



**Item 304.K.1. – Progress Report
on the Plan and Timeline
for Downsizing Southeastern Virginia Training Center**

To the Governor and Members of the General Assembly

June 30, 2012



COMMONWEALTH of VIRGINIA

JAMES W. STEWART, III
COMMISSIONER

DEPARTMENT OF
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June 30, 2012

To: The Honorable Robert F. McDonnell, Governor

And

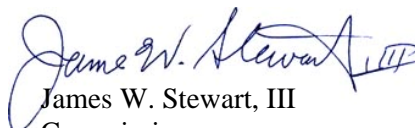
Members, Virginia General Assembly

Item 304.K.1 of the 2011 *Appropriation Act* requires the Department of Behavioral Health and Developmental Services (DBHDS) to convene a state and community planning team and develop a timeline to transition, beginning in fiscal year 2010, 88 individuals living at Southeastern Virginia Training Center (SEVTC) to community services.

You received the first report on July 1, 2009 under Item 315.CC.1. The attached report is an update on the plan and timeline to downsize SEVTC from its current census of 106 individuals beginning on June 1, 2012.

Should you have questions in the interim regarding the progress of this project and/or the estimated timeline, please feel free to contact me at (804) 786-3921.

Sincerely,


James W. Stewart, III
Commissioner

Enc.

CC: Hon. William A. Hazel Jr., MD
Keith Hare
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**Item 304.K.1. - Progress Report on the Plan and Timeline for Downsizing
Southeastern Virginia Training Center**

**QUARTERLY UPDATE
June 30, 2012**

Item 315.CC.1 of the 2009 *Appropriation Act* required the Commissioner of the Department of Behavioral Health and Developmental Services (DBHDS)¹ to convene a state and community planning team and develop a timeline to transition, beginning in fiscal year 2010, 88 individuals living at Southeastern Virginia Training Center (SEVTC) to community services. The language is outlined below:

“The state and community planning team, under the direction of the commissioner, shall develop a timeline to appropriately transition 88 state facility consumers beginning in fiscal year 2010 to community services in the locality of their residence prior to admission or the locality of their choice after discharge or to another state facility if individual assessments and service plans have been completed, appropriate community housing is available and consumer choice has been considered. The commissioner shall provide the preliminary plan and timeline to the Governor and the General Assembly by July 1, 2009 and a progress report regarding the plan for resizing and rebuilding the facility by October 1, 2009 and quarterly thereafter until the new facility and community facilities have been constructed and are complete. The final report shall outline the location where patients are discharged and any cost savings associated with the facility resizing and community transition.”

This report is an update to the July 1, 2009 preliminary plan and timeline to downsize SEVTC from its census of 154 individuals on July 1, 2009.

The 2009 General Assembly also required DGS with the cooperation and support of DBHDS to rebuild and resize SEVTC to 75 beds beginning in fiscal year 2010:

C-103.05. A. Notwithstanding any other provision of law, the following provisions shall be implemented:

- 1. The Department of General Services (DGS), with the cooperation and support of the Department of Mental Health, Mental Retardation and Substance Abuse Services (DMHMRSAS), shall rebuild and resize the Southeastern Virginia Training Center to a 75-bed facility to serve profound and severely disabled clients;*
- 2. The Director, Department of Planning and Budget, shall transfer \$23,768,000 of the amount appropriated in Chapter 1 and Chapter 2, 2008 Acts of Assembly, Special Session I for project 17458 (Repair/Replace Southeastern Virginia Training Center) for the purpose stated in paragraph A.1. of this item;*
- 3. The Department of General Services, with the cooperation and support of the Department of Mental Health, Mental Retardation and Substance Abuse Services (DMHMRSAS), shall build, acquire, or renovate 12 community-based Intermediate Care Facilities (ICF/MR) and 6 MR Homes in Health Planning Region V. Priority should be given to projects which can be*

¹ Formerly the Department of Mental Health, Mental Retardation, and Substance Abuse Services or DMHMRSAS.

completed on existing state-owned property within Health Planning Region V;
4. The Director, Department of Planning and Budget shall transfer \$8,438,160 of the amount appropriated in Chapter 1 and Chapter 2, 2008 Acts of Assembly, Special Session I for project 17457, (Repair/Replace Central Virginia Training Center) for the purpose stated in paragraph A.3. of this item.

The plan outlined in Appendix A measures the progress in meeting the expectations and goals outlined in the original plan. The plan seeks to reduce the number of individuals living at SEVTC from a census of 154 to 75 or fewer individuals in two fiscal years. This update shows modifications to the original estimated completion timelines. The original July 1, 2009 plan estimated a completion of community SEVTC construction before September 30, 2011. It is anticipated that SEVTC will reach a census of 75 beds or less, on or before January 2013.

Due to delays in negotiating Funding Agreements between DBHDS and the community services boards (CSBs)/local governments, construction of the community ICFs/MR homes and transition of residents to those homes will not be completed until December 30, 2012. This completion date is a change from the last quarterly update. The delay is due to issues related to preparation of the land on which the Virginia Beach homes will be built. As of the date of this report, all clearances had been received and construction is underway. Construction dates are listed in Table 1 below.

DBHDS has built in an extra three months for project completion due to the estimated length of time it takes to certify a community-based ICF/MR. The Virginia Department of Health (VDH), on behalf of the federal Centers for Medicare and Medicaid Services, certifies ICFs/MR to operate and average certification time takes between 3-6 months for completion. The certification process cannot move more quickly, but DBHDS is working with CSB partners to ensure they are ready to be certified on the first visit from Department of Health officials; this will minimize the certification window. A technical assistance workgroup has been formed to assist the local CSBs with preparation for community ICF/MR certification. This workgroup convened on March 10, 2010, and is anticipated to continue its work until all community ICFs/MR's are certified by Virginia Department of Health.

Appendix A contains an update to the detailed plan and timelines to transition individuals to the community. The components and major milestones of the original plan were developed in consultation with the state and community planning team referenced in Item 315.CC.1, known as the SEVTC Advisory Committee. The Advisory Committee met regularly from January 2009 until October 2010 when construction design and planning was completed. The Advisory Committee included SEVTC facility staff, individuals living at SEVTC, family members, CSB representatives, private providers, and other key stakeholders.²

Construction of SEVTC and Community Homes:

The 2009 General Assembly required the Department of General Services (DGS), in collaboration with DBHDS, to rebuild and resize SEVTC to a 75-bed facility beginning in fiscal year 2010. The General Assembly also required DGS, in collaboration with DBHDS, to

² Information about the Advisory Committee, members, and meeting minutes can be viewed at: <http://www.dbhds.virginia.gov/10Budget/sevtc.htm>

construct or renovate 12 community ICFs/MR and six waiver homes in Health Planning Region V for residents of SEVTC. Based on these requirements, DGS accepted an unsolicited Public-Private Education and Infrastructure Act (PPEA) proposal in April 2009 and received one competing proposal in July 2009. The following table outlines the timeline for construction and the updated timeline.

Table 1: Construction Timeline

Construction Timeline	Original	Updated
April 2009:	Accepted unsolicited PPEA	Accepted unsolicited PPEA
July 17, 2009:	PPEA advertising period closed, two proposals for: -Rebuild and re-size current SEVTC at 75-beds -Construct 12 community ICFs/MR in HPR-V -Construct 6 waiver homes in HPR-V	PPEA advertising period closed, two proposals for: -Rebuild and re-size current SEVTC at 75-beds -Construct 12 community ICFs/MR in HPR-V -Construct 6 waiver homes in HPR-V
Aug – Dec 2009:	DGS, DBHDS, and evaluation committee develops detailed construction requirements	DGS, DBHDS, and evaluation committee develops detailed construction requirements
Nov 2, 2009:	Part 2 - Detailed response from developers submitted	Part 2 - Detailed response from developers submitted
December 2009:	Selection of developer	Selection of developer
Jan – June 2010:	Negotiate agreements, design phase	Negotiate agreements, design phase
September 2010:	Community construction begins	Community construction begins
October 2010:	SEVTC construction begins	SEVTC construction begins
Oct 2011: December 2011	Construction complete	Construction of 5 homes at SEVTC complete Construction of 6 community-based waiver homes complete
December 2011 Jan, 2012		Construction of 5 additional homes at SEVTC complete
February 2012 March 2012		Construction of last 5 homes at SEVTC complete
May 2012 December 2012		Construction of community-based ICFs/MR homes complete
May to Sept December, 2012		Certification and licensure of community-based ICF homes
Sept 2012 January 2013		Occupancy of community-based ICFs/MR homes

DBHDS is working closely with local CSB executive directors to ensure:

- Waiver homes and ICFs/MR built within each CSB’s jurisdiction reflect the needs of the people currently receiving services at SEVTC who will move to the community.
- ICF/MR certification process and waiver home licensing processes can be completed in a timely manner to ensure no delay for individuals transitioning to community placements once construction is completed.

Workforce Transition:

SEVTC continues to identify the appropriate compliment of positions to serve the population once reaching 75 beds. In FY10, 45 positions were abolished - 4 through the Workforce Transition Act (WTA) process and 41 through attrition. In FY11, 5 additional positions were abolished – 3 were through WTA. Two additional positions were reallocated to better meet SEVTC staffing needs in other areas. Other career professionals such as social workers, rehabilitation specialists, and occupational therapists will likely remain employed at the facility since there will continue to be individuals living at the new SEVTC.

State and Local Coordination:

Appendix A contains a detailed chart outlining the major milestones in the plan and updates since the plan was first submitted on July 1, 2009. WM Jordan was selected as the developer in December 2009 and has provided construction services for both the new 75-bed facility and the community homes.

Table 2: Proposed Homes and Construction Status

CSB	Waiver Home	Community ICF/MR
Middle Peninsula	2 (completed June 2011)	0
Hampton/NN	1 (completed Sept 2011)	1 (in process) Construction completed
Norfolk	2 (completed May 2011)	1
Virginia Beach	1 (completed June 2011)	2 (in process)
Western Tidewater	0	2 (in process) Construction completed
Chesapeake	0	2 (in process) Construction completed
Colonial	0	1 (pending)
Total	6	9

At the time of this report, there is one ICF still pending. DBHDS and DGS are working closely with officials at Colonial CSB to determine the feasibility of constructing one more ICF within that CSBs catchment area. Decisions about this project will be made before September 30, 2012.

Table 3 shows changes in the facility census over time. This table includes admissions for emergency stays. Emergency stays are used for stabilization and behavioral consultation. It was originally anticipated that SEVTC would continue to provide these crisis stabilization services when it was rebuilt and resized. However, the 2011 General Assembly provided \$5 million to develop a crisis management program for individuals with intellectual disabilities in every region of the Commonwealth. Once this system is operational (June 2012), SEVTC will no longer provide these services.

Table 3: SEVTC Census June 30, 2009 – November 30, 2010

Quarter Ending	Emergency Admissions	Regular Admissions	Emergency Discharges	Regular Discharges	Deaths	Total Census
June 30, 2009	NA	NA	NA	NA	NA	156
September 30, 2009	5	0	3	5	1	152
December 31, 2009	0	0	2	4	0	146
March 31, 2010	5	0	5	2	0	144
June 30, 2010	4	2	2	7	0	138
September 30, 2010	4	0	5	4	1	132
November 30, 2010	0	0	1	4	0	127
March 31, 2011	8	0	5	1	0	129
June 30, 2011	5	0	8	3	0	123
September 6, 2011	3	0	3	2	1	120
December 1, 2011	4	0	2	7	2	113
March 1, 2012	9	0	11	1	0	110
June 1, 2012	1	0	1	4	0	106

**SEVTC TIMELINE and PLAN FOR DOWNSIZING – APPENDIX A
QUARTERLY UPDATE – June 30, 2012**

	APRIL 2009		MAY 2009		JUNE 2009	
	IMPLEMENTATION ACTIONS	TARGET DATE	IMPLEMENTATION ACTIONS	TARGET DATE	IMPLEMENTATION ACTIONS	TARGET DATE
ASSESSMENTS & CHOICE	<ul style="list-style-type: none"> Advisory Committee meets ID Directors begin working directly with families SIS assessments ongoing 	<p>April 25, 2009</p> <p>April 1 – 30, 2009</p> <p>April 1 – 30, 2009</p>	<ul style="list-style-type: none"> Advisory Committee meets ID Directors working directly with families SIS assessments ongoing 	<p>May 24, 2009</p> <p>May 1 – 30, 2009</p> <p>May 1 – 30, 2009</p>	<ul style="list-style-type: none"> All SIS assessments completed ID Directors working directly with families Advisory Committee meets Assessment group formed and criteria for determining whether individuals will remain at SEVTC or can live in the community Developed “Handbook” regarding transition to the community completed and distributed to families 	<p>June 1, 2009</p> <p>June 1 – 30, 2009</p> <p>June 25, 2009</p> <p>June 30, 2009</p> <p>June 30, 2009</p>
	<ul style="list-style-type: none"> DGS receives unsolicited PPEA for rebuild of SEVTC and 18 community homes 	<p>April 20, 2009</p>	<ul style="list-style-type: none"> DGS advertises for competing PPEA proposals DGS assigns project manager (same for SEVTC and community project) 	<p>May 1, 2009 – July 17 2009</p> <p>May 30, 2009</p>	<ul style="list-style-type: none"> DGS continues advertisement for competing PPEA proposals DGS/DBHDS prepares criteria for selection of PPEA developer an creates review panel 	<p>May 1, 2009 – July 17, 2009</p> <p>June 30, 2009</p>
	<ul style="list-style-type: none"> DGS receives unsolicited PPEA for rebuild of SEVTC and 18 community homes 	<p>April 20, 2009</p>	<ul style="list-style-type: none"> DGS advertises for competing PPEA proposals DGS assigns project manager (same for SEVTC and community project) 	<p>May 1, 2009 – July 17, 2009</p> <p>May 30, 2009</p>	<ul style="list-style-type: none"> DGS continues advertisement for competing PPEA proposals Capital Investment Committee meets with DGS provides input DGS/DBHDS prepares criteria for selection of PPEA developer an creates review panel 	<p>May 1, 2009 – July 17 2009</p> <p>June 16, 2009</p> <p>June 30, 2009</p>
NEW SEVTC CONSTRUCTION						
COMMUNITY						
WORK FORCE						

**SEVTC TIMELINE and PLAN FOR DOWNSIZING – APPENDIX A
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	JULY 2009		AUGUST 2009		SEPTEMBER 2009	
	IMPLEMENTATION ACTIONS	TARGET DATE	IMPLEMENTATION ACTIONS	TARGET DATE	IMPLEMENTATION ACTIONS	TARGET DATE
ASSESSMENT & CHOICE	<ul style="list-style-type: none"> ID Directors working directly with families <i>Clinical Committee meets and develops blind profiles to pilot selection process</i> <i>Selection committee meets to pilot selection process</i> Advisory Committee meets 	<p>July 1 – 31, 2009</p> <p>July 15, 2009</p> <p>July 30, 2009</p> <p>July 25, 2009</p>	<ul style="list-style-type: none"> ID Directors working directly with families <i>Pilot selection process and criteria shared with Advisory Committee</i> 	<p>August 1 – 31, 2009</p> <p>August 6, 2009</p>	<ul style="list-style-type: none"> All families have been exposed to community options <i>Letter sent to SEVTC parents regarding selection criteria and process</i> Advisory Committee meets 	<p>September 30, 2009</p> <p>September 1, 2009</p> <p>September 24, 2009</p>
	NEW SEVTC CONSTRUCTION	<ul style="list-style-type: none"> DGS receives final proposals for SEVTC and community homes construction 	<p>July 17 2009</p>	<ul style="list-style-type: none"> <i>DGS/DBHDS evaluation committee meets to evaluate PPEA proposals</i> Begin negotiation of interim agreement 	<p>August 17 & 28, 2009</p> <p>August 17, 2009</p>	<ul style="list-style-type: none"> Interim agreement signed
COMMUNITY		<ul style="list-style-type: none"> DGS receives final proposals for SEVTC and community homes construction 	<p>July 17 2009</p>	<ul style="list-style-type: none"> <i>DGS/DBHDS evaluation committee meets to evaluate PPEA proposals</i> Begin negotiation of interim agreement CSB directors work with DBHDS and DGS to determine potential locations for community homes based on financing model offered by PPEA awardee 	<p>August 17 & 28, 2009</p> <p>August 17, 2009</p> <p>August 1 – 31, 2009</p>	<ul style="list-style-type: none"> Interim agreement signed CSBs determine final locations for community homes based on PPEA proposal and financing options <i>CSB directors work with DBHDS and DGS to determine potential locations for community homes based on financing model offered by PPEA awardee</i>
	WORK FORCE					

**SEVTC TIMELINE and PLAN FOR DOWNSIZING – APPENDIX A
QUARTERLY UPDATE – June 30, 2012**

	OCTOBER - DECEMBER 2009		JANUARY 2010 – MARCH 2010		APRIL 2010 – JUNE 2010	
	IMPLEMENTATION ACTIONS	TARGET DATE	IMPLEMENTATION ACTIONS	TARGET DATE	IMPLEMENTATION ACTIONS	TARGET DATE
ASSESSMENT & CHOICE	<ul style="list-style-type: none"> Advisory Committee meets monthly 	October 1 – December 31, 2009	<ul style="list-style-type: none"> Selection committee meets in anticipation of community transitions 	March 15, 2010	<ul style="list-style-type: none"> Selection committee meets every 30 days CSBs directly engage families and individuals who meet community criteria 	April 15, May 15, June 15, 2010 April – June 2010
NEW SEVTC CONSTRUCTION	<ul style="list-style-type: none"> Space and design phase continues for SEVTC Comprehensive agreement signed Detailed response from developers due Developer selected 	October 1 – December 31, 2009 December 21, 2009 November 2, 2009 December 2009	<ul style="list-style-type: none"> Design of SEVTC completed SEVTC on-campus site development and assessments Design phase and interim agreements completed 	February 2010 March 31, 2010 March 31, 2010	<ul style="list-style-type: none"> SEVTC on-campus construction begins 	June 2010
COMMUNITY	<ul style="list-style-type: none"> Property acquisition for community homes begins Complete community housing design Comprehensive agreement signed Detailed response from developers due Developer selected 	October 1 – December 31, 2009 December 31, 2009 December 31, 2009 November 2, 2009 December 2009	<ul style="list-style-type: none"> Design phase and interim agreements completed Property acquisition for community homes begins ICF/MR technical assistance group begins 	March 31, 2010 Jan – March 31, 2010 March 10, 2010	<ul style="list-style-type: none"> Construction of community homes begins ICF/MR Certification technical assistance workgroup continues 	June 2010 April - June 2010
WORKFORCE	<ul style="list-style-type: none"> Workforce reduction plan for SEVTC proposed based on PPEA construction timelines and location of community homes SEVTC staff training assessments and plan for transitioning workforce to community homes developed 	October 31, 2009 November 30, 2009	<ul style="list-style-type: none"> Workforce reduction plan for SEVTC proposed based on PPEA construction timelines and location of community homes SEVTC staff training assessments and plan for transitioning workforce to community homes developed Staff training in community competencies begins 	January 31, 2010	<ul style="list-style-type: none"> Workforce reduction plan for SEVTC proposed based on PPEA construction timelines and location of community homes 	April 1 – June 30, 2010

**SEVTC TIMELINE and PLAN FOR DOWNSIZING – APPENDIX A
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		JULY – SEPTEMBER 2010		OCTOBER –DECEMBER 2010		JANUARY – SEPTEMBER 2011	
		IMPLEMENTATION ACTIONS	TARGET DATE	IMPLEMENTATION ACTIONS	TARGET DATE	IMPLEMENTATION ACTIONS	TARGET DATE
ASSESSMENTS AND CHOICE		<ul style="list-style-type: none"> CSBs directly engaging families to explore community options 	July 1 – September 30, 2010	<ul style="list-style-type: none"> CSBs directly engaging families to explore community options 	October 1 – December 31, 2010	<ul style="list-style-type: none"> At least 75 individuals transitioned to community settings (90 beds constructed in the community) CSBs directly engaging families to explore community options 	
		<ul style="list-style-type: none"> SEVTC Construction begins 	July 1 – September 30, 2010	<ul style="list-style-type: none"> SEVTC Construction begins 	October 1 – December 31, 2010	<ul style="list-style-type: none"> SEVTC Construction Completed-continues 	September 2011
NEW SEVTC CONSTRUCTION		<ul style="list-style-type: none"> Community construction begins ICF/MR Certification technical assistance workgroup continues 	July 1 - September 30, 2010 July 1 - September 30, 2010	<ul style="list-style-type: none"> Some community ICF/MR completed Community construction continues ICF/MR Certification technical assistance workgroup continues 	October 31, 2010 October 1 – December 31, 2010 October 1 – December 31, 2010	<ul style="list-style-type: none"> Community construction completed, homes begin to come online in January March May 2011 	September 2011
							<ul style="list-style-type: none"> Some SEVTC staff transition with residents to community placements
COMMUNITY							
WORKFORCE							

**SEVTC TIMELINE and PLAN FOR DOWNSIZING – APPENDIX A
QUARTERLY UPDATE – June 30, 2012**

		OCTOBER - DECEMBER 2011		JANUARY – MARCH 2012		APRIL – JANUARY 2013	
		IMPLEMENTATION ACTIONS	TARGET DATE	IMPLEMENTATION ACTIONS	TARGET DATE	IMPLEMENTATION ACTIONS	TARGET DATE
ASSESSMENTS AND CHOICE		<ul style="list-style-type: none"> CSBs directly engaging families to explore community options 	October 1 – December 31, 2011	<ul style="list-style-type: none"> CSBs directly engaging families to explore community options 	January 1 – March 31, 2012	<ul style="list-style-type: none"> At least 75 individuals transitioned to community settings 	January 15, 2013
	NEW SEVTC CONSTRUCTION	<ul style="list-style-type: none"> Cluster of 5 homes completed at SEVTC 6 community-based waiver homes completed Cluster of 5 more homes completed at SEVTC 	October 31, 2011 October 31, 2011 December 31, 2011	<ul style="list-style-type: none"> Last cluster of 5 homes completed at SEVTC (total 15) 	February 29, 2012		
COMMUNITY		<ul style="list-style-type: none"> Community construction of ICF/MR homes ongoing ICF/MR Certification technical assistance workgroup continues 	October 1 – December 31, 2011 October 1 – December 31, 2011	<ul style="list-style-type: none"> Community ICF/MR completed ICF/MR Certification technical assistance workgroup continues 	September 2012 January 1 – March 31, 2012	<ul style="list-style-type: none"> Certification and licensure of community ICFs/MR homes Occupancy of community ICFs/MR homes 	June - August, 2012 January 15, 2013
	WORKFORCE	Some SEVTC staff transition with residents to community placements	October 1 – December 31, 2011	Some SEVTC staff transition with residents to community placements	January 1 – March 31, 2012	<ul style="list-style-type: none"> Some SEVTC staff transition with residents to community placements 	April – January 2013