attachment: gero@vt.edu, fax: 540-231-7157, or conventional mail: Center for Gerontology (0426), 237 Wallace Hall, Virginia Tech, Blacksburg, VA 24060.

Program Name:

Service Area:

Mailing Address:

Contact Person:

	Name	Title		Phone Number/Email
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1. Public Guardian Program capacity: _____

a. Is your program currently at capacity? Yes No

b. Date at which your program reached capacity: Month Year

c. Number of persons on waiting list for a Public Guardian and/or Conservator: _____

2. Number of new cases initiated in each calendar year:

	Guardianship Only	Conservatorship Only	Both Guardianship and Conservatorship
2004			
2005			

3. As of October 1, 2006, please provide the following (to the best of your knowledge):

	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
A. Number of clients being served			
B. Age range of clients enrolled (e.g., 45-75)			
C. Number of clients age 60+			
D. Number of female clients			
E. Number of female clients age 60+			

For items 3F and 3G, numbers in each column need to equal total number of clients being served as reported for item 3A

	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
F. Number of clients with			
a. Physical health problems only	_____	_____	_____
b. Cognitive problems only (e.g., dementia, MR)	_____	_____	_____
c. Mental health problems only	_____	_____	_____
d. Combination of physical, cognitive, and mental health problems	_____	_____	_____
e. Other (<i>Specify</i>):	_____	_____	_____
G. Number of sources of referral for clients			
a. APS/Adult Services	_____	_____	_____
b. Private Social Service Agency	_____	_____	_____
c. Area Agency on Aging	_____	_____	_____
d. Jail/Prison/Police/Sheriff	_____	_____	_____
e. Community Service Board	_____	_____	_____
f. State Hospital	_____	_____	_____
g. Other MH Facilities/Clinics	_____	_____	_____
h. State LTC Ombudsman	_____	_____	_____
i. Local LTC Ombudsman	_____	_____	_____
j. Attorney	_____	_____	_____
k. Legal Aid	_____	_____	_____
l. Nursing Home	_____	_____	_____
m. Assistive Living Facility	_____	_____	_____
n. Adult Day Services	_____	_____	_____
o. Hospital	_____	_____	_____
p. Family/Friend	_____	_____	_____
Other (<i>Specify</i>):	_____	_____	_____

4. Considering your waiting list and knowledge of your service area, please provide a numeric estimate of **unmet need** as of **October 1, 2006**. (*“Unmet need” means persons alleged to meet legal criteria for incapacity but who have not yet been formally adjudicated as legally incapacitate*). Essentially, we are asking for your “best” estimate.

Unmet Need	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
Persons under age 60			
Persons age 60+			

What happens to people who have an unmet need for a guardian and never have a guardian appointed?

5. Based on your caseload since 2000, please project the number of persons needing a Public Guardian in your service area at the end of the decade (2010):

Future Need	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
Persons under age 60			
Persons age 60+			

Additional Comments about the need for Public Guardianship in the Commonwealth of Virginia:

Thank you for completing this survey!

Appendix C

Adult Protective Services (APS) Survey

Center for Gerontology at Virginia Tech

ADULT PROTECTIVE SERVICES PROGRAM SURVEY

If you have questions about any of the survey items, please contact the Project Coordinator, Joy Duke, at joyduke@msn.com or by telephone at 804-261-4046.

Please return your completed survey by **OCTOBER 30, 2006** to the Center for Gerontology by either e-mail attachment: gero@vt.edu, fax: 540-231-7157, or conventional mail: Center for Gerontology (0426), 237 Wallace Hall, Virginia Tech, Blacksburg, VA 24060.

Program Name:

Service Area:

Mailing Address:

Contact Person:

Name	Title	Phone Number/Email
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1. Please provide a numeric estimate of the number of guardianships/conservatorships for APS clients initiated (serve as petitioner) in each calendar year according to who was appointed to serve as guardian/conservator.

	Guardianship Only		Conservatorship Only		Both Guardianship and Conservatorship	
	2004	2005	2004	2005	2004	2005
Commonwealth's Public Guardian and Conservator Program						
Other Public Programs/Sources (e.g., volunteer programs operated through local DSS or AAA)						
Private Programs and Sources (including family/friend, attorney). <u>Please specify</u>						

2. On October 1, 2006, what was the total number of clients being served by your local APS program? _____

**RESPOND TO THE FOLLOWING SET OF QUESTIONS FOR
"OTHER PUBLIC PROGRAMS AND/SOURCES" ONLY**

3. As of October 1, 2006, please provide the following (to the best of your knowledge):

	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
A. Number of active APS clients			
B. Age range of active APS clients			
C. Number of active APS clients age 60+			
D. Number of female active APS clients			
E. Number of female active APS clients age 60+			
For items 3F and 3G, numbers in each column need to equal total number of clients being served as reported for item 3A			
F. Number of active APS clients with:			
a. Physical health problems only	_____	_____	_____
b. Cognitive problems only	_____	_____	_____
c. Mental health problems only	_____	_____	_____
d. Combination of physical, cognitive, and mental health problems	_____	_____	_____
e. Other (<i>Specify</i>):	_____	_____	_____
G. Number of active APS clients who need a guardian/conservator to help protect them <i>primarily</i> from			
a. financial exploitation	_____	_____	_____
b. self-neglect	_____	_____	_____
c. caregiver neglect	_____	_____	_____
d. emotional/psychological/verbal abuse	_____	_____	_____
e. sexual abuse	_____	_____	_____
f. physical abuse	_____	_____	_____
g. Other (specify)	_____	_____	_____
H. What is the number of active APS clients on a waiting list for a guardian and/or conservator?	_____	_____	_____

	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
I. Average number of months active APS clients are likely to be on a waiting list to get a guardian or conservator	a. <input type="checkbox"/> 1 month or less b. <input type="checkbox"/> 2-3 months c. <input type="checkbox"/> 4-5 months d. <input type="checkbox"/> 6 months or more	a. <input type="checkbox"/> 1 month or less b. <input type="checkbox"/> 2-3 months c. <input type="checkbox"/> 4-5 months d. <input type="checkbox"/> 6 months or more	a. <input type="checkbox"/> 1 month or less b. <input type="checkbox"/> 2-3 months c. <input type="checkbox"/> 4-5 months d. <input type="checkbox"/> 6 months or more
J. Primary reasons why active APS clients are on a waiting list	a. <input type="checkbox"/> Suitable guardian b. <input type="checkbox"/> Court cost c. <input type="checkbox"/> Court Calendar d. <input type="checkbox"/> Other (specify)	a. <input type="checkbox"/> Suitable guardian b. <input type="checkbox"/> Court cost c. <input type="checkbox"/> Court Calendar d. <input type="checkbox"/> Other (specify)	a. <input type="checkbox"/> Suitable guardian b. <input type="checkbox"/> Court cost c. <input type="checkbox"/> Court Calendar d. <input type="checkbox"/> Other (specify)

4. Considering the waiting list for public guardians/conservators in your local program and your knowledge of the service area, please provide a numeric estimate of **unmet need** as of **October 1, 2006**. (“Unmet need” means persons alleged to meet legal criteria for incapacity but who have not yet been formally adjudicated as legally incapacitated.). Essentially, we are asking for your “best” estimate.

Unmet Need for PUBLIC Services	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
APS clients under age 60			
APS clients age 60+			

What happens to people who have an unmet need for a guardian/conservator and never have one appointed?

5. Based on your local program's caseload since 2000, please projected number of persons needing a public guardian/conservator in your service area at the end of the decade (2010):

Future Need for PUBLIC Services	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
APS clients under age 60			
APS clients age 60+			

Additional Comments about the need for Public Guardianship in the Commonwealth of Virginia:

Thank you for completing this survey!

Appendix D

Community Services Boards (CSB) Survey

**Center for Gerontology at Virginia Tech
COMMUNITY SERVICES BOARD SURVEY**

If you have questions about any of the survey items, please contact the Project Coordinator, Joy Duke, at joyduke@msn.com or by telephone at 804-261-4046.

Please return your completed survey by **OCTOBER 30, 2006** to the Center for Gerontology by either e-mail attachment: gero@vt.edu, fax: 540-231-7157, or conventional mail: Center for Gerontology (0426), 237 Wallace Hall, Virginia Tech, Blacksburg, VA 24060.

Agency Name:

Service Area: MR MH SA

Mailing Address:

Contact Person:

Name	Title	Phone Number/Email
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1. Please provide a numeric estimate of the number of guardianships/conservatorships for CSB clients initiated (serve as petitioner) in each calendar year according to who was appointed to serve as guardian/conservator.

	Guardianship Only		Conservatorship Only		Both Guardianship and Conservatorship	
	2004	2005	2004	2005	2004	2005
Commonwealth's Public Guardian and Conservator Program						
Other Public Programs/Sources (e.g., volunteer programs operated through local DSS or AAA)						
Private Programs and Sources (including family/friend, attorney). <u>Please specify</u>						

2. On October 1, 2006, what was the total number of clients, age 18 and older, being served by your CSB? _____

**RESPOND TO THE FOLLOWING SET OF QUESTIONS FOR
"OTHER PUBLIC PROGRAMS AND/SOURCES" ONLY**

3. As of **October 1, 2006**, answer items A-J for adults clients (18 years of age and older) for whom the CSB served as the petitioner from **January 1, 2004** through **October 1, 2006**.

	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
A. Number of CSB clients			
B. Age range of CSB clients			
C. Number of CSB clients age 60+			
D. Number of female CSB clients			
E. Number of female CSB clients age 60+			
For items 3F and 3G, numbers in each column need to equal total number of clients being served as reported for item 3A			
F. Number of CSB clients with:			
a. Mental retardation or other cognitive problems only	_____	_____	_____
b. Mental health problems only	_____	_____	_____
c. Combination of MR and MH Problems	_____	_____	_____
d. Other (<i>Specify</i>):	_____	_____	_____
G. Number of CSB clients who need a guardian/conservator to help protect them <i>primarily</i> from			
a. financial exploitation	_____	_____	_____
b. self-neglect	_____	_____	_____
c. caregiver neglect	_____	_____	_____
d. emotional/psychological/verbal abuse	_____	_____	_____
e. sexual abuse	_____	_____	_____
f. physical abuse	_____	_____	_____
g. Other (specify)	_____	_____	_____
H. Estimate the number of CSB clients who may be waiting to have a guardian.	_____	_____	_____

	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
J. For those individuals who may be waiting to have a guardian, how many are under age 60?	_____	_____	_____
K. For those individuals who may be waiting to have a guardian, why are they having to wait for this service?	a. <input type="checkbox"/> Suitable guardian a. <input type="checkbox"/> Court cost b. <input type="checkbox"/> Court Calendar c. <input type="checkbox"/> Other (specify)	a. <input type="checkbox"/> Suitable guardian b. <input type="checkbox"/> Court cost c. <input type="checkbox"/> Court Calendar d. <input type="checkbox"/> Other (specify)	a. <input type="checkbox"/> Suitable guardian b. <input type="checkbox"/> Court cost c. <input type="checkbox"/> Court Calendar d. <input type="checkbox"/> Other (specify)

4. What happens to people who have an unmet need for a public guardian/conservator and never have one appointed (e.g., not able to provide needed services)? If possible, please provide an example scenario and describe how not having a guardian affected the client.

5. Based on your local program's caseload since 2000, please project the number of persons needing a public guardian/conservator in your service area at the end of the decade (2010). Essentially, we are asking for your "best" estimate.

Future Need for PUBLIC Services	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
CSB clients under age 60			
CSB clients age 60+			

6. Additional Comments about the need for public guardianship in the Commonwealth of Virginia:

Thank you for completing this survey!

Appendix E
State Hospitals (SH) Survey

**Center for Gerontology at Virginia Tech
STATE HOSPITAL SURVEY**

If you have questions about any of the survey items, please contact the Project Coordinator, Joy Duke, at joyduke@msn.com or by telephone at 804-261-4046.

Please return your completed survey by **OCTOBER 20, 2006** to the Center for Gerontology by either e-mail attachment: gero@vt.edu, fax: 540-231-7157, or conventional mail: Center for Gerontology (0426), 237 Wallace Hall, Virginia Tech, Blacksburg, VA 24060.

Facility Name:

Service Area:

Mailing Address:

Contact Person:

Name	Title	Phone Number/Email
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- Please provide a numeric estimate of the number of guardianships/conservatorships you initiated (serve as petitioner to the court) for patients at your facility in each calendar year according to who was appointed to serve as guardian/conservator.

	Guardianship Only		Conservatorship Only		Both Guardianship and Conservatorship	
	2004	2005	2004	2005	2004	2005
Commonwealth's Public Guardian and Conservator Program						
Other Public Programs/Sources (e.g., volunteer programs operated through local DSS or AAA)						
Private Programs and Sources (including family/friend, attorney). <u>Please specify</u>						

- On October 1, 2006, what was the total number of patients served by your facility? _____

**RESPOND TO THE FOLLOWING SET OF QUESTIONS FOR
"OTHER PUBLIC PROGRAMS AND/SOURCES" ONLY**

3. As of October 1, 2006, provide the following information for patients who you have **NOT REFERRED TO APS FOR SERVICES** (to the best of your knowledge).

	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
A. Number of patients			
B. Age range of patients			
C. Number of patients age 60+			
D. Number of female patients			
E. Number of female patients age 60+			
For items 3F and 3G, numbers in each column need to equal total number of patients being served as reported for item 3A			
F. Number of patients with:			
a. Physical health problems only	_____	_____	_____
b. Cognitive problems only	_____	_____	_____
c. Mental health problems only	_____	_____	_____
d. Combination of physical, cognitive, and mental health problems	_____	_____	_____
e. Other (<i>Specify</i>):	_____	_____	_____
G. Number of patients who need a guardian/conservator to help protect them <i>primarily</i> from financial exploitation			
a. self-neglect	_____	_____	_____
b. caregiver neglect	_____	_____	_____
c. emotional/psychological/verbal abuse	_____	_____	_____
d. sexual abuse	_____	_____	_____
e. physical abuse	_____	_____	_____
f. Other (specify)	_____	_____	_____
H. Number of patients on a waiting list for a guardian and/or conservator?	_____	_____	_____

	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
I. Average number of months patients are likely to be on a waiting list to get a guardian or conservator	a. <input type="checkbox"/> 1 month or less b. <input type="checkbox"/> 2-3 months c. <input type="checkbox"/> 4-5 months d. <input type="checkbox"/> 6 months or more	a. <input type="checkbox"/> 1 month or less b. <input type="checkbox"/> 2-3 months c. <input type="checkbox"/> 4-5 months d. <input type="checkbox"/> 6 months or more	a. <input type="checkbox"/> 1 month or less b. <input type="checkbox"/> 2-3 months c. <input type="checkbox"/> 4-5 months d. <input type="checkbox"/> 6 months or more
J. Primary reasons why patients are on a waiting list	a. <input type="checkbox"/> Suitable guardian b. <input type="checkbox"/> Court cost c. <input type="checkbox"/> Court Calendar d. <input type="checkbox"/> Other (specify)	a. <input type="checkbox"/> Suitable guardian b. <input type="checkbox"/> Court cost c. <input type="checkbox"/> Court Calendar d. <input type="checkbox"/> Other (specify)	a. <input type="checkbox"/> Suitable guardian b. <input type="checkbox"/> Court cost c. <input type="checkbox"/> Court Calendar d. <input type="checkbox"/> Other (specify)

4. How many of your current patients have an authorized representative (AR)? _____
- a. Of those with an AR, how many would need a guardian were it not for the AR? _____
5. Considering the waiting list for public guardians/conservators patients in your facility and your knowledge of the service area, please provide a numeric estimate of **unmet need** as of **October 1, 2006**. (*"Unmet need" means persons alleged to meet legal criteria for incapacity but who have not yet been formally adjudicated as legally incapacitated.*) Essentially, we are asking for your "best" estimate.

Unmet Need for PUBLIC Services	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
Patients under age 60			
Patients age 60+			

What happens to patients who have an unmet need for a public guardian/conservator and never have one appointed?

6. Based on your census since 2000, please projected number of patients at your facility who will need a public guardian/conservator at the end of the decade **(2010)**:

Future Need for PUBLIC Services	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
Patients under age 60			
Patients age 60+			

7. Additional Comments about the need for public guardianship in the Commonwealth of Virginia:

Thank you for completing this survey!

Appendix F
Training Centers (TC) Survey

Center for Gerontology at Virginia Tech

TRAINING CENTER SURVEY

If you have questions about any of the survey items, please contact the Project Coordinator, Joy Duke, at joyduke@msn.com or by telephone at 804-261-4946.

Please return your completed survey by **OCTOBER 20, 2006** to the Center for Gerontology by either e-mail attachment: gero@vt.edu, fax: 540-231-7157, or conventional mail: Center for Gerontology (0426), 237 Wallace Hall, Virginia Tech, Blacksburg, VA 24060.

Facility Name:

Service Area:

Mailing Address:

Contact Person:

Name

Title

Phone Number/Email

- Please provide a numeric estimate of the number of guardianships/conservatorships you initiated (serve as petitioner to the court) for clients served by your facility in each calendar year according to who was appointed to serve as guardian/conservator.

	Guardianship Only		Conservatorship Only		Both Guardianship and Conservatorship	
	2004	2005	2004	2005	2004	2005
Commonwealth's Public Guardian and Conservator Program						
Other Public Programs/Sources (e.g., volunteer programs operated through local DSS or AAA)						
Private Programs and Sources (including family/friend, attorney). <u>Please specify</u>						

- On October 1, 2006, what was the total number of clients served by your facility? _____

**RESPOND TO THE FOLLOWING SET OF QUESTIONS FOR
"OTHER PUBLIC PROGRAMS AND/SOURCES" ONLY**

3. As of October 1, 2006, provide the following information for clients who you have **NOT REFERRED TO APS FOR SERVICES** (to the best of your knowledge).

	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
A. Number of patients			
B. Age range of patients			
C. Number of patients age 60+			
D. Number of female patients			
E. Number of female patients age 60+			
For items 3F and 3G, numbers in each column need to equal total number of clients being served as reported for item 3A			
F. Number of patients with:			
a. Physical health problems only	_____	_____	_____
b. Cognitive problems only	_____	_____	_____
c. Mental health problems only	_____	_____	_____
d. Combination of physical, cognitive, and mental health problems	_____	_____	_____
e. Other (<i>Specify</i>):	_____	_____	_____
G. Number of patients who need a guardian/conservator to help protect them <i>primarily</i> from financial exploitation			
a. self-neglect	_____	_____	_____
b. caregiver neglect	_____	_____	_____
c. emotional/psychological/verbal abuse	_____	_____	_____
d. sexual abuse	_____	_____	_____
e. physical abuse	_____	_____	_____
f. Other (specify)	_____	_____	_____
H. Number of patients on a waiting list for a guardian?	_____	_____	_____

	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
I. Average number of months patients are likely to be on a waiting list to get a guardian or conservator	a. <input type="checkbox"/> 1 month or less b. <input type="checkbox"/> 2-3 months c. <input type="checkbox"/> 4-5 months d. <input type="checkbox"/> 6 months or more	a. <input type="checkbox"/> 1 month or less b. <input type="checkbox"/> 2-3 months c. <input type="checkbox"/> 4-5 months d. <input type="checkbox"/> 6 months or more	a. <input type="checkbox"/> 1 month or less b. <input type="checkbox"/> 2-3 months c. <input type="checkbox"/> 4-5 months d. <input type="checkbox"/> 6 months or more
J. Primary reasons why patients are on a waiting list	a. <input type="checkbox"/> Suitable guardian b. <input type="checkbox"/> Court cost c. <input type="checkbox"/> Court Calendar d. <input type="checkbox"/> Other (specify)	a. <input type="checkbox"/> Suitable guardian b. <input type="checkbox"/> Court cost c. <input type="checkbox"/> Court Calendar d. <input type="checkbox"/> Other (specify)	a. <input type="checkbox"/> Suitable guardian b. <input type="checkbox"/> Court cost c. <input type="checkbox"/> Court Calendar d. <input type="checkbox"/> Other (specify)

4. How many of your current clients have an authorized representative (AR)? _____
- a. Of those with an AR, how many would need a guardian were it not for the AR? _____
5. Considering the waiting list for public guardians/conservators clients served by your facility and your knowledge of the service area, please provide a numeric estimate of **unmet need** as of **October 1, 2006**. (*"Unmet need" means persons alleged to meet legal criteria for incapacity but who have not yet been formally adjudicated as legally incapacitated.*). Essentially, we are asking for your "best" estimate.

Unmet Need for PUBLIC Services	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
Clients under age 60			
Clients age 60+			

What happens to clients who have an unmet need for a public guardian/conservator and never have one appointed?

6. Based on your census since 2000, please projected number of clients served by your facility who will need a public guardian/conservator at the end of the decade (2010):

Future Need for PUBLIC Services	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
Clients under age 60			
Clients age 60+			

7. Additional Comments about the need for public guardianship in the Commonwealth of Virginia:

Thank you for completing this survey!

Appendix G
Nursing Homes (NH) Survey

**RESPOND TO THE FOLLOWING SET OF QUESTIONS FOR
"OTHER PUBLIC PROGRAMS AND/SOURCES" ONLY**

3. As of October 1, 2006, please provide the following information for residents who **ARE NOT EITHER APS OR CSB CLIENTS** (to the best of your knowledge).

	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
A. Number of residents			
B. Age range of residents			
C. Number of residents age 60+			
D. Number of female residents			
E. Number of female residents age 60+			
For item 3F numbers in each column need to equal total number of clients being served as reported for item 3A			
F. Number of residents with:			
a. Physical health problems only	_____	_____	_____
b. Cognitive problems only	_____	_____	_____
c. Mental health problems only	_____	_____	_____
d. Combination of physical,			
e. cognitive, and mental health			
f. problems	_____	_____	_____
g. Other (<i>Specify</i>):	_____	_____	_____
G. What is the number of residents on a waiting list for a guardian and/or conservator?			
H. Average number of months residents are likely to be on a waiting list to get a guardian or conservator	a. 1 month or less b. 2-3 months c. 4-5 months d. 6 months or more	a. 1 month or less b. 2-3 months c. 4-5 months d. 6 months or more	a. 1 month or less b. 2-3 months c. 4-5 months d. 6 months or more
I. Primary reasons why residents are on a waiting list	a. Suitable guardian b. Court cost c. Court Calendar d. Other (specify)	a. Suitable guardian b. Court cost c. Court Calendar d. Other (specify)	a. Suitable guardian b. Court cost c. Court Calendar d. Other (specify)

4. Considering the waiting list for public guardians/conservators residents in your facility and your knowledge of the service area, please provide a numeric estimate of **unmet need** as of **October 1, 2006**. (*“Unmet need” means persons alleged to meet legal criteria for incapacity but who have not yet been formally adjudicated as legally incapacitated.*) Essentially, we are asking for your “best” estimate.

Unmet Need for PUBLIC Services	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
Residents under age 60			
Residents age 60+			

What happens to residents who have an unmet need for a public guardian/conservator and never have one appointed?

5. Based on your census since 2000, please projected number of residents living at your facility who will be needing a public guardian/conservator at the end of the decade (**2010**):

Future Need for PUBLIC Services	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
Residents under age 60			
Residents age 60+			

6. Additional comments about the need for public guardianship in the Commonwealth of Virginia:

Thank you for completing this survey!

Appendix H

Assisted Living Facilities (ALF) Survey

**RESPOND TO THE FOLLOWING SET OF QUESTIONS FOR
“OTHER PUBLIC PROGRAMS AND/SOURCES” ONLY**

3. As of October 1, 2006, provide the following information for residents who **ARE NOT EITHER APS OR CSB CLIENTS** (to the best of your knowledge).

	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
A. Number of residents			
B. Age range of residents			
C. Number of residents age 60+			
D. Number of female residents			
E. Number of female residents age 60+			
For item 3F numbers in each column need to equal total number of clients being served as reported for item 3A			
F. Number of residents with: a. Physical health problems only b. Cognitive problems only c. Mental health problems only d. Combination of physical, cognitive, and mental health problems e. Other (<i>Specify</i>):	_____ _____ _____ _____ _____	_____ _____ _____ _____ _____	_____ _____ _____ _____ _____
G. What is the number of residents on a waiting list for a guardian and/or conservator?			
H. Average number of months residents are likely to be on a waiting list to get a guardian or conservator	a. 1 month or less b. 2-3 months c. 4-5 months d. 6 months or more	a. 1 month or less b. 2-3 months c. 4-5 months d. 6 months or more	a. 1 month or less b. 2-3 months c. 4-5 months d. 6 months or more
I. Primary reasons why residents are on a waiting list	a. Suitable guardian b. Court cost c. Court Calendar d. Other (specify)	a. Suitable guardian b. Court cost c. Court Calendar d. Other (specify)	a. Suitable guardian b. guardian c. Court cost d. Court Calendar e. Other (specify)

4. Considering the waiting list for public guardians/conservators residents in your facility and your knowledge of the service area, please provide a numeric estimate of **unmet need** as of **October 1, 2006**. (*"Unmet need" means persons alleged to meet legal criteria for incapacity but who have not yet been formally adjudicated as legally incapacitated.*). Essentially, we are asking for your "best" estimate.

Unmet Need for PUBLIC Services	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
Residents under age 60			
Residents age 60+			

What happens to residents who have an unmet need for a public guardian/conservator and never have one appointed?

5. Based on your census since 2000, please projected number of residents living at your facility who will be needing a public guardian/conservator at the end of the decade (**2010**):

Future Need for PUBLIC Services	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
Residents under age 60			
Residents age 60+			

6. Additional comments about the need for public guardianship in the Commonwealth of Virginia:

Thank you for completing this survey!

Appendix I
Survey Directions

PUBLIC GUARDIANSHIP NEEDS ASSESSMENT

OCTOBER 2006

The Center for Gerontology at Virginia Polytechnic Institute and State University (Virginia Tech), under the leadership of Professor Karen A. Roberto, is conducting a study of public guardianship. The purpose of this project is to identify the need for public guardians in the Commonwealth of Virginia. The study was requested and is funded by the Virginia General Assembly and responsibility assigned to the Virginia Department for the Aging.

Surveys are being sent to individuals in organizations who have the most contact/need for public guardians: the Public Guardian Programs, Adult Protective Services, Community Services Boards, State Hospitals, and a sample of nursing homes and assisted living facilities in the Commonwealth. All responses to the survey will be kept confidential. We are asking for your contact information in case we need to follow-up with you to clarify a response. Once the data is entered into a statistical program for analysis, your name/contact information will be removed from the survey and destroyed. All responses to survey items will be reported in the aggregate; no individual or program names will be used.

Should you have questions about any of the survey items, please contact the Project Coordinator, Joy Duke, at joyduke@msn.com or by telephone at 804-261-4046.

Please return your completed survey by **OCTOBER 30, 2006** to the Center for Gerontology either by **e-mail attachment: gero@vt.edu, fax: 540-231-7157** or by **conventional mail: Center for Gerontology (0426), 237 Wallace Hall, Virginia Tech, Blacksburg, VA 24060**.

DIRECTIONS

Please follow the instructions below for completing the survey. Completing the survey should not take more than 30 minutes of your time. You may wish to print the survey and complete it by hand prior to returning it via e-mail, fax, or conventional mail.

1. For the majority of questions, we are asking you to provide information requested in the first column of the table separately for incapacitated persons that have/need a (1) guardian only, (2) conservator only; and (3) both guardian and conservator. (see example below)
2. In Question #3, we are using the exact date of October 1, 2006 as it is the day of the new fiscal year and should represent a "typical day" in the life of your program. (see example below)

DEFINITIONS

Incapacitated Person or Ward: An adult who has been found by a court to be incapable of receiving and evaluating information effectively or responding to people, events, or environments to such an extent that the individual lacks the capacity to (i) meet the essential requirements for his health, care, safety, or therapeutic needs without the assistance or protection of a guardian or (ii) manage property or financial affairs or provide for his support or for the support of his legal dependents without the assistance or protection of a conservator.

Conservator: A person appointed by the court who is responsible for managing the estate and financial affairs of an incapacitated person.

Guardian: A person appointed by the court who is responsible for the personal affairs of an incapacitated person, including responsibility for making decisions regarding the person's support, care, health, safety, habilitation, education, and therapeutic treatment.

Public guardianship: The appointment and responsibility of a public official or publicly funded organization to serve as legal guardian in the absence of willing and responsible family members or friends to serve as, or in the absence of resources to employ, a private guardian.

Public guardianship program: The entity responsible for exercising public guardianship duties.

EXAMPLE

As of October 1, 2006:

	Guardianship Only	Conservatorship Only	Guardianship & Conservatorship
A. Number of clients being served	10		5
B. Age range of clients enrolled (e.g., 45-75)	55-85		75-80
C. Number of clients age 60+	9		3
D. Number of female clients	8		1
E. Number of female clients age 60+	8		1
For items 3F and 3G, numbers in each column need to equal total number of clients being served as reported for item 3A			
F. Number of active clients with			
a. Physical health problems only	_____	_____	<u>2</u>
b. Cognitive problems only (e.g., dementia, MR)	<u>5</u>	_____	<u>2</u>
c. Mental health problems only	_____	_____	<u>1</u>
d. Combination of physical, cognitive, and mental health problems	<u>5</u>	_____	_____
e. Other (<i>Specify</i>):	_____	_____	_____
G. Number of active clients who need a guardian/conservator to help protect them <i>primarily</i> from			
e. financial exploitation	<u>1</u>	_____	_____
f. self-neglect	<u>7</u>	_____	<u>3</u>
g. caregiver neglect	_____	_____	<u>2</u>
h. emotional/psychological/ verbal abuse	<u>1</u>	_____	_____
i. sexual abuse	_____	_____	_____
j. physical abuse	<u>1</u>	_____	_____
k. Other (specify)	_____	_____	_____
H. What is the number of active clients on a waiting list for a guardian and/or conservator?	<u>5</u>	<u>5</u>	<u>10</u>

Appendix J
Estimates of Current Unmet Need for Guardianship/Conservatorship

Table A. Estimates of Current Unmet Need for Guardianship/Conservatorship as reported by Public Guardianship Conservatorship Program

Estimated Need	Guardianship Only	Conservatorship Only	Both Guardianship and Conservatorship
0	12	24	9
1-25	10	0	10
26-50	1	0	4
51-75	1	1	1
76-100	1	1	1
> 100	1	0	1

Note: Data were derived by combining responses to questions about the unmet needs of persons under age 60 and persons over age 60. The total number in each category is equal to twice the number of respondents.

Table B. Estimates of Current Unmet Need for Guardianship/Conservatorship as reported by Adult Protective Services

Estimated Need	Guardianship Only	Conservatorship Only	Both Guardianship and Conservatorship
0	96	108	75
1-25	23	12	44
26-50	1	0	1
51-75	0	0	0
76-100	0	0	0
> 100	0	0	0

Note: Data were derived by combining responses to questions about the unmet needs of persons under age 60 and persons over age 60. The total number in each category is equal to twice the number of respondents.

Table C. Estimates of Current Unmet Need for Guardianship/Conservatorship as reported by Community Service Boards

Estimated Need	Guardianship Only	Conservatorship Only	Both Guardianship and Conservatorship
0	information not requested		
1-25			
26-50			
51-75			
76-100			
> 100			

Table D. Estimates of Current Unmet Need for Guardianship/Conservatorship as reported by State Hospitals

Estimated Need	Guardianship Only	Conservatorship Only	Both Guardianship and Conservatorship
0	5	8	7
1-25	5	2	1
26-50	0	0	1
51-75	0	0	0
76-100	0	0	0
> 100	0	0	1

Note: Data were derived by combining responses to questions about the unmet needs of persons under age 60 and persons over age 60. The total number in each category is equal to twice the number of respondents.

Table E. Estimates of Current Unmet Need for Guardianship/Conservatorship as reported by Training Centers

Estimated Need	Guardianship Only	Conservatorship Only	Both Guardianship and Conservatorship
0	4	4	2
1-25	0	0	2
26-50	0	0	0
51-75	0	0	0
76-100	0	0	0
> 100	0	0	0

Note: Data were derived by combining responses to questions about the unmet needs of persons under age 60 and persons over age 60. The total number in each category is equal to twice the number of respondents.

Appendix K
Predictions of Future Needs of Guardianships/Conservatorships

Table A. Predictions of Future Needs of Guardianships/Conservatorships as reported by Public Guardians

Predicted Need	Guardianship Only	Conservatorship Only	Both Guardianship and Conservatorship
0	12	22	8
1-25	6	2	6
26-50	2	0	4
51-75	1	0	1
76-100	1	0	3
> 100	4	2	4

Note: Data were derived by combining responses to questions about the unmet needs of persons under age 60 and persons over age 60. The total number in each category is equal to twice the number of respondents.

Table B. Predictions of Future Needs of Guardianships/Conservatorships as reported by Adult Protective Services

Predicted Need	Guardianship Only	Conservatorship Only	Both Guardianship and Conservatorship
0	69	84	34
1-25	45	33	69
26-50	3	1	5
51-75	3	2	6
76-100	0	0	2
> 100	0	0	4

Note: Data were derived by combining responses to questions about the unmet needs of persons under age 60 and persons over age 60. The total number in each category is equal to twice the number of respondents.

Table C. Predictions of Future Needs of Guardianships/Conservatorships as reported by Community Service Boards

Predicted Need	Guardianship Only	Conservatorship Only	Both Guardianship and Conservatorship
0	11	39	28
1-25	41	28	37
26-50	9	1	2
51-75	2	0	0
76-100	2	0	1
> 100	3	0	0

Note: Data were derived by combining responses to questions about the unmet needs of persons under age 60 and persons over age 60. The total number in each category is equal to twice the number of respondents.

Table D. Predictions of Future Needs of Guardianships/Conservatorships as reported by State Hospitals

Predicted Need	Guardianship Only	Conservatorship Only	Both Guardianship and Conservatorship
0	3	9	5
1-25	7	1	2
26-50	0	0	0
51-75	0	0	2
76-100	0	0	1
> 100	0	0	0

Note: Data were derived by combining responses to questions about the unmet needs of persons under age 60 and persons over age 60. The total number in each category is equal to twice the number of respondents.

Table E. Predictions of Future Needs of Guardianships/Conservatorships as reported by Training Centers

Predicted Need	Guardianship Only	Conservatorship Only	Both Guardianship and Conservatorship
0	4	4	2
1-25	0	0	2
26-50	0	0	0
51-75	0	0	0
76-100	0	0	0
> 100	0	0	0

Note: Data were derived by combining responses to questions about the unmet needs of persons under age 60 and persons over age 60. The total number in each category is equal to twice the number of respondents.

Appendix L

Projections of Unmet Need for Public Guardianship/Conservatorship by Planning District Based on Information from PGCP about Current Unmet Needs

The U.S. Census data used in the initial development of this report does not delineate census data by Planning District. The information needed to project unmet need for public guardianship services across Planning Districts was obtained from The Virginia Employment Commission (VEC); a primary source for population statistics in Virginia.

The VEC population estimates differ from the U.S. Census data by total population estimate (due to different data collection strategies and baselines) and age groupings. U.S. Census data was provided for adults age 18 and older, whereas VEC data was available for ages 15-19, and age 20+. Because the PGCPs serve adults age 18+, data representing persons under age 18 were not included in this survey. The projections provided are based on a population aged 20+. The difference in total baseline population estimates and age groupings explain some of the difference between the projected unmet needs described below and those presented earlier in this report. It is also important to note that the projections in this appendix utilize information provided by PGCP respondents only and not the entire study sample.

Estimated Unmet Need

To estimate the unmet needs of PDs not currently represented by a PGCP, the following strategies were employed:

- * a multiplier (0.00046) was used to calculate unmet need based on the ratio of the current total population and the current total identified need by PGCP respondents
- * 20 slots (median and mode of current PGCP) were estimated to be needed by 2010 in each PD
- * 50% of the slots (based on current PGCP estimates) will be used by adults over age 60.
- *all estimations are based on conservative calculations

Assumptions

- *the same percent of people 20+ will need services in 2030 as they do in 2005
- *availability of social services will remain at 2005 level despite changes in resources and revenues
- *no new statewide guardianship programs will be implemented
- *institutionalization in state facilities remains at 2005 levels
- *requirements and process for becoming a guardian or conservator remain at 2005 criteria

Tables

Projections of unmet need for Guardians, Conservators, & Guardians/Conservators throughout the Commonwealth, within each PD, and based on PGCP estimates are provided in three tables:

- A. Total projected unmet needs
- B. Projected unmet needs of adults 20-59
- C. Projected unmet needs of adults 60+

Commonwealth of Virginia

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (from survey)	unmet need (estimated)	current service level (from providers)	projected unmet need
2010	5,872,420	3255	838	288	3,805
2020	6,432,183	3891	1007	288	4,610
2030	6,925,396	4432	1129	288	5,273

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (from survey)	unmet need (estimated)	current service level (from providers)	Projected unmet need
2010	4,423,814	1066	419	129	1,356
2020	4,537,351	1039	429	129	1,339
2030	4,666,970	1024	442	129	1,337

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (from survey)	unmet need (estimated)	current service level (from providers)	Projected unmet need
2010	1,448,606	2189	419	159	2,449
2020	1,894,832	2852	578	159	3,271
2030	2,258,426	3408	687	159	3,936

Planning District 1: LENOWISCO

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (from survey)	service level (from providers)	projected unmet need
2010	69,307	130	19	111
2020	67,663	137	19	118
2030	66,696	139	19	120

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (from survey)	service level (from providers)	projected unmet need
2010	48,116	60	7	53
2020	42,742	54	7	47
2030	40,913	52	7	45

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (from survey)	service level (from providers)	projected unmet need
2010	21,191	70	12	58
2020	24,921	83	12	71
2030	26,083	87	12	75

Planning District 2: Cumberland Plateau

Table A. Projected Unmet Need for Guardians/Conservators for Adults age 20+

	population (VEC census)	unmet need (from survey)	service level (from providers)	projected unmet need
2010	89,015	150	27	123
2020	87,437	171	27	144
2030	85,997	177	27	150

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (from survey)	service level (from providers)	projected unmet need
2010	61,245	50	8	42
2020	51,855	43	8	35
2030	47,388	39	8	31

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (from survey)	service level (from providers)	projected unmet need
2010	27,770	100	19	81
2020	35,582	128	19	109
2030	38,589	138	19	119

Planning District 3: Mount Rogers

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (from survey)	service level (from providers)	projected unmet need
2010	151,583	400	50	350
2020	153,498	437	50	387
2030	155,870	471	50	421

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (from survey)	service level (from providers)	projected unmet need
2010	103,821	100	37	63
2020	98,905	95	37	58
2030	95,383	91	37	54

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (from survey)	service level (from providers)	projected unmet need
2010	47,762	300	13	287
2020	54,593	342	13	329
2030	60,487	380	13	367

Planning District 4: New River Valley

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (from survey)	service level (from providers)	projected unmet need
2010	133,388	25	19	6
2020	142,784	28	19	9
2030	149,512	31	19	12

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (from survey)	service level (from providers)	projected unmet need
2010	103,990	11	17	0
2020	107,825	11	17	0
2030	110,528	12	17	0

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (from survey)	service level (from providers)	projected unmet need
2010	29,398	14	2	12
2020	34,959	17	2	15
2030	38,984	19	2	17

Planning Districts 5 & 6: Roanoke Valley & Central Shenandoah

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (from survey)	service level (from providers)	projected unmet need
2010	423,718	350	24	326
2020	437,925	403	24	379
2030	450,239	429	24	405

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (from survey)	service level (from providers)	projected unmet need
2010	297,950	100	10	90
2020	282,756	95	10	85
2030	280,951	94	10	84

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (from survey)	service level (from providers)	projected unmet need
2010	125,768	250	14	236
2020	155,169	308	14	294
2030	169,288	335	14	321

Planning District 7: Lord Fairfax

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (estimated)	service level (estimated)	projected unmet need
2010	158,637	72	20	52
2020	177,373	85	20	65
2030	194,274	97	20	77

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (estimated)	service level (estimated)	projected unmet need
2010	112,213	36	10	26
2020	115,037	37	10	27
2030	117,885	38	10	28

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (estimated)	service level (estimated)	projected unmet need
2010	46,424	36	10	26
2020	62,336	48	10	38
2030	76,389	59	10	49

Planning District 8: Northern Virginia

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (from survey)	service level (from providers)	projected unmet need
2010	1,601,010	180	10	170
2020	1,830,036	217	10	207
2030	2,027,756	255	10	245

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (from survey)	service level (from providers)	projected unmet need
2010	1,285,161	60	7	53
2020	1,434,905	67	7	60
2030	1,549,181	73	7	66

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (from survey)	service level (from providers)	projected unmet need
2010	315,849	120	3	117
2020	395,131	150	3	147
2030	478,575	182	3	179

Planning Districts 9 & 16: Rappahannock-Rapidan & RADCO

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (from survey)	service level (from providers)	projected unmet need
2010	350,287	70	17	53
2020	419,800	93	17	76
2030	482,130	114	17	97

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (from survey)	service level (from providers)	projected unmet need
2010	261,555	35	4	31
2020	278,470	37	4	33
2030	291,185	39	4	35

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (from survey)	service level (from providers)	projected unmet need
2010	88,732	35	13	22
2020	141,330	56	13	43
2030	190,945	75	13	62

Planning District 10: Thomas Jefferson

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (estimated)	service level (estimated)	projected unmet need
2010	168,274	76	20	56
2020	186,451	85	20	65
2030	202,280	94	20	74

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (estimated)	service level (estimated)	projected unmet need
2010	129,609	38	10	28
2020	141,755	41	10	31
2030	152,737	45	10	35

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (estimated)	service level (estimated)	projected unmet need
2010	38,665	38	10	28
2020	44,696	44	10	34
2030	49,543	49	10	39

Planning District 11: Central Virginia

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (estimated)	service level (estimated)	projected unmet need
2010	188,467	86	20	66
2020	194,707	95	20	75
2030	201,717	101	20	81

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (estimated)	service level (estimated)	projected unmet need
2010	130,614	43	10	33
2020	119,517	39	10	29
2030	118,061	39	10	29

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census))	age 60+ unmet need (estimated)	service level (estimated)	projected unmet need
2010	57,853	43	10	33
2020	75,190	56	10	46
2030	83,656	62	10	52

Planning District 12: West Piedmont

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (estimated)	service level (estimated)	projected unmet need
2010	196,293	90	20	70
2020	202,870	98	20	78
2030	207,289	105	20	85

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (estimated)	service level (estimated)	projected unmet need
2010	131,005	45	10	35
2020	120,272	41	10	31
2030	112,315	39	10	29

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (estimated)	service level (estimated)	projected unmet need
2010	65,288	45	10	35
2020	82,598	57	10	47
2030	94,974	66	10	56

Planning District 13: Southside

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (estimated)	service level (estimated)	projected unmet need
2010	68,313	30	20	10
2020	68,734	32	20	12
2030	67,841	31	20	11

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (estimated)	service level (estimated)	projected unmet need
2010	45,714	15	10	5
2020	42,219	14	10	4
2030	39,919	13	10	3

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (estimated)	service level (estimated)	projected unmet need
2010	22,599	15	10	5
2020	26,515	18	10	8
2030	27,922	18	10	8

Planning District 14: Piedmont

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (estimated)	service level (estimated)	projected unmet need
2010	79,373	36	20	16
2020	81,973	38	20	18
2030	89,554	42	20	22

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (estimated)	service level (estimated)	projected unmet need
2010	56,158	18	10	8
2020	56,830	18	10	8
2030	57,990	19	10	9

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (estimated)	service level (estimated)	projected unmet need
2010	23,215	18	10	8
2020	28,143	20	10	10
2030	31,564	23	10	13

Planning District 15: Richmond Regional

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	Total population (VEC census)	assumed unmet need	actual service level (from providers)	Projected unmet need
2010	736,736	338	46	292
2020	828,973	415	46	369
2030	907,095	473	46	427

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 assumed unmet need	current service level (from providers)	Projected unmet need
2010	557,847	169	39	130
2020	571,670	172	39	133
2030	598,092	181	39	142

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ assumed unmet need	current service level (from providers)	Projected unmet need
2010	178,889	169	7	162
2020	257,303	243	7	236
2030	309,003	292	7	285

Planning District 16: RADCO – see Planning District 9

Planning District 17: Northern Neck

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (estimated)	service level (estimated)	projected unmet need
2010	42,076	20	20	0
2020	43,842	21	20	1
2030	46,100	23	20	3

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (estimated)	service level (estimated)	projected unmet need
2010	25,707	10	10	0
2020	26,006	11	10	1
2030	27,853	12	10	2

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (estimated)	service level (estimated)	projected unmet need
2010	16,369	10	10	0
2020	17,836	10	10	0
2030	18,247	11	10	1

Planning District 18: Middle Peninsula

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (estimated)	service level (estimated)	projected unmet need
2010	72,488	32	20	12
2020	79,532	37	20	17
2030	85,335	43	20	23

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (estimated)	service level (estimated)	projected unmet need
2010	49,530	16	10	6
2020	47,436	15	10	5
2030	45,615	15	10	5

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (estimated)	service level (estimated)	projected unmet need
2010	22,958	16	10	6
2020	32,096	22	10	12
2030	39,720	28	10	18

Planning District 19: Crater

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (estimated)	service level (estimated)	projected unmet need
2010	127,879	58	20	38
2020	131,618	62	20	42
2030	135,254	66	20	46

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (estimated)	service level (estimated)	projected unmet need
2010	94,507	29	10	19
2020	92,495	28	10	18
2030	91,075	28	10	18

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (estimated)	service level (estimated)	projected unmet need
2010	33,372	29	10	19
2020	39,123	34	10	24
2030	44,179	38	10	28

Planning Districts 22 & 23: Accomack-Northampton & Hampton Roads

Table A. Projected Unmet Need for Guardians/Conservators for Adults Age 20+

	population (VEC census)	unmet need (from survey)	service level (from providers)	projected unmet need
2010	1,213,576	1,950	74	1,876
2020	1,294,380	2,405	74	2,331
2030	1,370,180	2,816	74	2,742

Table B. Projected Unmet Need for Guardians/Conservators for Adults Age 20-59

	population age 20-59 (VEC census)	age 20-59 unmet need (from survey)	service level (from providers)	projected unmet need
2010	929,072	650	29	621
2020	907,069	637	29	608
2030	889,899	624	29	595

Table C. Projected Unmet Need for Guardians/Conservators for Adults Age 60+

	population age 60+ (VEC census)	age 60+ unmet need (from survey)	service level (from providers)	projected unmet need
2010	284,504	1,300	45	1,255
2020	387,311	1,768	45	1,723
2030	480,281	2,192	45	2,147

Appendix M
Number of Guardianships/Conservatorships Petitioned for by Organizations
Other than the PGCP

Table A. Number of Guardianships/Conservatorships Petitioned for by Organizations other than the PGCPs as reported by Adult Protective Services

	Guardianship Only		Conservatorship Only		Guardianship and Conservatorship	
	2004	2005	2004	2005	2004	2005
Commonwealth's Public Guardian and Conservator Program	7	6	0	1	137	157
Other Public Programs/Sources (e.g., volunteer programs operated through local DSS or AAA)	2	3	0	0	9	12
Private Programs and Sources (including family/friend, attorney).	33	33	2	6	154	208

Table B. Number of Guardianships/Conservatorships Petitioned for by Organizations other than the PGCPs as reported by Community Service Boards

	Guardianship Only		Conservatorship Only		Guardianship and Conservatorship	
	2004	2005	2004	2005	2004	2005
Commonwealth's Public Guardian and Conservator Program	6	23	0	0	0	1
Other Public Programs/Sources (e.g., volunteer programs operated through local DSS or AAA)	2	3	0	1	9	5
Private Programs and Sources (including family/friend, attorney).	19	54	1	2	14	21

Table C. Number of Guardianships/Conservatorships Petitioned for by Organizations other than the PGCPs as reported by State Hospitals

	Guardianship Only		Conservatorship Only		Guardianship and Conservatorship	
	2004	2005	2004	2005	2004	2005
Commonwealth's Public Guardian and Conservator Program	4	4	0	0	2	2
Other Public Programs/Sources (e.g., volunteer programs operated through local DSS or AAA)	0	0	0	0	1	1
Private Programs and Sources (including family/friend, attorney).	21	0	0	0	3	10

Table D. Number of Guardianships/Conservatorships Petitioned for by Organizations other than the PGCPs as reported by Training Centers

	Guardianship Only		Conservatorship Only		Guardianship and Conservatorship	
	2004	2005	2004	2005	2004	2005
Commonwealth's Public Guardian and Conservator Program	0	0	0	0	0	0
Other Public Programs/Sources (e.g., volunteer programs operated through local DSS or AAA)	1	1	0	0	0	0
Private Programs and Sources (including family/friend, attorney).	79	78	0	0	0	0

Appendix N
Characteristics of Persons with Public Guardianships/Conservatorships

Table A. Characteristics of Persons with Public Guardianships/Conservatorships as reported by Public Guardians

Clients/Consumers	G-ship Only	% of Total	C-ship Only	% of Total	G-ship & C-ship	% of Total	Total
Total Number	160	58%	5	2%	112	40%	277
Age Range	18-97		55-75+		21-89		
Number age 60+	66	52%	3	2%	59	46%	128
Number of females	70	51%	4	3%	63	46%	137
Number of females, age 60+	28	42%	2	3%	36	55%	66
Number with physical health problems	0		0		4	100%	4
Number with cognitive problems	28	60%	1	2%	18	38%	47
Number with mental health problems	5	24%	0		16	76%	21
Number with combination physical, cognitive, and mental health problems	100	57%	4	2%	71	41%	175

Table B. Characteristics of Persons with Public Guardianships/Conservatorships as reported by Adult Protective Services

Clients/Consumers	G-ship Only	% of Total	C-ship Only	% of Total	G-ship & C-ship	% of Total	Total
Total Number	84	22%	8	2%	297	76%	389
Age Range	18-100		18-100		18-99		
Number age 60+	49	16%	6	2%	242	81%	297
Number of females	48	20%	6	3%	189	78%	243
Number of females, age 60+	38	18%	3	2%	175	81%	216
Number with physical health problems	4	6%	0		63	94%	67
Number with cognitive problems	17	25%	2	4%	50	72%	69
Number with mental health problems	6	23%	0		20	77%	26
Number with combination physical, cognitive, and mental health problems	58	26%	6	4%	160	71%	224

Table C. Characteristics of Persons with Public Guardianships/Conservatorships as reported by Community Service Boards

Clients/Consumers	G-ship Only	% of Total	C-ship Only	% of Total	G-ship & C-ship	% of Total	Total
Total Number	193	81	10	4	34	14	237
Age Range	18-80		23-80		30-94		
Number age 60+	31	65%			17	35%	48
Number of females	94	85%	1	1%	15	14%	110
Number of females, age 60+	12	46%			14	54%	26
Number with physical health problems	89	88%	2	2%	10	10%	101
Number with cognitive problems	28	68%	1	2%	12	29%	41
Number with mental health problems	78	68%	33	29%	3	3%	114
Number with combination physical, cognitive, and mental health problems	0				7	100%	7

Table D. Characteristics of Persons with Public Guardianships/Conservatorships as reported by State Hospitals

Clients/Consumers	G-ship Only	% of Total	C-ship Only	% of Total	G-ship & C-ship	% of Total	Total
Total Number	3	5%	5	9%	48	86%	56
Age Range	30-64		32-46		25-85		
Number age 60+	1	8%	0		12	92%	13
Number of females	2	13%	1	7%	12	80%	15
Number of females, age 60+	1	20%	0		4	80%	5
Number with physical health problems	0		0		0		0
Number with cognitive problems	0		0		1	100%	1
Number with mental health problems	0		5	45%	6	55%	11
Number with combination physical, cognitive, and mental health problems	3	8%	5	14%	29	78%	37

Table E. Characteristics of Persons with Public Guardianships/Conservatorships as reported by Training Centers

Clients/Consumers	G-ship Only	% of Total	C-ship Only	% of Total	G-ship & C-ship	% of Total	Total
Total Number	77	86%	0		13	14%	90
Age Range	20-64		28-87				
Number age 60+	4	40%	0		1	20%	5
Number of females	27	87%	0		4	13%	31
Number of females, age 60+	4	80%	0		1	20%	5
Number with physical health problems	0		0		0		0
Number with cognitive problems	0		0		0		0
Number with mental health problems	0		0		0		0
Number with combination physical, cognitive, and mental health problems	77	96%	0		3	4%	80

Appendix O
Waiting Lists for Public Guardianships and Conservatorships

Table A. Waiting Lists for Public Guardianships and Conservatorships as reported by Adult Protective Services

Clients/ Consumers	Guardianship Only	% of Total	Conservatorship Only	% of Total	Guardianship/ Conservator	% of Total	Total Number
# currently on waiting List	23	17%	9	7%	101	76%	133
# under age 60 currently on waiting list	0		0		0		0

Table B. Waiting Lists for Public Guardianships and Conservatorships as reported by Community Service Boards

Clients/ Consumers	Guardianship Only	% of Total	Conservatorship Only	% of Total	Guardianship/ Conservator	% of Total	Total Number
# currently on waiting List	748	77%	72	7%	152	16%	972
# under age 60 currently on waiting list	234	55%	67	16%	123	29%	424

Table C. Waiting Lists for Public Guardianships and Conservatorships as reported by State Hospitals

Clients/ Consumers	Guardianship Only	% of Total	Conservatorship Only	% of Total	Guardianship/ Conservator	% of Total	Total Number
# currently on waiting List	9	38%	0		15	63%	24
# under age 60 currently on waiting list	0		0		0		0

Table D. Waiting Lists for Public Guardianships and Conservatorships as reported by Training Centers

Clients/ Consumers	Guardianship Only	% of Total	Conservatorship Only	% of Total	Guardianship/ Conservator	% of Total	Total Number
# currently on waiting List	1	100%	0		0		1
# under age 60 currently on waiting list	0		0		0		0

Appendix P
Length of Time Waiting and Why Clients are Wait Listed for
Public Guardianships and Conservatorships

Table A. Length of Time Waiting and Why Clients are Wait Listed for Public Guardianships and Conservatorships as reported by Adult Protective Services

	Guardianship Only	Conservatorship Only	Guardianship/ Conservatorship	Total
Average wait in months	6 months or more	6 months or more	6 months or more	
<i>Why on wait list?</i>				
Suitable Guardian	22	20	27	69
Court Cost	4	2	3	9
Court Calendar	2	2	3	7
Other	3	3	5	11

Table B. Length of Time Waiting and Why Clients are Wait Listed for Public Guardianships and Conservatorships as reported by Community Service Boards

	Guardianship Only	Conservatorship Only	Guardianship/ Conservatorship	Total
Average wait in months	6 months or more	6 months or more	6 months or more	
<i>Why on wait list?</i>				
Suitable Guardian	23	5	15	43
Court Cost	20	4	9	33
Court Calendar	3	1	0	4
Other	8	0	3	11

Table C. Length of Time Waiting and Why Clients are Wait Listed for Public Guardianships and Conservators as reported by State Hospitals

	Guardianship Only	Conservatorship Only	Guardianship/ Conservatorship	Total
Average wait in months	6 months or more	6 months or more	6 months or more	
<i>Why on wait list?</i>				
Suitable Guardian	4	1	2	7
Court Cost	2	1	1	4
Court Calendar	2	0	1	3
Other	0	0	2	2

Table D. Length of Time Waiting and Why Clients are Wait Listed for Public Guardianships and Conservators as reported by Training Centers

	Guardianship Only	Conservatorship Only	Guardianship/ Conservatorship	Total
Average wait in months	6 months or more	6 months or more	6 months or more	
<i>Why on wait list?</i>				
Suitable Guardian	0	0	0	0
Court Cost	0	0	1	1
Court Calendar	1	0	0	1
Other	0	0	1	1

Appendix Q
Projected Guardianship and Conservatorship Needs as Reported by
Public Guardianship and Conservator Programs (PGCP)

Table A. Projected guardianship needs of adults under age 60 by 2010 as reported by Public Guardianship and Conservator Programs (PGCP)

Projected Slots Needed	# PGCP Respondents
0	7
5	1
25	1
30	1
75	1
200	1
300	1
Total 635	13

Table B. Projected guardianship needs of adults over age 60 by 2010 as reported by Public Guardianship and Conservator Programs (PGCP)

Projected Slots Needed	# PGCP Respondents
0	6
2	1
20	2
50	1
100	1
200	1
450	1
Total 842	13

Table C. Projected conservatorship needs of adults under age 60 by 2010 as reported by Public Guardianship and Conservator Programs (PGCP)

Projected Slots Needed	# PGCP Respondents
0	11
1	1
150	1
Total 151	13

Table D. Projected conservatorship needs of adults over age 60 by 2010 as reported by Public Guardianship and Conservator Programs (PGCP)

Projected Slots Needed	# PGCP Respondents
0	11
2	1
300	1
Total 302	13

Table E. Projected guardian/conservatorship needs of adults under age 60 by 2010 as reported by Public Guardianship and Conservator Programs (PGCP)

Projected Slots Needed	# PGCP Respondents
0	4
5	2
25	2
30	1
60	1
100	2
200	1
Total 550	13

Table F. Projected guardian/conservatorship needs of adults over age 60 by 2010 as reported by Public Guardianship and Conservator Programs (PGCP)

Projected Slots Needed	# PGCP Respondents
0	4
10	1
15	1
50	3
100	1
120	1
200	1
450	1
Total 1045	13