



Virginia Department of  
Behavioral Health &  
Developmental Services

# **Fiscal Year 2020**

## **(Item 313.J.4.d)**

**October 1, 2020**

***DBHDS Vision: A Life of Possibilities for All Virginians***

# Fiscal Year 2020 Annual Report

## Preface

Item 313.J.4.d of the 2015 Appropriation Act requires the Department (DBHDS) to submit an annual report to the Governor and the General Assembly.

### *313.I.4.d*

*4.a. The Department of Medical Assistance Services (DMAS) shall amend the CL waiver to add 145 new slots effective July 1, 2020 and an additional 95 slots effective July 1, 2021. An amount estimated at \$5,653,333 the first year and \$9,357,240 the second year from the general fund and \$5,653,333 the first year and \$9,357,240 the second year from nongeneral funds is provided to cover the anticipated costs of the new slots. These estimated amounts assume that 20 of the additional slots in each year may be filled with individuals transitioning from facility care. DMAS shall seek federal approval for necessary changes to the CL waiver to add the additional slots.*

*b. The Department of Medical Assistance Services (DMAS) shall amend the FIS waiver to add 640 new slots effective July 1, 2020 and an additional 455 slots effective July 1, 2021. An amount estimated at \$10,581,760 the first year and \$18,104,730 the second year from the general fund and \$10,581,760 the first year and \$18,104,730 the second year from nongeneral funds is provided to cover the anticipated costs of the new slots. These estimated amounts assumes that five of the additional slots in each year may be filled with individuals transitioning from facility care. DMAS shall seek federal approval for necessary changes to the FIS waiver to add the additional slots.*

*c. In addition to the new slots added in 4.a. and b., the Department of Medical Assistance Services (DMAS) shall amend the CL waiver to add 15 new slots effective July 1, 2020 and an additional 15 slots effective July 1, 2021. The Department of Medical Assistance Services (DMAS) shall amend the FIS waiver to add 10 new slots effective July 1, 2020 and an additional 10 slots effective July 1, 2021. These slots shall be held as reserve capacity by the Department of Behavioral Health and Developmental Services (DBHDS) to address emergency situations. An amount estimated at \$750,168 the first year and \$1,500,335 the second year from the general fund and \$750,168 the first year and \$1,500,335 the second year from nongeneral funds is provided to cover the anticipated costs of the emergency slots. DMAS shall seek federal approval for necessary changes to the CL and FIS waivers to add the additional slots. Beginning July 1, 2018, DBHDS shall provide a quarterly report on the use of the emergency slots provided in this paragraph.*

*d. The Department of Medical Assistance Services, in collaboration with the Department of Behavioral Health and Developmental Services, shall separately track all costs, placements and services associated with the additional slots added in paragraphs J.4.a., J.4.b., and J.4.c. above. By October 1 of each year, the department shall report this data to the Chairmen of the House Appropriations and Senate Finance Committees and the Director, Department of Planning and Budget.*

# Fiscal Year 2020 Annual Report

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## Executive Summary

For FY 2019, the General Assembly approved 1,695 new slots as demonstrated below in Table 1.

**Table 1: DD Waiver Slot Distribution for FY 2019 and FY 2020**

Slot Distribution (FY19 - FY20)	FY 2019	FY 2020	Total
CL - Facility Transitions	60	60	120
CL - Regular (No Restriction)	129	135	264
CL - Reserve/Emergency	25	25	50
FIS - Regular (No Restriction)	414	481	895
FIS - Priority 1 Waitlist	-	326	326
BI - Regular (No Restriction)	-	40	40
<b>Total</b>	<b>628</b>	<b>1,067</b>	<b>1,695</b>

Of the 1,695 new slots, Item 303.I.4.f requires annual tracking of the 1,319 slots (highlighted yellow in the above table) outlined in I.4a, I.4.b. I.4.c.

For this reporting period, data supporting the 603 FY 2019 slots has been reported. These slots were assigned to the Community Services Boards (CSB) in September 2018. Following CSB distribution, the board assigns the slots to individuals. Once assigned, time is necessary for Case Managers to help connect individuals with services. And even more time is necessary for the chosen provider to begin billing for services. In order to account for the timing of the operational process, it was determined by both agencies (DMAS and DBHDS) that reporting statistics for the year post assignment provides the most accurate information.

For the 603 slots assigned in FY 2019 and tracked in FY 2020, this report itemizes costs, placements, and authorized services. As the details of this report are reviewed, it is important to remember that this is only a cohort of the larger population of active Developmental Disability (DD) waivers.

## Cost Tracking

In order to track costs, DBHDS identified the 603 slots by their slot ID and the individuals were associated with the slots by unique identifiers (Client ID and Medicaid ID number). Because slots can turnover throughout a given fiscal year, some of the identified slots will have more than one associated unique identifier. As such, there are 674 individuals associated with the 603 slots.

Of the 674 individuals, there were 37 individuals pending Medicaid eligibility at the time of the data pull.

DBHDS used the DMAS Budget methodology for all waiver expenditure reporting and captured claims paid during SFY20. This does not mean that services were received during SFY20. This methodology is used to account for the 1 year lag in service payments (i.e., services received during May 2020 are not required to be reported/adjudicated until April 2021).

Expenditures in this report only include services which are explicitly tied to the DD waivers.

**Table 2: FY 2020 DD Waiver Expenditures**

<b>Service category/description</b>	<b>SFY2020 Expenditures</b>
<b>ADDITIONAL OPTIONS</b>	<b>\$173,376</b>
ASSISTIVE TECHNOLOGY	\$68,346
BENEFITS PLANNING	\$6,660
ELECTRONIC BASED HOME SUPPORTS	\$24,147
ENVIRONMENTAL MODIFICATIONS	\$69,649
ENVIRONMENTAL MODIFICATIONS- MAINTENANCE	\$4,574
<b>CASE MANAGEMENT</b>	<b>\$1,765,347</b>
OTHER WAIVER SERVICES	\$1,765,347
<b>CRISIS SUPPORT OPTIONS</b>	<b>\$351,998</b>
CENTER BASED CRISIS SUPPORTS- NON-PROFESSIONAL	\$30,317
CENTER BASED CRISIS SUPPORTS- PROFESSIONAL	\$249,191
COMMUNITY BASED CRISIS SUPPORTS- PROFESSIONAL	\$28,916
CRISIS SUPPORT SERVICES- NON-PROFESSIONAL	\$11,348
CRISIS SUPPORT SERVICES- PROFESSIONAL	\$32,225
<b>EMPLOYMENT AND DAY OPTIONS</b>	<b>\$2,757,600</b>
COMMUNITY COACHING	\$43,244
COMMUNITY ENGAGEMENT	\$497,656
COMMUNITY GUIDE	\$248
GROUP DAY SUPPORT	\$1,669,532
GROUP DAY SUPPORT SERVICES- CUSTOMIZED RATE	\$44,715
GROUP SUPPORTED EMPLOYMENT	\$82,895
INDIVIDUAL SUPPORTED EMPLOYMENT	\$414,932
SPECIALIZED CHILDCARE	\$4,377
<b>MEDICAL AND BEHAVIORAL SUPPORT OPTIONS</b>	<b>\$2,533,981</b>
PERS MONITORING	\$30
PRIVATE DUTY NURSING- LPN	\$2,028,168
PRIVATE DUTY NURSING- RN	\$217,650
SKILLED NURSING- LPN	\$71,900
SKILLED NURSING- RN	\$4,608
THERAPEUTIC CONSULTATION- OTHER PROF	\$67,165
THERAPEUTIC CONSULTATION- THERAPIST	\$144,461
<b>PERSONAL CARE AND RELATED SERVICES</b>	<b>\$7,425,594</b>
CD COMPANION CARE	\$261,649
CD EMPLOYEE MGMT TRAINING	\$13,989
CD INITIAL COMP VISIT	\$11,256
CD MANAGEMENT TRAINING	\$19,641
CD PERSONAL ASSISTANCE	\$3,965,294
CD REASSESSMENT VISIT	\$35,252

CD RESPITE CARE	\$540,375
CD ROUTINE VISIT	\$83,131
COMPANION CARE	\$196,192
PERSONAL ASSISTANCE	\$2,104,501
RESPITE CARE	\$194,312
<b>RESIDENTIAL OPTIONS</b>	<b>\$11,380,312</b>
GROUP HOME- CUSTOMIZED RATE	\$157,387
GROUP HOME RESIDENTIAL >=4 PERSON	\$5,480,540
GROUP HOME RESIDENTIAL 12 PERSON	\$63,045
GROUP HOME RESIDENTIAL 5 PERSON	\$436,775
GROUP HOME RESIDENTIAL 6 PERSON	\$925,592
GROUP HOME RESIDENTIAL 8 PERSON	\$175,513
IN-HOME SUPPORT SERVICES SIZE 1	\$2,802,968
IN-HOME SUPPORT SERVICES SIZE 2	-\$1,155
IN-HOME SUPPORTS	\$36,544
SPONSORED RESIDENTIAL	\$982,357
SUPPORTED LIVING RESIDENTIAL	\$320,747
<b>Grand Total</b>	<b>\$26,388,208</b>

## Placements

For each individual occupying one of the slots in the study with a corresponding WaMS living situation, reported below are their placements as defined by the chosen living situation entered in the Waiver Management System (WaMS) by the support coordinator.

The total number in Table 3 will be greater than the number of slots (603) due to slot turnover, but lower than the total number of individuals (674) assigned to the slots because placement occurs post waiver assignment. See defined living situations and living situation statistics for the population reviewed below in Table 3.

**Table 3: DD Waiver WaMS Living Situations**

<b>Living Situation</b>	<b>Definition</b>	<b># of Ind. in this setting</b>
Building Independence	(1) Services are provided to adults (18 and older) by a provider that provides supports to secure and maintain a self-sustaining, independent living situation in the community  (2) All persons on BI regardless of living situation.	0
Group Home	Services are provided in a DBHDS licensed group home which provides direct supports as necessary to each person to enable him/her to reside successfully in the community.	105
Living Independently	An individual resides in his/her own apartment/house in the community. Individual may reside with a roommate of the individual's choice.	37
Living with Family	Services take place in the individual's family home or community settings. Services are designed to ensure the health, safety and welfare of the individual. Family is parents or relatives who are typically unpaid.	458
Sponsored Residential	Services take place in a DBHDS licensed sponsored residential home where the homeowners (sponsors) are the paid caregivers who provide support as necessary so that the individual can reside successfully in the community.	16
Supported Living	Services take place in an apartment setting operated by a DBHDS licensed provider that provides 'round the clock availability of staff who have the ability to respond in a timely manner enabling individuals to reside successfully in the community.	16
	Total:	632

## Services

Table 4 provides insight into the DBHDS authorized DD waiver services attached to the individuals occupying the slots studied. An authorized service does not equate to a utilized service. Utilization is determined by expenditures.

**Table 4: Authorized DD Waiver Services**

<b>Service</b>	<b>Procedure Code</b>	<b>Unique Ind per Authorized Service</b>
Assistive Technology Only	T1999	40
Assistive Technology, Maintenance Costs Only	T1999U5	1
Benefits Planning Services	T1023	17
Center-Based Crisis Supports	H2011U1	10
Center-Based Crisis Supports	H2011UA	8
Community Coaching	97127	8
Community Coaching	T2013	8
Community Engagement	T2021	95
Community Guide	H2015	1

Community-Based Crisis Supports	H0040	2
Community-Based Crisis Supports	H0040U1	15
Companion	S5135	16
Companion - CD	S5136	45
Crisis Support Services	T2034	1
Crisis Support Services	T2034U1	12
Electronic-based Home Supports	A9279	7
Environmental Modifications Only	S5165	19
Group Day Support	97150	186
Group Day Support Services (customized rate)	T2025	2
Group Home (customized rate)	T2016	2
Group Residential Supports 12 Person Group Homes	H2022U9	1
Group Residential Supports 4 or Fewer Person Homes	H2022UA	77
Group Residential Supports 5 Person Group Homes	H2022U2	12
Group Residential Supports 6 Person Group Homes	H2022U3	22
Group Residential Supports 8 Person Group Homes	H2022U5	4
In Home Residential Support - Historical	H2014	17
Individual & Family Caregiver Training	S5111	2
In-Home Residential Support, 1 person	H2014UA	122
In-Home Residential Support, 3 people	H2014U3	1
PERS Installation	S5160	1
PERS Monitoring	S5161	1
Personal Assistance	T1019	76
Personal Assistance - CD	S5126	226
Private Duty Nursing, Licensed Practical Nurse	T1003	20
Private Duty Nursing, Registered Nurse	T1002	3
Respite	RESPI	94
Respite - CD	S5150	225
Shared Living	T1020	1
Skilled Nursing, Licensed Practical Nurse	S9124	6
Skilled Nursing, Registered Nurse	S9123	5
Sponsored Residential	T2033	24
Supported Employment, Group - 2 or Fewer Members per Staff	H2024UA	1
Supported Employment, Group - More Than 2, Up to 4 Members per Staff	H2024U2	5
Supported Employment, Group - More Than 4 Members per Staff	H2024U3	6
Supported Employment, Individual	H2023	60
Supported Living	H0043	6
Therapeutic Consultation, Other Professionals	97530	26
Therapeutic Consultation, Therapists, Behavior Analysts, Rehab. Engineers	97139	69



## **Conclusion**

All costs, living situations, and authorized services for the FY 2019 slots included in the study have been captured and presented in this report.

FY 2020 slots were assigned to CSBs last calendar year and had not yet incurred a full year of expenses as of June 30, 2020. Statistics related to this population will be reported in next year's report.