



COMMONWEALTH of VIRGINIA

HAROLD W. CLARKE
DIRECTOR

Department of Corrections

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August 29, 2014

MEMORANDUM

TO: The Honorable Terry McAuliffe
Governor of Virginia

VIA: The Honorable Brian Moran
Secretary of Public Safety and Homeland Security

FROM: Harold W. Clarke
Director of Virginia Department of Corrections

SUBJECT: Code Section 53.1-5.1
Report on Human Research Review Committee Activities FY 2014

Attached is the report on the Virginia Department of Corrections Human Subject Research Review Committee activities required by the Code of Virginia in Section 53.1-5.1. I have prepared copies of this report for the House and Senate as required. Please advise me if you need additional information regarding this report.



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TO: Cookie Scott,
Deputy Director of Administration

FROM: Carla W. Ford, M.A.
Chair, Human Subject Research Review Committee

DATE: August 29, 2014

SUBJECT: HSRRC 2014 FY Annual Report

According to the Code of Virginia, § 53.1-5.1, The Board of Corrections shall promulgate regulations pursuant to the Administrative Process Act (§ [2.2-4000](#) et seq.) to effectuate the provisions of Chapter 5.1 (§ [32.1-162.16](#) et seq.) of Title 32.1 for human research, as defined in § [32.1-162.16](#), to be conducted or authorized by the Department. The regulations shall require the Human Subject Research Review Committee to submit to the Governor, the General Assembly, and the Director or his designee at least annually a report on the human research projects reviewed and approved by the committee and shall require the committee to report any significant deviations from the proposals as approved.

Attached you will find the FY2014 Human Subject Research Review Committee (HSRRC) Report. The HSRRC reviewed **12** research requests made to the Department of Corrections from July 1, 2013 – June 30, 2014. Of these requests, the Committee and the Regional Operations Chiefs approved **4** new proposals and **3** one year extensions. A listing of the approved studies is provided in the report.

Attached is a copy of the report and cover memo to the Governor of Virginia. A copy of this memorandum with the annual report requires Director Harold Clarke's review and signature. If you have any questions or comments, please contact me.

cc: Harold W. Clarke
Dewey Jennings



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Virginia Department of Corrections Human Subject Research Review Committee Report

FY 2014

According to the Code of Virginia, § 53.1-5.1, The Board of Corrections shall promulgate regulations pursuant to the Administrative Process Act (§ [2.2-4000](#) et seq.) to effectuate the provisions of Chapter 5.1 (§ [32.1-162.16](#) et seq.) of Title 32.1 for human research, as defined in § [32.1-162.16](#), to be conducted or authorized by the Department. The regulations shall require the Human Subject Research Review committee to submit to the Governor, the General Assembly, and the Director or his designee at least annually a report on the human research projects reviewed and approved by the committee and shall require the committee to report any significant deviations from the proposals as approved.

During FY 2014, the Human Subject Research Review Committee (HSRRC) reviewed **12** human subject research requests received by the Virginia Department of Corrections. The received research requests were processed as follows:

Research Requests Fiscal Year 2014	
Status	Number
Approved Research Requests	4
Denied Research Requests	2
Research Requests Under Revisions	2
Researchers Opting Out of the Review Process	1
Research Extensions Granted	3
Total	12
Approval Withdrawn	0

Of the **12** human subject research requests, the Committee and the Regional Operations Chiefs approved **4** proposals. Below is a list of the **4** new proposals approved by the HSRRC and the Virginia Department of Corrections and the **3** proposals that were granted one year extensions.

Title (Approved Research Requests)	Affiliation
Best Practices, Results, and Recidivism: Effective Instruction for Offenders	Virginia State University
Norfolk Mental Health Court Evaluation-Phase I	Old Dominion University, The Social Science Research Center (SSRC),
Program for the International Assessment of Adult Competencies (PIAAC): 2014 National Supplement Prison Study	United States Department of Education's National Center for Education Statistics (NCES)
Transportability of Evidence-Based Practices in Virginia	George Mason University, Center for Advancing Correctional Excellence
Title (Research Extensions Granted)	Affiliation
Institutions of Higher Education Targeted Violence Study	Federal Bureau of Investigation - Behavioral Analysis Unit - 2 (FBI/BAU-2) National Center for the Analysis of Violent Crime, and the U.S. Department of Education
Jail-Based Treatment to Reduce Substance Abuse, Recidivism, and Risky Behavior	George Mason University
National Longitudinal Survey of Youth 1997 (NLSY97)	Bureau of Labor Statistics in the Department of Labor, fielded on their behalf by the National Organization for Research (NORC) at the University of Chicago

Harold W. Clarke, Director

Date