

State Council of Higher Education for Virginia

Annual Report on Certification of Institutions

**Restructuring Higher Education Financial and
Administrative Operations Act**

May 17, 2011

Background Information/Summary of Major Elements:

The 2005 Higher Education Restructuring Act outlines educational, financial, and administrative goals for Virginia's public colleges and universities. The Act further directs the Council to develop performance standards and annually determine the extent to which each institution meets these standards.

§23-9.6:1.01. Assessments of institutional performance.

C. The State Council shall annually assess the degree to which each individual public institution of higher education has met the financial and administrative management and educational-related performance benchmarks set forth in the Appropriation Act in effect. Such annual assessment shall be based upon the objective measures and institutional performance benchmarks included in the annual Appropriation Act in effect. The State Council shall request assistance from the Secretaries of Finance and Administration, who shall provide such assistance, for purposes of assessing whether or not public institutions of higher education have met the financial and administrative management performance benchmarks.

Institutions that meet the performance benchmarks are entitled to the following financial benefits:

§2.2-5005. Incentive performance benefits to certain public institutions of higher education.

Beginning with the fiscal year that immediately follows the fiscal year of implementation and for all fiscal years thereafter, each public institution of higher education that (i) has been certified during the fiscal year by the State Council of Higher Education of Virginia pursuant to §23-9.6:1.01 as having met the institutional performance benchmarks for public institutions of higher education and (ii) meets the conditions prescribed in subsection B of §23-38.88, shall receive the following financial benefits:

1. Interest on the tuition and fees and other nongeneral fund Educational and General Revenues deposited into the State Treasury by the public institution of higher education, as provided in the appropriation act;
2. Any unexpended appropriations of the public institution of higher education at the close of the fiscal year, which shall be reappropriated and allotted for expenditure by the institution in the immediately following fiscal year; and

3. A pro rata amount of the rebate due to the Commonwealth on credit card purchases of \$5,000 or less made during the fiscal year.
4. A rebate of any transaction fees for the prior fiscal year paid for sole source procurements made by the institution in accordance with subsection E of §2.2-4303, for using a vendor who is not registered with the Department of General Service's web-based electronic procurement program commonly known as "eVA", as provided in the appropriation act.

The 2011 Appropriation Act outlines the Council's authority in assessing institutional performance:

§4-9.02 ASSESSMENT OF INSTITUTIONAL PERFORMANCE

[T]he State Council of Higher Education shall annually assess and certify institutional performance. Such certification shall be completed and forwarded in writing to the Governor and the General Assembly no later than June 1 of each year. Institutional performance ... shall be evaluated year-to-date by the Secretaries of Finance, Administration, and Technology as appropriate, and communicated to the State Council of Higher Education before June 1 of each year. Financial benefits provided to each institution ... be evaluated in light of that institution's performance.

In general, institutions are expected to achieve their agreed upon targets and standards on all performance measures in order to be certified by SCHEV. However, the State Council, in working with each institution, shall establish a threshold of permitted variance from targets for each education-related measure, as appropriate. The Council shall review and, if in agreement, approve institutional targets and thresholds.

Further, the State Council shall have broad authority to certify institutions as having met the standards on education-related measures. The State Council shall likewise have the authority to exempt institutions from certification on education-related measures that the State Council deems unrelated to an institution's mission or unnecessary given the institution's level of performance.

Performance measures for each goal are outlined in the Appropriation Act. In addition to establishing targets for each measure, the Appropriation Act permits a variance from the target, known as a 'threshold,' for measuring acceptable institutional performance. Performance targets and thresholds for the 2009-10 academic year were developed by each institution and approved by the Council in January, 2009. The institutional performance targets and thresholds were based on an institution's past performance

and a set of negotiated targets and thresholds. Besides the educational-related performance standards, the Secretaries of Finance, Administration, and Technology evaluate the standards for the financial and administrative goals.

The *Preparing for the Top Jobs of the 21st Century: The Virginia Higher Education Opportunity Act of 2011 (TJ21)* passed earlier this year by the General Assembly, changed the schedule for the Assessment of Institutional Performance. The Council's annual assessment is suspended for the next two years while the Higher Education Advisory Committee – created by TJ21 – reviews the current Institutional Performance Standards and recommends possible changes to the Council. The Council's next assessment will be conducted by October 1, 2013.

Materials Provided:

- Educational Goals of the Restructuring Act – Code of Virginia
- Assessment of Institutional Performance – Code of Virginia
- Institutional Performance Measures – 2011 Appropriation Act
- 2011 IPS Assessment Tables
- Assessment of Finance and Administrative Measures

Resolution:

BE IT RESOLVED that the State Council of Higher Education for Virginia certifies for 2011-12 through 2013-14 that all public institutions have satisfactorily met the performance standards of the Higher Education Restructuring Act and Appropriation Act.

Educational Goals of the Restructuring Act

Code of Virginia

§ 23-38.88. Eligibility for restructured financial and administrative operational authority.

B. The Board of Visitors of a public institution of higher education shall commit to the Governor and the General Assembly by August 1, 2005, through formal resolution adopted according to its own bylaws, to meeting the state goals specified below, and shall be responsible for ensuring that such goals are met, in addition to such other responsibilities as may be prescribed by law. Each such institution shall commit to the Governor and the General Assembly to:

1. Consistent with its institutional mission, provide access to higher education for all citizens throughout the Commonwealth, including underrepresented populations, and, consistent with subdivision 4 of § 23-9.6:1 and in accordance with anticipated demand analysis, meet enrollment projections and degree estimates as agreed upon with the State Council of Higher Education for Virginia. Each such institution shall bear a measure of responsibility for ensuring that the statewide demand for enrollment is met;

2. Consistent with § 23-9.2:3.03, ensure that higher education remains affordable, regardless of individual or family income, and through a periodic assessment, determine the impact of tuition and fee levels net of financial aid on applications, enrollment, and student indebtedness incurred for the payment of tuition and fees;

3. Offer a broad range of undergraduate and, where appropriate, graduate programs consistent with its mission and assess regularly the extent to which the institution's curricula and degree programs address the Commonwealth's need for sufficient graduates in particular shortage areas, including specific academic disciplines, professions, and geographic regions;

4. Ensure that the institution's academic programs and course offerings maintain high academic standards, by undertaking a continuous review and improvement of academic programs, course availability, faculty productivity, and other relevant factors;

5. Improve student retention such that students progress from initial enrollment to a timely graduation, and that the number of degrees conferred increases as enrollment increases;

6. Consistent with its institutional mission, develop articulation agreements that have uniform application to all Virginia community colleges and meet appropriate general education and program requirements at the four-year institution, provide additional opportunities for associate degree graduates to be admitted and enrolled, and offer dual enrollment programs in cooperation with high schools;

7. Actively contribute to efforts to stimulate the economic development of the Commonwealth and the area in which the institution is located, and for those institutions subject to a management agreement set forth in Subchapter 3 (§ 23-38.91 et seq.) of this chapter, in areas that lag the Commonwealth in terms of income, employment, and other factors;
8. Consistent with its institutional mission, increase the level of externally funded research conducted at the institution and facilitate the transfer of technology from university research centers to private sector companies;
9. Work actively and cooperatively with elementary and secondary school administrators, teachers, and students in public schools and school divisions to improve student achievement, upgrade the knowledge and skills of teachers, and strengthen leadership skills of school administrators;
10. Prepare a six-year financial plan consistent with § 23-9.2:3.03;
11. Conduct the institution's business affairs in a manner that maximizes operational efficiencies and economies for the institution, contributes to maximum efficiencies and economies of state government as a whole, and meets the financial and administrative management standards as specified by the Governor pursuant to § 2.2-5004 and included in the appropriation act that is in effect, which shall include best practices for electronic procurement and leveraged purchasing, information technology, real estate portfolio management, and diversity of suppliers through fair and reasonable consideration of small, women-, and minority-owned business enterprises; and
12. Seek to ensure the safety and security of the Commonwealth's students on college and university campuses.

Assessments of Institutional Performance

Code of Virginia

§ 23-9.6:1.01. Assessments of institutional performance.

A. 1. The State Council shall develop and revise from time to time, in consultation with the respective chairmen of the House Committees on Education and Appropriations and the Senate Committees on Finance and Education and Health or their designees, representatives of public institutions of higher education, and such other state officials as may be designated by the Governor, objective measures of educational-related performance and institutional performance benchmarks for such objective measures. At a minimum, the State Council shall develop objective measures and institutional performance benchmarks for the goals and objectives set forth in subdivisions B 1 through B 10 of § 23-38.88.

The State Council shall develop the initial objective measures and performance benchmarks for consideration by the Governor and the General Assembly no later than October 1, 2005.

2. The Governor shall develop and revise from time to time objective measures of financial and administrative management performance and related institutional performance benchmarks for the goals and objectives set forth in subdivision B 11 of § 23-38.88. The Governor shall develop the initial measures and performance benchmarks and report his recommendations to the General Assembly prior to November 15, 2005.

B. The Governor shall include objective measures of financial and administrative management and educational-related performance and related institutional performance benchmarks as described in subsection A in "The Budget Bill" submitted as required by subsection A of § 2.2-1509 or in his proposed gubernatorial amendments to the general appropriation act pursuant to subsection E of § 2.2-1509.

C. The State Council shall annually assess the degree to which each individual public institution of higher education has met the financial and administrative management and educational-related performance benchmarks set forth in the appropriation act in effect. Such annual assessment shall be based upon the objective measures and institutional performance benchmarks included in the annual appropriation act in effect. The State Council shall request assistance from the Secretaries of Finance and Administration, who shall provide such assistance, for purposes of assessing whether or not public institutions of higher education have met the financial and administrative management performance benchmarks.

No later than June 1 of every fiscal year beginning with the fiscal year that immediately follows the fiscal year of implementation as defined in § 2.2-5005, the State Council shall provide a certified written report of the results of such annual assessment to the Governor and the respective chairmen of the House Committees on Education and Appropriations and the Senate Committees on Finance and Education and Health.

Those institutions that are certified by the State Council as having met the financial and administrative management and educational-related performance benchmarks in effect for the fiscal year as set forth in the general appropriation act shall be entitled to the financial benefits set forth in § 2.2-5005. Such benefits shall first be provided as determined under such section.

D. Notwithstanding any other provision of this section, no institution shall be required to submit documentation that it has met the financial and administrative management and educational-related performance benchmarks set forth in the general appropriations act for the fiscal years 2011-2012 and 2012-2013. If an institution is certified by the State Council as having met the financial and administrative management and educational-related performance benchmarks for the fiscal year 2010-2011, then such institution shall be entitled to the financial benefits set forth in subdivision B 14 of § 2.2-1124, subsection C of § 2.2-1132, subdivisions 4 and 5 of § 2.2-1149, subsection C of § 2.2-1150, subdivision C 2 of § 2.2-1153, § 2.2-1404.1, subdivision A 4 of § 2.2-2007, subsection E of § 2.2-2901, § 2.2-5005, subdivisions 1 and 3 of § 23-38.90, and subsection C of § 36-98.1 for the fiscal years 2011-2012 and 2012-2013.

Institutional Performance Measures

2011 Appropriation Act

§4-9.02 ASSESSMENT OF INSTITUTIONAL PERFORMANCE

Consistent with §23-9.6:1.01., Code of Virginia, the following education-related and financial and administrative management measures shall be the basis on which the State Council of Higher Education shall annually assess and certify institutional performance. Such certification shall be completed and forwarded in writing to the Governor and the General Assembly no later than June 1 of each year. Institutional performance on measures set forth in paragraph D of this section shall be evaluated year-to-date by the Secretaries of Finance, Administration, and Technology as appropriate, and communicated to the State Council of Higher Education before June 1 of each year. Financial benefits provided to each institution in accordance with §2.2-5005 will be evaluated in light of that institution's performance.

In general, institutions are expected to achieve their agreed upon targets and standards on all performance measures in order to be certified by SCHEV. However, the State Council, in working with each institution, shall establish a threshold of permitted variance from targets for each education-related measure, as appropriate. The Council shall review and, if in agreement, approve institutional targets and thresholds.

Further, the State Council shall have broad authority to certify institutions as having met the standards on education-related measures. The State Council shall likewise have the authority to exempt institutions from certification on education-related measures that the State Council deems unrelated to an institution's mission or unnecessary given the institution's level of performance.

The State Council may develop, adopt, and publish standards for granting exemptions and ongoing modifications to the certification process.

a. ANNUAL ASSESSMENTS

1. Access

a) Institution meets 95 percent of its State Council-approved biennial projection of total in-state student enrollment within the prescribed range of permitted variance.

b) Institution maintains acceptable progress towards agreed upon targets for the percentage of in-state undergraduate students from under-represented populations. (Such populations include low income, first-generation college status, geographic origin within Virginia, race, and ethnicity, or other populations as may be identified by the State Council.)

c) Institution annually meets at least 95 percent of its undergraduate and 90 percent of its graduate and first-professional State Council-approved estimates of degrees awarded.

2. Affordability

Institution establishes annual targets of graduation rates according to financial aid status with the intent of achieving, where appropriate, a similar graduation rate for each cohort of students. Three cohorts of students shall be used for this measure, as they are identified in their first year of enrollment at the institution:

- i. Students receiving Pell grants.
- ii. Students receiving other forms of need-based financial assistance other than Pell grants.
- iii. Students receiving no need-based financial assistance.

Four-year institutions shall set targets based on four-year and six-year graduation rates.

The Virginia Community College System and Richard Bland College shall use two-year and four-year graduation rates.

3. Breadth of Academics

Institution maintains acceptable progress towards agreed upon targets for the number of graduates in high-need areas, as identified by the State Council of Higher Education.

4. Academic Standards

Institution reports on total programs reviewed under Southern Association of Colleges and Schools assessment of student learning outcomes criteria within the institution's established assessment cycle in which continuous improvement plans addressing recommended policy and program changes were implemented.

5. Student Retention and Timely Graduation

a) Institution maintains acceptable progress towards agreed upon targets for the average annual retention and progression rates of degree-seeking undergraduate students.

b) Institution maintains acceptable progress towards agreed upon targets for, the ratio of total undergraduate degree awards to the number of annual full-time equivalent, degree-seeking undergraduate students.

6. Articulation Agreements and Dual Enrollment

a) Institution maintains acceptable progress towards agreed upon targets for the total number of transfer students, including as a priority those with an associate degree, from Virginia's public two-year colleges with the expectation that the general education credits from those institutions apply toward general education baccalaureate degree requirements.

b) The Virginia Community College System and Richard Bland College maintain acceptable progress towards agreed upon targets for the number of students involved in dual enrollment programs.

7. Research

Institution maintains acceptable progress towards agreed upon targets for the three-year moving average of total expenditures in grants and contracts for research.

b. BIENNIAL ASSESSMENTS

1. Affordability

a) Institution includes in its six-year plan the expected average borrowing of in-state students with established financial need, and the percentage of those students who borrow, and states its commitment to limit, where possible, the average borrowing to a level that maintains or increases access while not unduly compromising affordability.

b) Institution conducts a biennial assessment of the impact of tuition and fee levels net of financial aid on student indebtedness incurred for the payment of tuition and fees and provided the State Council with a copy of this study upon its completion and makes appropriate reference to its use within the required six-year plans. The institution shall also make a parent- and student-friendly version of this assessment widely available on the institution's website. The assessment should include, but is not limited to, the following information for in-state undergraduate students: a five-year historical overview of average tuition and fees, average federal loans and grants, average institutional aid, average state support, and average total debt burden.

c) This report, along with institutional tuition and fee information shall be prominently located on the institution's web site.

d) Institution will provide an addendum to the six-year plan identifying the steps it is taking to maintain its effort to meet the needs of in-state undergraduate financially-needy students taking into account tuition and fees, state appropriations, and financial need of these students.

2. Academic Standards—Productivity

Institution reports biennially the ratio of degrees conferred per full-time equivalent instructional faculty member.

3. Articulation Agreements

Institution maintains acceptable progress towards agreed upon targets for the number of undergraduate programs or schools for which it has established a uniform articulation agreement by program or school for associate degree graduates transferring from all colleges of the Virginia Community College System and Richard Bland College.

4. Economic Development

Institution develops a specific set of actions to help address local and/or regional economic development needs consisting of specific partners, activities, fiscal support, and desired outcomes. A summary of activities will be reported to the State Council biennially.

5. Patents and Licenses

Institution reports biennially to the State Council the annual number of new patent awards and licenses.

6. Elementary and Secondary Education

a) Institution develops a specific set of actions with schools or school district administrations with specific goals to improve student achievement, upgrade the knowledge and skills of teachers, or strengthen the leadership skills of school administrators. A summary of activities and the improvements in student learning, if any, shall be reported to the State Council biennially.

b) The Virginia Department of Education shall share data on teachers, including identifying information, with the State Council of Higher Education for Virginia in order to evaluate the efficacy of approved programs of teacher education, the production and retention of teachers, and the exiting of teachers from the teaching profession.

c) 1. The Virginia Department of Education and the State Council of Higher Education for Virginia shall share personally identifiable information from education records in order to evaluate and study student preparation for and enrollment and performance at state institutions of higher education in order to improve educational policy and instruction in the Commonwealth. However, such study shall be conducted in such a manner as to not permit the personal identification of students by persons other than representatives of the Department of Education or the State Council for Higher Education for Virginia, and such shared information shall be destroyed when no longer needed for purposes of the study.

2. Notwithstanding § 2.2-3800 of the Code of Virginia, the Virginia Department of Education, State Council of Higher Education for Virginia, Virginia Community College System, and the Virginia Employment Commission may collect, use, share, and maintain de-identified student data to improve student and program performance including those for career readiness.

d) Institutions of higher education shall disclose information from a pupil's scholastic record to the Superintendent of Public Instruction or his designee for the purpose of studying student preparation as it relates to the content and rigor of the Standards of Learning. Furthermore, the superintendent of each school division shall disclose information from a pupil's scholastic record to the Superintendent of Public Instruction or his designee for the same purpose. All information provided to the Superintendent or his designee for this purpose shall be used solely for the purpose of evaluating the Standards of Learning and shall not be redisclosed, except as provided under federal law. All information shall be destroyed when no longer needed for the purposes of studying the content and rigor of the Standards of Learning.

7. Campus Safety and Security

The institution shall work to adopt an acceptable number of the 27 Best Practice Recommendations for Campus Safety adopted by the Virginia Crime Commission on January 10, 2006. Each practice shall be considered by the institution as to how it fits in with current practices and the needs of the institution. Following each biennium of reporting, the institution shall enumerate those practices adopted by the institution.

c. SIX-YEAR PLAN

Institution prepares six-year financial plan consistent with § 23-9.2:3.02.

d. FINANCIAL AND ADMINISTRATIVE STANDARDS

The financial and administrative standards apply to institutions except those governed under Chapters 933 and 943 of the 2006 Acts of Assembly and the institution governed under Chapters 594 and 616 of the 2008 Acts of Assembly,

1. As specified in § 2.2-5004, Code of Virginia, institution takes all appropriate actions to meet the following financial and administrative standards:

a) An unqualified opinion from the Auditor of Public Accounts upon the audit of the public institution's financial statements;

b) No significant audit deficiencies attested to by the Auditor of Public Accounts;

c) Substantial compliance with all financial reporting standards approved by the State Comptroller;

d) Substantial attainment of accounts receivable standards approved by the State Comptroller, including but not limited to, any standards for outstanding receivables and bad debts; and

e) Substantial attainment of accounts payable standards approved by the State Comptroller including, but not limited to, any standards for accounts payable past due.

2. Institution complies with a debt management policy approved by its governing board that defines the maximum percent of institutional resources that can be used to pay debt service in a fiscal year, and the maximum amount of debt that can be prudently issued within a specified period.

3. The institution will achieve the classified staff turnover rate goal established by the institution; however, a variance of 15 percent from the established goal will be acceptable.

4. The institution will substantially comply with its annual approved Small, Women and Minority (SWAM) plan as submitted to the Department of Minority Business Enterprise; however, a variance of 15 percent from its SWAM purchase goal, as stated in the plan, will be acceptable;

The institution will make no less than 75 percent of dollar purchases through the Commonwealth's enterprise-wide internet procurement system (eVA) from vendor locations registered in eVA.

5. The institution will complete capital projects (with an individual cost of over \$1,000,000) within the budget originally approved by the institution's governing board for projects initiated under delegated authority, or the budget set out in the Appropriation Act or other Acts of Assembly. If the institution exceeds the budget for any such project, the Secretaries of Administration and Finance shall review the circumstances causing the cost overrun and the manner in which the institution responded and determine whether the institution shall be considered in compliance with the measure despite the cost overrun.

6. The institution will complete major information technology projects (with an individual cost of over \$1,000,000) within the budgets and schedules originally approved by the institution's governing board. If the institution exceeds the budget and/or time schedule for any such project, the Secretary of Technology shall review the circumstances causing the cost overrun and/or delay and the manner in which the institution responded and determine whether the institution appropriately adhered to Project Management Institute's best management practices and,

therefore, shall be considered in compliance with the measure despite the cost overrun and/or delay.

e. FINANCIAL AND ADMINISTRATIVE STANDARDS

Financial and Administrative Standards for institutions governed under Chapters 933 and 943 of the 2006 Acts of Assembly and the institution governed under Chapters 594 and 616 of the 2008 Acts of Assembly, shall be measured by the administrative standards outlined in the Management Agreements and § 4-9.02.D.4. of this act. However, the Governor may supplement or replace those administrative performance measures with the administrative performance measures listed in this paragraph. Effective July 1, 2009, the following administrative and financial measures shall be used for the assessment of institutional performance for institutions governed under Chapters 933 and 943 of the 2006 Acts of Assembly and those governed under Chapters 594 and 616 of the 2008 Acts of Assembly,

1. Financial

- a) An unqualified opinion from the Auditor of Public Accounts upon the audit of the public institution's financial statements;
- b) No significant audit deficiencies attested to by the Auditor of Public Accounts;
- c) Substantial compliance with all financial reporting standards approved by the State Comptroller;
- d) Substantial attainment of accounts receivable standards approved by the State Comptroller, including but not limited to, any standards for outstanding receivables and bad debts;
- e) Substantial attainment of accounts payable standards approved by the State Comptroller including, but not limited to, any standards for accounts payable past due;

2. Debt Management

- a) The institution shall maintain a bond rating of AA- or better;
- b) The institution achieves a three-year average rate of return at least equal to the imoney.net money market index fund; and
- c) The institution maintains a debt burden ratio equal to or less than the level approved by the Board of Visitors in its debt management policy.

3. Human Resources

a) The institution's voluntary turnover rate for classified plus university/college employees will meet the voluntary turnover rate for state classified employees within a variance of 15 percent;

b) The institution achieves a rate of internal progression within a range of 40 to 60 percent of the total salaried staff hires for the fiscal year.

4. Procurement

a) The institution will substantially comply with its annual approved Small, Women and Minority (SWAM) procurement plan as submitted to the Department of Minority Business Enterprise; however, a variance of 15 percent from its SWAM purchase goal, as stated in the plan, will be acceptable; and

b) The institution will make no less than 80 percent of purchase transactions through the Commonwealth's enterprise-wide internet procurement system (eVA) with no less than 75 percent of dollars to vendor locations in eVA.

5. Capital Outlay

a) The institution will complete capital projects (with an individual cost of over \$1,000,000) within the budget originally approved by the institution's governing board at the preliminary design state for projects initiated under delegated authority, or the budget set out in the Appropriation Act or other Acts of Assembly which provides construction funding for the project at the preliminary design state. If the institution exceeds the budget for any such project, the Secretaries of Administration and Finance shall review the circumstances causing the cost overrun and the manner in which the institution responded and determine whether the institution shall be considered in compliance with the measure despite the cost overrun;

b) The institution shall complete capital projects with the dollar amount of owner requested change orders not more than 2 percent of the guaranteed maximum price (GMP) or construction price; and

c) The institution shall pay competitive rates for leased office space – the average cost per square foot for office space leased by the institution is within 5 percent of the average commercial business district lease rate for similar quality space within reasonable proximity to the institution's campus.

6. Information Technology

a) The institution will complete major information technology projects (with an individual cost of over \$1,000,000) on time and on budget against their managed project baseline. If the institution exceeds the budget and/or time schedule for any such project, the Secretary of Technology shall review the circumstances causing the cost overrun and/or delay and the manner in which the institution responded and

determine whether the institution appropriately adhered to Project Management Institute's best management practices and, therefore, shall be considered in compliance with the measure despite the cost overrun and/or delay; and

b) The institution will maintain compliance with institutional security standards as evaluated in internal and external audits. The institution will have no significant audit deficiencies unresolved beyond one year;

f. REPORTING

The Director, Department of Planning and Budget, with cooperation from the Comptroller and institutions of higher education governed under Management Agreements, shall develop uniform reporting requirements and formats for revenue and expenditure data.

g. EXEMPTION

The requirement of this section shall not be in effect if they conflict with § 23-9.6:1.01D. of Chapters 828 and 869 of the Acts of Assembly of 2011.

2011 IPS Assessment Tables

Christopher Newport University	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	4,679	4,579	4,350	Target Achieved
A.1.b.: Under-represented Enrollments	1,806	1,040	967	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	947	856	900	Target Achieved
A.1.c.: Degree Awards (Graduate and Professional)	104	75	94	Target Achieved
A.2.: Affordability				Measure Achieved
Four-Year Graduation Rate - Pell	0.452	0.400	0.320	Target Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.517	0.450	0.400	Target Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.486	0.460	0.400	Target Achieved
Six-Year Graduation Rate - Pell	0.534	0.450	0.400	Target Achieved
Six-Year Graduation Rate - Other Need-Based Aid	0.596	0.590	0.540	Target Achieved
Six-Year Graduation Rate - No Need-Based Aid	0.609	0.600	0.550	Target Achieved
A.3.: High-need Degrees	97	67	62	Target Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.875	0.835	0.804	Target Achieved
A.5.b.: Degrees per FTE Students	0.206	0.193	0.173	Target Achieved
A.6.a.: Transfer Students	83	15	11	Target Achieved
Financial and Administrative Measures				Measures Achieved

College of William and Mary	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	4,969	4,987	4,738	Threshold Achieved
A.1.b.: Under-represented Enrollments	1,417	1,250	1,188	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	1,450	1,438	1,378	Target Achieved
A.1.c.: Degree Awards (Graduate and Professional)	796	770	716	Target Achieved
A.2.: Affordability				Measure Achieved
Four-Year Graduation Rate - Pell	0.617	0.720	0.582	Threshold Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.802	0.800	0.730	Target Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.799	0.800	0.700	Threshold Achieved
Six-Year Graduation Rate - Pell	0.852	0.897	0.730	Threshold Achieved
Six-Year Graduation Rate - Other Need-Based Aid	0.872	0.935	0.800	Threshold Achieved
Six-Year Graduation Rate - No Need-Based Aid	0.882	0.905	0.850	Threshold Achieved
A.3.: High-need Degrees	187	186	173	Target Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.947	0.920	0.896	Target Achieved
A.5.b.: Degrees per FTE Students	0.256	0.243	0.229	Target Achieved
A.6.a.: Transfer Students	83	53	43	Target Achieved
A.7.: Research - Three-Year Moving Average	\$60.61	\$51.48	\$39.96	Target Achieved
Financial and Administrative Measures				Measures Achieved

George Mason University	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	26,412	26,412	25,091	Target Achieved
A.1.b.: Under-represented Enrollments	8,997	7,010	6,519	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	4,202	4,202	3,992	Target Achieved
A.1.c.: Degree Awards (Graduate and Professional)	3,641	3,641	3,277	Target Achieved
A.2.: Affordability				Measure Achieved
Four-Year Graduation Rate - Pell	0.359	0.335	0.285	Target Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.414	0.420	0.370	Threshold Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.424	0.410	0.360	Target Achieved
Six-Year Graduation Rate - Pell	0.604	0.630	0.580	Threshold Achieved
Six-Year Graduation Rate - Other Need-Based Aid	0.632	0.620	0.570	Target Achieved
Six-Year Graduation Rate - No Need-Based Aid	0.644	0.635	0.585	Target Achieved
A.3.: High-need Degrees	2,127	1,820	1,693	Target Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.853	0.812	0.804	Target Achieved
A.5.b.: Degrees per FTE Students	0.239	0.227	0.200	Target Achieved
A.6.a.: Transfer Students	1,559	370	340	Target Achieved
A.7.: Research - Three-Year Moving Average	\$61.10	\$51.47	\$46.34	Target Achieved
Level II: In-State Six-year Graduation Rate	0.647	0.640	0.600	Target Achieved
Level II: High-need Masters Degrees	1,487	1,455	1,178	Target Achieved
Financial and Administrative Measures				Measures Achieved

James Madison University	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	13,528	13,510	12,835	Target Achieved
A.1.b.: Under-represented Enrollments	3,639	3,375	3,206	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	3,733	3,722	3,546	Target Achieved
A.1.c.: Degree Awards (Graduate and Professional)	678	647	610	Target Achieved
A.2.: Affordability				Measure Achieved
Four-Year Graduation Rate - Pell	0.564	0.510	0.460	Target Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.617	0.610	0.560	Target Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.633	0.630	0.580	Target Achieved
Six-Year Graduation Rate - Pell	0.790	0.705	0.655	Target Achieved
Six-Year Graduation Rate - Other Need-Based Aid	0.785	0.760	0.710	Target Achieved
Six-Year Graduation Rate - No Need-Based Aid	0.839	0.800	0.750	Target Achieved
A.3.: High-need Degrees	806	737	685	Target Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.917	0.883	0.878	Target Achieved
A.5.b.: Degrees per FTE Students	0.218	0.218	0.211	Target Achieved
A.6.a.: Transfer Students	386	252	237	Target Achieved
Level II: STEM Graduates	566	550	523	Target Achieved
Level II: Course Redesign - MATH 205	0.702	0.707	0.677	Threshold Achieved
Level II: Course Redesign - MATH 220	0.798	0.750	0.720	Target Achieved
Financial and Administrative Measures				Measures Achieved

Longwood University	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	4,511	4,440	4,218	Target Achieved
A.1.b.: Under-represented Enrollments	1,253	1,077	1,002	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	792	750	713	Target Achieved
A.1.c.: Degree Awards (Graduate and Professional)	194	145	131	Target Achieved
A.2.: Affordability				Measure Achieved
Four-Year Graduation Rate - Pell	0.380	0.358	0.322	Target Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.454	0.388	0.349	Target Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.377	0.367	0.330	Target Achieved
Six-Year Graduation Rate - Pell	0.565	0.524	0.472	Target Achieved
Six-Year Graduation Rate - Other Need-Based Aid	0.564	0.559	0.503	Target Achieved
Six-Year Graduation Rate - No Need-Based Aid	0.601	0.573	0.516	Target Achieved
A.3.: High-need Degrees	415	239	222	Target Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.861	0.722	0.708	Target Achieved
A.5.b.: Degrees per FTE Students	0.197	0.195	0.176	Target Achieved
A.6.a.: Transfer Students	131	44	31	Target Achieved
Financial and Administrative Measures				Measures Achieved

Norfolk State University	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	5,715	5,234	4,972	Target Achieved
A.1.b.: Under-represented Enrollments	3,339	2,507	2,382	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	768	803	730	Threshold Achieved
A.1.c.: Degree Awards (Graduate and Professional)	220	231	198	Threshold Achieved
A.2.: Affordability				Measure Achieved
Four-Year Graduation Rate - Pell	0.105	0.085	0.077	Target Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.146	0.158	0.142	Threshold Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.160	0.130	0.117	Target Achieved
Six-Year Graduation Rate - Pell	0.316	0.270	0.257	Target Achieved
Six-Year Graduation Rate - Other Need-Based Aid	0.419	0.380	0.361	Target Achieved
Six-Year Graduation Rate - No Need-Based Aid	0.324	0.350	0.333	Threshold Not Achieved
A.3.: High-need Degrees	324	290	260	Target Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.772	0.750	0.710	Target Achieved
A.5.b.: Degrees per FTE Students	0.151	0.157	0.149	Threshold Achieved
A.6.a.: Transfer Students	146	10	8	Target Achieved
Financial and Administrative Measures				Measures Achieved

Old Dominion University	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	21,131	20,250	19,238	Target Achieved
A.1.b.: Under-represented Enrollments	9,117	7,400	6,900	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	3,129	3,027	2,973	Target Achieved
A.1.c.: Degree Awards (Graduate and Professional)	1,502	1,364	1,352	Target Achieved
A.2.: Affordability				Measure Achieved
Four-Year Graduation Rate - Pell	0.165	0.180	0.150	Threshold Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.174	0.210	0.170	Threshold Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.214	0.220	0.190	Threshold Achieved
Six-Year Graduation Rate - Pell	0.432	0.385	0.350	Target Achieved
Six-Year Graduation Rate - Other Need-Based Aid	0.502	0.490	0.440	Target Achieved
Six-Year Graduation Rate - No Need-Based Aid	0.490	0.500	0.450	Threshold Achieved
A.3.: High-need Degrees	1,853	1,720	1,603	Target Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.801	0.755	0.736	Target Achieved
A.5.b.: Degrees per FTE Students	0.204	0.200	0.190	Target Achieved
A.6.a.: Transfer Students	1,318	440	402	Target Achieved
A.7.: Research - Three-Year Moving Average	\$86.16	\$51.20	\$42.30	Target Achieved
Level II: Nursing Degrees	233	233	194	Target Achieved
Level II: Course Redesign - MATH 102	0.470	0.540	0.420	Threshold Achieved
Financial and Administrative Measures				Measures Achieved

Richard Bland College	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	1,570	1,612	1,531	Threshold Achieved
A.1.b.: Under-represented Enrollments	723	488	400	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	192	200	182	Threshold Achieved
A.2.: Affordability				Measure Achieved
Two-Year Graduation Rate - Pell	0.041	0.025	0.015	Target Achieved
Two-Year Graduation Rate - Other Need-Based Aid	0.115	0.120	0.070	Threshold Achieved
Two-Year Graduation Rate - No Need-Based Aid	0.093	0.080	0.070	Target Achieved
Four-Year Graduation Rate - Pell	0.164	0.160	0.100	Target Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.333	0.270	0.220	Target Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.328	0.250	0.230	Target Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.522	0.500	0.430	Target Achieved
A.5.b.: Degrees per FTE Students	0.179	0.049	0.047	Target Achieved
A.6.b.: Dual Enrollments	281	230	230	Target Achieved
Financial and Administrative Measures				Measures Achieved

Radford University	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	8,264	8,215	7,804	Target Achieved
A.1.b.: Under-represented Enrollments	3,864	2,507	2,382	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	1,758	1,724	1,670	Target Achieved
A.1.c.: Degree Awards (Graduate and Professional)	403	384	363	Target Achieved
A.2.: Affordability				Measure Achieved
Four-Year Graduation Rate - Pell	0.345	0.296	0.254	Target Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.408	0.333	0.289	Target Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.440	0.378	0.336	Target Achieved
Six-Year Graduation Rate - Pell	0.531	0.480	0.447	Target Achieved
Six-Year Graduation Rate - Other Need-Based Aid	0.572	0.497	0.454	Target Achieved
Six-Year Graduation Rate - No Need-Based Aid	0.575	0.546	0.523	Target Achieved
A.3.: High-need Degrees	589	545	518	Target Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.814	0.813	0.783	Target Achieved
A.5.b.: Degrees per FTE Students	0.228	0.229	0.202	Threshold Achieved
A.6.a.: Transfer Students	457	234	226	Target Achieved
Level II: In-State Six-year Graduation Rate	0.568	0.560	0.530	Target Achieved
Level II: Course Redesign - ITEC 120	0.569	0.492	0.490	Target Achieved
Level II: Course Redesign - ITEC 220	0.725	0.566	0.564	Target Achieved
Financial and Administrative Measures				Measures Achieved

University of Mary Washington	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	4,518	4,110	3,905	Target Achieved
A.1.b.: Under-represented Enrollments	949	765	719	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	969	942	921	Target Achieved
A.1.c.: Degree Awards (Graduate and Professional)	279	259	251	Target Achieved
A.2.: Affordability				Measure Achieved
Four-Year Graduation Rate - Pell	0.567	0.497	0.421	Target Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.616	0.601	0.534	Target Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.678	0.605	0.547	Target Achieved
Six-Year Graduation Rate - Pell	0.730	0.663	0.594	Target Achieved
Six-Year Graduation Rate - Other Need-Based Aid	0.742	0.737	0.681	Target Achieved
Six-Year Graduation Rate - No Need-Based Aid	0.758	0.732	0.693	Target Achieved
A.3.: High-need Degrees	267	236	220	Target Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.847	0.845	0.840	Target Achieved
A.5.b.: Degrees per FTE Students	0.237	0.237	0.228	Target Achieved
A.6.a.: Transfer Students	163	83	47	Target Achieved
Financial and Administrative Measures				Measures Achieved

University of Virginia	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	15,058	15,596	14,816	Threshold Achieved
A.1.b.: Under-represented Enrollments	3,954	3,606	3,468	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	3,561	3,510	3,383	Target Achieved
A.1.c.: Degree Awards (Graduate and Professional)	2,681	2,762	2,413	Threshold Achieved
A.2.: Affordability				Measure Achieved
Four-Year Graduation Rate - Pell	0.763	0.870	0.720	Threshold Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.830	0.870	0.785	Threshold Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.880	0.870	0.840	Target Achieved
Six-Year Graduation Rate - Pell	0.834	0.940	0.820	Threshold Achieved
Six-Year Graduation Rate - Other Need-Based Aid	0.897	0.940	0.860	Threshold Achieved
Six-Year Graduation Rate - No Need-Based Aid	0.939	0.940	0.910	Threshold Achieved
A.3.: High-need Degrees	1,510	1,572	1,463	Threshold Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.944	0.920	0.900	Target Achieved
A.5.b.: Degrees per FTE Students	0.244	0.245	0.240	Threshold Achieved
A.6.a.: Transfer Students	314	116	95	Target Achieved
A.7.: Research - Three-Year Moving Average	\$267.77	\$235.00	\$206.80	Target Achieved
Financial and Administrative Measures				Measures Achieved

University of Virginia's College at Wise	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	1,917	1,882	1,788	Target Achieved
A.1.b.: Under-represented Enrollments	1,017	843	784	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	211	208	200	Target Achieved
A.2.: Affordability				Measure Achieved
Four-Year Graduation Rate - Pell	0.184	0.188	0.177	Threshold Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.277	0.277	0.260	Target Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.297	0.291	0.273	Target Achieved
Six-Year Graduation Rate - Pell	0.444	0.450	0.423	Threshold Achieved
Six-Year Graduation Rate - Other Need-Based Aid	0.425	0.400	0.376	Target Achieved
Six-Year Graduation Rate - No Need-Based Aid	0.532	0.492	0.462	Target Achieved
A.3.: High-need Degrees	58	58	54	Target Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.768	0.732	0.681	Target Achieved
A.5.b.: Degrees per FTE Students	0.152	0.160	0.150	Threshold Achieved
A.6.a.: Transfer Students	104	42	22	Target Achieved
Financial and Administrative Measures				Measures Achieved

Virginia Community College System	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	180,464	178,208	169,298	Target Achieved
A.1.b.: Under-represented Enrollments	97,903	76,846	71,485	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	21,014	20,837	19,963	Target Achieved
A.2.: Affordability				Measure Achieved
Two-Year Graduation Rate - Pell	0.063	0.060	0.045	Target Achieved
Two-Year Graduation Rate - Other Need-Based Aid	0.098	0.090	0.075	Target Achieved
Two-Year Graduation Rate - No Need-Based Aid	0.087	0.070	0.058	Target Achieved
Four-Year Graduation Rate - Pell	0.205	0.170	0.158	Target Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.302	0.240	0.220	Target Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.244	0.203	0.195	Target Achieved
A.3.: High-need Degrees	3,245	2,450	2,279	Target Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.557	0.497	0.477	Target Achieved
A.6.b.: Dual Enrollments	31,876	30,500	28,365	Target Achieved
Level II: Community College Career Pathways Programs	20,631	18,250	17,500	Target Achieved
Level II: Successful Outcomes for Program-placed Students (12+ Credits)	0.491	0.485	0.460	Target Achieved
Financial and Administrative Measures				Measures Achieved

Virginia Commonwealth University	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	27,856	27,811	26,420	Target Achieved
A.1.b.: Under-represented Enrollments	9,982	8,400	7,560	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	4,033	3,759	3,831	Target Achieved
A.1.c.: Degree Awards (Graduate and Professional)	2,709	2,545	2,438	Target Achieved
A.2.: Affordability				Measure Achieved
Four-Year Graduation Rate - Pell	0.216	0.170	0.130	Target Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.286	0.230	0.160	Target Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.278	0.250	0.200	Target Achieved
Six-Year Graduation Rate - Pell	0.438	0.470	0.390	Threshold Achieved
Six-Year Graduation Rate - Other Need-Based Aid	0.530	0.480	0.420	Target Achieved
Six-Year Graduation Rate - No Need-Based Aid	0.512	0.510	0.440	Target Achieved
A.3.: High-need Degrees	1,411	1,225	1,103	Target Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.833	0.815	0.734	Target Achieved
A.5.b.: Degrees per FTE Students	0.195	0.183	0.163	Target Achieved
A.6.a.: Transfer Students	1,148	200	175	Target Achieved
A.7.: Research - Three-Year Moving Average	\$162.22	\$116.81	\$99.29	Target Achieved
Financial and Administrative Measures				Measures Achieved

Virginia Military Institute	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	881	865	822	Target Achieved
A.1.b.: Under-represented Enrollments	274	203	189	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	283	292	269	Threshold Achieved
A.2.: Affordability				Measure Achieved
Four-Year Graduation Rate - Pell	0.434	0.425	0.365	Target Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.589	0.520	0.460	Target Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.559	0.570	0.510	Threshold Achieved
Six-Year Graduation Rate - Pell	0.614	0.540	0.480	Target Achieved
Six-Year Graduation Rate - Other Need-Based Aid	0.762	0.625	0.565	Target Achieved
Six-Year Graduation Rate - No Need-Based Aid	0.688	0.700	0.640	Threshold Achieved
A.3.: High-need Degrees	81	76	68	Target Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.892	0.866	0.851	Target Achieved
A.5.b.: Degrees per FTE Students	0.166	0.182	0.156	Threshold Achieved
Level II: Financial Aid - Need-Based Cadets	0.898	1.000	0.800	Threshold Achieved
Level II: Financial Aid - High-Need Cadets	0.830	1.000	0.800	Threshold Achieved
Level II: Commissions	0.465	0.450	0.425	Target Achieved
Financial and Administrative Measures				Measures Achieved

Virginia State University	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	3,745	3,812	3,621	Threshold Achieved
A.1.b.: Under-represented Enrollments	2,113	1,752	1,664	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	689	629	655	Target Achieved
A.1.c.: Degree Awards (Graduate and Professional)	116	104	104	Target Achieved
A.2.: Affordability				Measure Achieved
Four-Year Graduation Rate - Pell	0.247	0.225	0.175	Target Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.269	0.245	0.195	Target Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.303	0.265	0.215	Target Achieved
Six-Year Graduation Rate - Pell	0.401	0.405	0.355	Threshold Achieved
Six-Year Graduation Rate - Other Need-Based Aid	0.470	0.440	0.390	Target Achieved
Six-Year Graduation Rate - No Need-Based Aid	0.420	0.455	0.405	Threshold Achieved
A.3.: High-need Degrees	189	45	43	Target Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.781	0.675	0.600	Target Achieved
A.5.b.: Degrees per FTE Students	0.144	0.144	0.115	Target Achieved
A.6.a.: Transfer Students	69	9	6	Target Achieved
Financial and Administrative Measures				Measures Achieved

Virginia Tech	5/9/2011			
Measure	Actual	Target	Threshold	Result
A.1.a.: In-State Enrollment	21,557	21,306	20,241	Target Achieved
A.1.b.: Under-represented Enrollments	6,697	5,206	4,950	Target Achieved
A.1.c.: Degree Awards (Undergraduate)	5,563	5,389	5,285	Target Achieved
A.1.c.: Degree Awards (Graduate and Professional)	2,061	1,994	1,855	Target Achieved
A.2.: Affordability				Measure Achieved
Four-Year Graduation Rate - Pell	0.423	0.417	0.378	Target Achieved
Four-Year Graduation Rate - Other Need-Based Aid	0.513	0.481	0.443	Target Achieved
Four-Year Graduation Rate - No Need-Based Aid	0.564	0.531	0.501	Target Achieved
Six-Year Graduation Rate - Pell	0.735	0.703	0.664	Target Achieved
Six-Year Graduation Rate - Other Need-Based Aid	0.771	0.768	0.720	Target Achieved
Six-Year Graduation Rate - No Need-Based Aid	0.817	0.815	0.778	Target Achieved
A.3.: High-need Degrees	2,007	1,804	1,702	Target Achieved
A.4.: Academic Standards	Statement submitted			Measure Achieved
A.5.a.: Average Retention Rate	0.913	0.878	0.854	Target Achieved
A.5.b.: Degrees per FTE Students	0.229	0.220	0.195	Target Achieved
A.6.a.: Transfer Students	517	124	97	Target Achieved
A.7.: Research - Three-Year Moving Average	\$391.13	\$404.32	\$325.32	Threshold Achieved
Financial and Administrative Measures				Measures Achieved

Assessment of Finance and Administrative Measures



COMMONWEALTH of VIRGINIA

Office of the Governor

Richard D. Brown
Secretary of Finance

P.O. Box 1475
Richmond, Virginia 23218

May 6, 2011

Peter Blake
Interim Executive Director
State Council of Higher Education for Virginia
101 N. 14th Street
James Monroe Building
Richmond, Virginia 23219

Dear Mr. Blake:

As you know, the current Appropriation Act (Chapter 874, *2010 Acts of Assembly*) includes a requirement in the General Provisions related to the annual assessment of institutional performance. § 4-9.02 requires, in part, that:

Consistent with §23-9.6:1.01., Code of Virginia, the following education-related and financial and administrative management measures shall be the basis on which the State Council of Higher Education shall annually assess and certify institutional performance. Such certification shall be completed and forwarded in writing to the Governor and the General Assembly no later than June 1 of each year. Institutional performance on measures set forth in paragraph D of this section shall be evaluated year-to-date by the Secretaries of Finance, Administration, and Technology as appropriate, and communicated to the State Council of Higher Education before June 1 of each year. Financial benefits provided to each institution in accordance with §2.2-5005 will be evaluated in light of that institution's performance.

The purpose of this letter is to inform you that we have completed our required evaluation of the financial and administrative management measures set forth in paragraph D and E of that section. Secretary Duffey, Secretary Hicks-Thomas and I have evaluated the colleges and universities on the standards in paragraph D. Consistent with § 4-9.02 E of the Appropriation Act, we evaluated the University of Virginia, Virginia Tech, Virginia Commonwealth University and the College of William and Mary on the measures contained in their respective management agreements.

I am pleased to announce that each of the institutions met these standards in aggregate.

The attached document details the performance of each institution compared to individual measures.

The analysis of these measures was a challenge and I would like to thank the staff of the colleges and universities, the Auditor of Public Accounts, the Department of Accounts, the Department of General Services, the Department of Minority Business Enterprise, the Virginia Information Technologies Agency and the Department of Human Resource Management for submitting the information needed to evaluate these requirements.

If you have any questions or need additional information, please call me or my Deputy, Neil Miller at (804) 786-1148.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard D. Brown", with a long horizontal flourish extending to the right.

Richard Brown
Secretary of Finance

cc: Lisa Hicks-Thomas
Secretary of Administration

Jim Duffey
Secretary of Technology

Gerard Robinson
Secretary of Education

Assessment of Institutional Performance

Financial and Administrative Standards for Higher Education Institutions

Institution	Unqualified Opinion from APA	No Significant Audit Deficiencies	Substantial Compliance with Financial Reporting	Accounts Receivable Standards	Accounts Payable Standards	Complies with Debt Mgmt Policy
Christopher Newport University	Yes	Yes	Yes	Yes	Yes	Yes
Richard Bland College	Yes	Yes	Yes	Yes	Yes	Yes
George Mason University	Yes	Yes	Yes	Yes	Yes	Yes
James Madison University	Yes	Yes	Yes	Yes	Yes	Yes
Longwood University	Yes	Yes	Yes	Yes	Yes	Yes
Norfolk State University	Yes	Yes	Yes	Yes	Yes	Yes
Old Dominion University	Yes	Yes	Yes	Yes	Yes	Yes
Radford University	Yes	Yes	Yes	Yes	Yes	Yes
University of Mary Washington	Yes	Yes	Yes	Yes	Yes	Yes
University of Virginia's College at Wise	Yes	Yes	Yes	Yes	Yes	Yes
Virginia Community College System	Yes	Yes	Yes	Yes	Yes	Yes
Virginia Military Institute	Yes	Yes	Yes	Yes	Yes	Yes
Virginia State University	Yes	Yes	Yes	Yes	Yes	Yes

Institution	Within 15% of Turnover Rate Goal	Within 15% of SWAM Plan Goal	No less than 75% of Purchases from vendors in eVA	Complete IT projects under original budget	Complete Capital projects under original budget	Pass/Fail
Christopher Newport University	Yes	Yes	No	None	Partial (1/2)	Pass
Richard Bland College	Yes	Yes	Yes (99.06%)	None	None	Pass
George Mason University	Yes	Yes	Yes (88.72%)	None	Partial (2/6)	Pass
James Madison University	Yes	Yes	Yes (95.44%)	None	Partial (1/3)	Pass
Longwood University	Yes	Yes	Yes (78.78%)	None	Yes (3/3)	Pass
Norfolk State University	Yes	Yes	Yes (97.33%)	None	None	Pass
Old Dominion University	No	Yes	No (74.54%)	None	None	Pass
Radford University	Yes	Yes	Yes (89.15%)	Yes (1 project)	Partial (3/4)	Pass
University of Mary Washington	Yes	Yes	Yes (95.48%)	None	None	Pass
University of Virginia's College at Wise	Yes	Yes	Yes (91.39%)	None	None	Pass
Virginia Community College System	Yes	Partial*	Yes (92.98%)	None	No (0/6)	Pass
Virginia Military Institute	Yes	Yes	Yes (98.25%)	None	Yes (2/2)	Pass
Virginia State University	Yes	Yes	Yes (99.32%)	None	None	Pass

*Blue Ridge CC, Northern VA CC, Central VA CC, Lord Fairfax CC failed to meet SWam plan goal. Christopher Newport failed to report all necessary data for the eVA purchasing measure.

Assessment of Institutional Performance
 Financial and Administrative Standards - Level Three Institutions
 Achievement of Benchmarks

FY	2009-10			
University Achievement of Benchmarks	W&M	UVA	VCU	VPI
Total maximum points	17	17	17	17
Achieved benchmark	14.0	16.0	15.0	17.0
Percent achieved	82.4%	94.1%	88.2%	100.0%

Specific Performance Measures				University's achievement 2009-10			
Measure #	Measure Category	Measure	Benchmark	W&M	UVA	VCU	VPI
1	1. Financial	Financial Statements and Internal Controls	a. An unqualified opinion from the Auditor of Public Accounts upon the audit of the public institution's financial statements	Pass	Pass	Pass	Pass
2	1. Financial	Financial Statements and Internal Controls	b. No significant audit deficiencies attested to by the Auditor of Public Accounts	Pass	Pass	Pass	Pass
3	1. Financial	Compliance with financial directives	c. Substantial compliance with all financial reporting standards approved by the State Comptroller	Pass	Pass	Pass	Pass
4	1. Financial	Accounts Receivable	d. Substantial attainment of accounts receivable standards approved by the State Comptroller, including but not limited to, any standards for outstanding receivables and bad debt	Pass	Pass	Pass	Pass
5	1. Financial	Accounts Payable	e. Substantial attainment of accounts payable standards approved by the State Comptroller including, but not limited to, any standards for accounts payable past due	Pass	Pass	Pass	Pass
6	2. Debt Management	Bond Rating	a) The institution shall maintain a bond rating of AA- or better	Pass	Pass	Pass	Pass
7	2. Debt Management	Investments	b) The institution achieves a three-year average rate of return at least equal to the imoney.net money market index fund. The imoney.net money market index fund = 1.57%*	Fail	Fail	Pass	Pass
8	2. Debt Management	Debt burden ratio	c) The institution maintains a debt burden ratio equal to or less than the level approved by the Board of Visitors in its debt management policy	Pass	Pass	Pass	Pass
9	3. Human Resources	1. Turnover percent as an indicator of classified staff stability and satisfaction	a) The institution's voluntary turnover rate for classified plus university/college employees will meet the voluntary turnover rate for state classified employees within a variance of 15 percent; and	Fail	Pass	Fail	Pass
10	3. Human Resources	5. Number of internal employee transfers and promotions as a percentage of total number of newly-hired, transferred and promoted	b) The institution achieves a rate of internal progression within a range of 40 to 60 percent of the total salaried staff hires for the fiscal year	Fail	Pass	Pass	Pass

Specific Performance Measures				University's achievement 2009-10			
Measure #	Measure Category	Measure	Benchmark	W&M	UVA	VCU	VPI
11	4. Procurement	SWAM Participation	a) The institution will substantially comply with its annual approved Small, Women and Minority (SWAM) procurement plan as submitted to the Department of Minority Business Enterprise; however, a variance of 15 percent from its SWAM purchase goal, as stated in the plan, will be acceptable	Pass	Pass	Pass	Pass
12	4. Procurement	Procurement orders processed through the Commonwealth's enterprise-wide internet procurement system (eVA).	b) The institution will make no less than 80 percent of purchase transactions through the Commonwealth's enterprise-wide internet procurement system (eVA) with no less than 75 percent of dollars to vendor locations in eVA	Pass	Pass	Fail	Pass
13	5. Capital Outlay	Capital projects within budget	a. The institution will complete capital projects (with an individual cost of over \$1,000,000) within the budget originally approved by the institution's governing board at the preliminary design state for projects initiated under delegated authority, or the budget set out in the Appropriation Act or other Acts of Assembly which provides construction funding for the project at the preliminary design state. If the institution exceeds the budget for any such project, the Secretaries of Administration and Finance shall review the circumstances causing the cost overrun and the manner in which the institution responded and determine whether the institution shall be considered in compliance with the measure despite the cost overrun	Pass	Pass	Pass	Pass
14	5. Capital Outlay	Owner requested change orders	b. The institution shall complete capital projects with the dollar amount of owner requested change orders not more than 2 percent of the guaranteed maximum price (GMP) or construction price	Pass	Pass	Pass	Pass
15	5. Capital Outlay	Competitive rates for leased office space	c. The institution shall pay competitive rates for leased office space – the average cost per square foot for office space leased by the institution is within 5 percent of the average commercial business district lease rate for similar quality space within reasonable proximity to the institution's campus.	Pass	Pass	Pass	Pass
16	6. Information Technology	1. Project Management	The institution will complete major information technology projects (with an individual cost over \$1,000,000) on time and on budget against their managed baselines.	Pass	Pass	Pass	Pass

Specific Performance Measures				University's achievement 2009-10			
Measure #	Measure Category	Measure	Benchmark	W&M	UVA	VCU	VPI
17	6. Information Technology	2. Information Security	The institution will maintain compliance with institutional security standards as evaluated in internal and external audits. The institution will have no significant audit deficiencies resolved beyond one year.	Pass	Pass	Pass	Pass

Assessment of Institutional Performance

Summary of the Finance and Administrative Measures 2010-11 for each Institution

Christopher Newport University

Christopher Newport met the financial and administrative standards for higher education institutions. CNU complied with the important financial requirements such as an unqualified audit opinion, no significant audit deficiency, and complying with Commonwealth standards for accounts payable and receivable. The university complied with the debt management policy and met the measure of complying with a classified turnover rate goal set by the institution. The university saw significant improvement on their SWAM procurement goals by achieving all of their SWAM agency goals this year. CNU did not meet the goal of having 75 percent of its purchases use the eVA system because it failed to complete the necessary reports. CNU did not have any major IT projects completed in 2010. The university completed two capital projects over the original budget in 2010 but within the revised budget.

College of William and Mary

The College of William and Mary met the financial and administrative standards for level three higher education institutions. W&M did not meet all the standards in the areas of debt management or human resource management.

William and Mary did not meet all of the finance and accounting measures. The University failed to meet a standard on the rate of return for its investments. The University also failed to meet two measures in human resources. In the first measure the university's voluntary turnover rate was more than 15 percent over the state's voluntary turnover rate. And in the second measure the university's internal progression rate did not fall within the required range.

The university met all of the standards in finance and in procurement including meeting all of its SWAM goals. The university met all of the information technology and capital outlay measures.

George Mason University

George Mason University met the financial and administrative standards for higher education institutions. GMU complied with the important financial requirements such as an unqualified audit opinion, no significant audit deficiency, and complying with Commonwealth standards for accounts payable and receivable. The university complied with the debt management policy established by its board. GMU met the measure of complying with a classified turnover rate below the goal set by the university. The university saw significant improvement on their SWAM procurement goals by achieving all of their SWAM agency goals this year. GMU also met the goal of 75 percent of purchases by purchasing 99 percent of its purchases from vendors in eVA. GMU did not have any major IT projects completed in 2010. GMU completed six capital projects over budget in 2010. Two of these projects were within the revised budget and properly justified going over the original budget. The other four projects were over budget and did not meet the standards for this measure.

James Madison University

James Madison University met the financial and administrative standards for higher education institutions. JMU complied with the important financial requirements such as an unqualified audit opinion, no significant audit deficiency, and complying with Commonwealth standards for accounts payable and receivable. The university complied with the debt management policy established by its board. JMU met the measure of complying with a classified turnover rate below the goal set by the university. The university met the overall goal in its SWAM plan and all of its sub goals. The university met the goal of 75 percent of purchases by purchasing 95 percent of its purchases from vendors in eVA. JMU did not have any IT projects completed in 2010. The university completed three capital projects in 2010, all of which were over the original budget although all were completed within their revised budget. Two of those projects did not meet the standards for this measure.

Longwood University

Longwood University met the financial and administrative standards for higher education institutions. The university complied with the important financial requirements such as an unqualified audit opinion, no significant audit deficiency, and complying with Commonwealth standards for accounts payable and receivable. The university complied with the debt management policy established by its Board. Longwood University met the measure of complying with a classified turnover rate below the goal set by the university. The university achieved the agency's goal in their overall SWAM plan. The university met the goal of 75 percent of purchases by purchasing 78 percent of its purchases from vendors in eVA. Longwood did not have any IT projects completed in 2010. The university completed three capital projects in 2010, all of which met the standards for this measure.

Norfolk State University

Norfolk State University met the financial and administrative standards for higher education institutions. NSU complied with the important financial requirements such as an unqualified audit opinion, no significant audit deficiency, and complying with Commonwealth standards for accounts payable and receivable. The university complied with the debt management policy established by its board. NSU met the measure of complying with a classified turnover rate below the goal set by the university. The university achieved 85 percent of the agency's goal in their overall SWAM plan but needs to improve in purchasing from underutilized categories. NSU met the goal of 75 percent of purchases by purchasing 97 percent of its purchases from vendors in eVA. NSU did not have any IT or capital projects completed in 2010.

Old Dominion University

Old Dominion University met the financial and administrative standards for higher education institutions. The university complied with the important financial requirements such as an unqualified audit opinion, no significant audit deficiency, and complying with Commonwealth standards for accounts payable and receivable. The university complied with the debt management policy established by its board. ODU failed to meet the measure of complying with a classified turnover rate goal set by the university. The university achieved 85 percent of the agency's goal in their overall SWAM plan but needs to improve in purchasing from underutilized categories. The university did not meet the goal of 75 percent of purchases by only purchasing 74 percent of its purchases from vendors in eVA. ODU did not have any IT or capital projects completed in 2010.

Radford University

Radford University met the financial and administrative standards for higher education institutions. RU complied with the important financial requirements such as an unqualified audit opinion, no significant audit deficiency, and complying with Commonwealth standards for accounts payable. The university complied with the debt management policy established by its board. RU met the measure of complying with a classified turnover rate below the goal set by the university. The University met the overall goal in its SWAM plan. RU met the goal of 75 percent of purchases by purchasing 89 percent of its purchases from vendors in eVA. Radford had one IT project completed in 2010 and it met the standards for this measure. Radford completed four capital projects in 2010 and three of the four projects met the standards for this measure.

Richard Bland College

Richard Bland College met the financial and administrative standards for higher education institutions. RBC complied with the important financial requirements such as an unqualified audit opinion, no significant audit deficiency, and complying with Commonwealth standards for accounts payable and receivable. The college complied with the debt management policy established by its board. RBC met the measure of complying with a classified turnover rate below the goal set by the university. The college met the overall goal in its SWAM plan. RBC met the goal of 75 percent of purchases using the eVA system by purchasing 99 percent of its purchases from vendors in eVA. Richard Bland did not have an IT project or capital project completed in 2010.

University of Mary Washington

The University of Mary Washington met the financial and administrative standards for higher education institutions. The university complied with the important financial requirements such as an unqualified audit opinion, no significant audit deficiency, and complying with Commonwealth standards for accounts payable and receivable. The university complied with the debt management policy established by its board. The university met the measure of complying with a classified turnover rate goal set by the university. The university met the overall goal in its SWAM plan. The university met the goal of 75 percent of purchases by purchasing 95 percent of its purchases from vendors in eVA. UMW did not have an IT or capital project completed in 2010.

University of Virginia

The University of Virginia met the financial and administrative standards for level three higher education institutions. UVA did not meet all the standards in the areas of debt management.

The University of Virginia failed to meet a standard on the rate of return for its investments compared to a market rate.

The university met all of the standards in finance, human resources, and in procurement including meeting all of its SWAM goals. The university met all of the information technology and capital outlay measures.

University of Virginia's College at Wise

The University of Virginia's College at Wise met the financial and administrative standards for higher education institutions. UVA-Wise complied with the important financial requirements such as an unqualified audit opinion, no significant audit deficiency, and complying with Commonwealth standards for accounts payable and receivable. UVA-Wise complied with the debt management policy established by its board. Based on UVA's performance, UVA-Wise met the measure of complying with a classified turnover rate below the goal set by the university. UVA-Wise achieved 85 percent of the agency's goal in their overall SWAM plan. The college met the goal of 75 percent of purchases in eVA with UVA purchasing 91 percent of purchases from vendors in eVA. The college did not have an IT or capital project completed in 2010.

Virginia Commonwealth University

Virginia Commonwealth University met the financial and administrative standards for level three higher education institutions. VCU did not meet all the standards in the areas of human resources or procurement.

VCU's voluntary turnover rate was more than 15 percent over the state's voluntary turnover rate. In the area of procurement, the university did not meet the standard of having 80 percent of its transactions go through the eVA procurement system.

The university met all of the standards in financial and debt management measures. The university met all of the information technology and capital outlay measures.

Virginia Community College System

The Virginia Community College System met the financial and administrative standards for higher education institutions. The VCCS complied with the important financial requirements such as an unqualified audit opinion, no significant audit deficiency, and complying with Commonwealth standards for accounts payable and receivable. The VCCS complied with the debt management policy established by its board. The VCCS met the measure of complying with a classified turnover rate below the state average for institutions. Twenty of the twenty-three community colleges met the overall goal in their SWAM plan in 2010. The VCCS met the goal of 75 percent of purchases by purchasing 93 percent of its purchases from vendors in eVA. The VCCS had no IT projects in 2010. The community colleges completed a total of six capital projects in 2010. None of these six projects met the standard for this measure.

Virginia Military Institute

Virginia Military Institute met the financial and administrative standards for higher education institutions. VMI complied with the important financial requirements such as an unqualified audit opinion, no significant audit deficiency, and complying with Commonwealth standards for accounts payable and receivable. VMI complied with the debt management policy established by its board. VMI met the measure of complying with a classified turnover rate below the state average for institutions. VMI met the overall goal in its SWAM plan but failed to meet its goals in purchasing in underutilized categories. VMI met the goal of 75 percent of purchases by purchasing 98 percent of its purchases from vendors in eVA. VMI did not have an IT project completed in 2010, but did have two capital projects, both of which were completed within the revised budget.

Virginia State University

Virginia State University met the financial and administrative standards for higher education institutions. VSU complied with the important financial requirements such as an unqualified audit opinion, no significant audit deficiency, and complying with Commonwealth standards for accounts payable and receivable. The university complied with the debt management policy established by its board. VSU met the measure of complying with a classified turnover rate below the state average for institutions. The University met all of the goals in its SWAM plan. VSU met the goal of 75 percent of purchases by purchasing 99 percent of its purchases from vendors in eVA. VSU did not have an IT or capital project completed in 2010.

Virginia Tech

Virginia Polytechnic University met the financial and administrative standards for level three higher education institutions. Virginia Tech met all the standards in every area, including finance, human resources, debt management, procurement, capital and information technology.

