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JOEL H. PECK CLERK OF THE COMMISSION P.O. BOX 1197 RICHMOND, VIRGINIA 23218-119

STATE CORPORATION COMMISSION Office of the Clerk

December 1, 2015

The Honorable Terence R. McAuliffe Governor of Virginia	The Honorable Charles J. Colgan The Honorable Walter A. Stosch Co-Chairs, Senate Committee on Finance
The Honorable S. Chris Jones Chair, House Committee on Appropriations	The Honorable Frank M. Ruff, Jr. Chair, Senate Committee on General Laws and Technology
The Honorable Terry C. Kilgore	The Honorable John C. Watkins
Chair, House Committee on Commerce	Chair, Senate Committee on Commerce
and Labor	and Labor
Maurice A. Jones	Karen R. Jackson
Secretary of Commerce and Trade	Secretary of Technology

Re: Enhancements to the SCC eFile and Business One Stop Systems

Dear Governor, Committee Chairmen, and Secretaries:

As required by legislation passed during the 2013 and 2014 sessions of the General Assembly - SB 1137 (2013), HB 1760 (2013) and HB 167 (2014) - this letter reports on progress to implement enhancements to the State Corporation Commission's business entity electronic filing system (SCC eFile) and the Business Permitting Center maintained by the Department of Small Business and Supplier Diversity (DSBSD). The Business Permitting Center is known as Business One Stop.

The above-referenced legislation requires the State Corporation Commission (SCC) and DSBSD: (1) By December 1, 2014, to implement a hyperlink between SCC eFile and Business One Stop. The purpose of the hyperlink is to facilitate the collection of user information by Business One Stop. (2) "By June 30, 2018, fully integrate processes and forms" related to forming a business entity.

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We reported in our December 1, 2014 letter that the hyperlink between the two systems was successfully implemented on October 29, 2014. As stated in previous reports, during implementation of a contract to replace the Clerk's Information System (CIS) (a mainframe system that contains business entity data of record in the Office of the Clerk of the SCC), work would begin to perform the necessary analysis to achieve the goal of fully integrating the processes and forms between SCC eFile and Business One Stop.

The SCC entered into a contract in January 2015 that involved replacement of the CIS as well as implementation of an enterprise-wide electronic document management and workflow system. Based on efforts to create a statement of work to implement the contract, it became evident that the attempt to combine two distinct business needs into a single, complex project put the overall project at risk in terms of completion time and cost. Consequently, the contract was canceled on October 21, 2015, and the SCC is evaluating how best to proceed to accomplish the goals of CIS replacement, document management and compliance with the integration mandate of the aforesaid legislation.

We appreciate the opportunity to report on the progress made to date on these important projects. If you have any questions, please do not hesitate to let us know.

Respectfully submitted,

Joel H. Peck Clerk of the State Corporation Commission

11/23/15 Trace

Director of the Department of Small Business and Supplier Diversity