REPORT TO THE COMMISSIONER: ACTIVITIES OF THE VIRGINIA DEPARTMENT OF HEALTH INSTITUTIONAL REVIEW BOARD CALENDAR YEAR 2019

Submitted by

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Regulations for the conduct of human research, developed and approved by the Virginia Board of Health, became effective on July 1, 1993 and were most recently updated on January 14, 2016. The regulations apply to the department, including any local health department and to any facility operated, funded or licensed by the department which conducts or which proposes to conduct or authorize research which uses human participants. According to those regulations, prior to the initiation of a human research project, a description of the proposed project shall be submitted to a research review committee for review and approval. The Virginia Department of Health (VDH) subsequently appointed an Institutional Review Board (IRB). In addition, a guidance document, *Virginia Department of Health Institutional Review Guidelines and Procedures*, was developed and updated in March 2016.

The Office for Human Research Protections (OHRP), within the U.S. Department of Health and Human Services (DHHS), is responsible for ensuring the safety and welfare of people who participate in HHS-sponsored research. VDH has voluntarily registered and has applied for and received Federalwide Assurance for its IRB from OHRP. Registration with OHRP facilitates DHHS's effort to establish effective communication with IRBs. In addition, receiving an assurance from OHRP formalizes an institution's commitment to protect human subjects.

Depending on the level of risk of the research protocol and the participant population, IRBs may conduct either exemption review, expedited review or full board review.

Under the Code of Federal Regulations 45 CFR 46.104 certain categories of research that present little or no risk to human subjects (non-vulnerable subjects) do not require ongoing monitoring and review by an IRB. However, IRB staff, in consultation with the IRB chair, must make the determination that the study does indeed meet the criteria for exemption before the research study may commence. This type of review is called "exempt review." If a study falls into one of the exempt categories, researchers still have ethical responsibilities to protect participants' rights. If the risks to human subjects appear questionable or the project does not fit into the federally defined categories for exemption, the IRB will notify the investigator that he/she must submit the study as a new protocol for either full or expedited review.

Under the Code of Federal Regulations 45 CFR 46.110, certain categories of human subject research involving no more than minimal risk, as well as minor changes to approved research, qualify for what is called "expedited review." In these instances, a designated IRB member (or group of members) reviews the proposed research rather than the entire IRB, with final review and approval by the IRB chair.

When full board review is necessary (based on level of risk, the inclusion of vulnerable subjects, e.g.,), the research proposal is presented and discussed at a meeting at which a quorum of IRB members is present. For the research to be approved, it must receive the approval of a majority of those voting members present. This is considered "full board review.

In July 2017, Dr. Bethany Geldmaker was elected by the IRB members to serve as the VDH IRB chair. Dr. Geldmaker has been an active member of the IRB since 2001.

VDH IRB meetings were held quarterly during 2019: January 14, April 22, July 15, and November 4. Minutes are available on request. The following is a summary of the activities and actions of the VDH IRB as per state "Regulations for the Conduct of Human Research," 12VAC5-20-50, during calendar year 2019.

Review Type	Approved	Not Approved
Full Review	1	1
Expedited Review	18	0
Exempt Review	5	0

I. A DESCRIPTION OF EACH HUMAN RESEARCH PROJECT REVIEWED:

A. Full Reviews

Study #: 70003 -C

Title: Urine Colorimetry for tuberculosis Pharmacokinetics

Evaluation in Children and Adults

Principal Investigator: Scott Heysell, MD, MPH, University of Virginia (UVA) **Approved:** March 19, 2019 (Previously approved by Rutgers/UVA)

Study Purpose: The purpose of this study is to determine if urine

colorimetry during active TB can provide insight comparable to the gold standard blood-based therapeutic drug monitoring and would improve the current "one-size-fits-all" approach to TB around the world

Study #: 1-2019

Title: Exposure to Violence as a Precursor to Incarceration for

Women

Principal Investigator: Sophie Wenzel, MPH, DrPH, Virginia Tech

Not Approved: July 15, 2019 The project, as submitted, does not adequately address the risks for vulnerable participants (prisoners) as required by Federal Regulation 45 CFR 46 subpart C

Study Purpose: The purpose of this study is to better understand incarcerated women's exposure to violence prior to incarceration.

B. Expedited Reviews

Study #: 40276

Title: Prevalence and Effects of Racial Discrimination among

Women Who Recently Gave Birth

Principal Investigator: Jasmine Zapata, MD, MPH, University of Wisconsin-

Madison

Approved: January 11, 2019

Study Purpose: The purpose of this study is to describe the prevalence of racial discrimination among non-white childbearing women in the United States, and to explore whether associations exist between experiences of racial discrimination and maternal and infant health outcomes.

Study #: 40277

Title: Listeria Knowledge among Pregnant WIC Clients in the

Lord Fairfax Health District

Principal Investigator: Meredith Davis, MPH, Virginia Department of Health

(VDH), Lord Fairfax Health District

Approved: January 11, 2019

Study Purpose: The purpose of this study is to describe listeria-related knowledge and behaviors among pregnant WIC clients in the Lord Fairfax Health District.

Study #: 40278

Title: Investigating the Relationship of Maternal Perceptions,

Psychosocial and Sociodemographic Characteristics

with Complementary Infant Feeding Practices

Principal Investigator: Michelle Dorsey Graf, RN, MSN, CFNP, Vanderbilt

University

Approved: January 18, 2019 (previously approved by Vanderbilt

IRB)

Study Purpose: The purpose of this pilot study is to describe maternal perceptions (i.e., risks, benefits, and priorities) of complementary feeding practices and to

investigate the relationships of maternal perceptions, psychosocial, and sociodemographic variables with complementary feeding practices.

Study #: 70000

Title: Opioid Pharmacy Qualitative Studies - Fairfax

Principal Investigator: Julia Painter, PhD, MPH and Benjamin Schwartz, MD

Virginia Department of Health (VDH) Fairfax County

Health Department

Approved: February 14, 2019

Study Purpose: The purpose of this qualitative study is to gain insights into 1) pharmacists' experiences and observations with counseling and educating around opioids, 2) opportunities and barriers to routinely provide counseling and education, and 3) thoughts about changes that could be made by pharmacists and pharmacies to improve opioid-related outcomes for patients.

Study #: 70001

Title: Using Smart Phone Technology to support HIV

Medication Refill Adherence in Virginia - Pilot Study

Principal Investigator: Rebecca Dillingham, MD, MPH Virginia Department of

Health (VDH) Fairfax County Health Department

Approved: February 28, 2019

Study Purpose: The purpose of this study is to test a smartphone application (app), adapted from a HIV engagement and retention in care app, that aims to

aid the process of medication refills.

Study #: 70002

Title: Micro-Elimination of Hepatitis C in Rural Appalachian

Community; Understanding Patient Treatment

Experiences

Principal Investigator: Rebecca Dillingham, MD, MPH University of Virginia

(UVA)

Approved: March 27, 2019 (previously approved by the UVA IRB)

Study Purpose: The purpose of this study is to better understand

Southwest Virginia patients' experiences with hep C treatment via surveys, case studies, and interviews.

Study #: 70004

Title: HIV Pre-Exposure Prophylazis (PrEP)

Principal Investigator: Mary Beth Cox, MSW, MPH, Virginia Department of

Health (VDH)

Approved: March 29, 2019

Study Purpose: The purpose of this study is to gain a better

understanding of client adherence practices to PrEP and to evaluate the influence of social media and marketing on clients' PrEP decisions.

Study #: 70005-C

Title: Implementation Interventions for Pre-Exposure

Prophylaxis in Public Health Sexually transmitted Infectious Clinics and Related PrEP Minority Trends

Principal Investigator: Allison Webel, PhD, RN Case Western Reserve

University

Approved: April 16, 2019 (previously approved as by the Case

Western Reserve IRB)

Study Purpose: The purpose of this study is to explore interventions used in the implementation of HIV pre-exposure prophylaxis (PrEP) programs in public health sexually transmitted infections (STI) clinics and associated equity in PrEP outcomes by race/ethnicity and other demographic factors. The research aims include:

- 1) Describe barriers and facilitators to minority PrEP services delivery in public health sexually transmitted infections (STI) clinics.
- 2) Examine trends in PrEP care continuum outcomes overall and by STI clinic with respect to patient race/ethnicity, age, sex, gender identity, income, insurance status, and HIV risk factors.
- 3) Characterize implementation interventions that distinguish PrEP services penetration in racial/ethnic minorities.

Study #: 70006

Title: Factors Associated with Treatment Disparities among

Virginia Cutaneous Melanoma Patients

Principal Investigator: Edward Prodanovic, MD, Eastern Virginia Medical

School (EVMS)

Approved: April 16, 2019 (previously approved by the EVMS IRB) **Study Purpose:** The purpose of this retrospective study is to identify if there is delay in diagnosis and treatment of patients in Virginia with melanoma, reasons for delay, and cost of treatment.

Study #: 70007

Title: Access to Allogeneic Transplant for Patients with Acute

Myelogenous Leukemia (AML) in the State of Virginia

Principal Investigator: Karen Ballen, MD, University of Virginia (UVA)

Approved: April 16, 2019 (previously approved by the National

Marrow Donor Program IRB and by the UVA IRB)

Study Purpose: The primary objective of this study is to describe

geographic and socioeconomic differences in the rate of hematocrit (HCT) and estimated

unmet need among adults diagnosed with AML in Virginia in 2013-2017.

70008 Study #:

Title: Individuals with Special Health Care Needs (ISHCN) **Principal Investigator:** Monalisa Mbaitsi, MPH, Virginia Department of Health

(VDH)

Approved: May 30, 2019

Study Purpose: The purpose of this survey is to assess the level of oral health knowledge and current oral health status of Individuals with Special Health Care Needs (ISHCN).

Study #: 70009

Title: Determining Knowledge and the Uptake of the HPV

Vaccine

Principal Investigator: Emma Mitchell, PhD, MSN, RN, University of Virginia

(UVA)

Approved: May 8, 2019 (Previously approved by UVA IRB) **Study Purpose:** The purpose of this study is to determine knowledge regarding cervical cancer and its connection to the HPV vaccination, as well as determining

how much is known about the protection the HPV vaccine provides.

Study #: 70010

Title: An Examination of Preconception Health and Health

Behaviors among Low Socioeconomic Status Women

Audra Gollenberg, PhD, Shenandoah University **Principal Investigator:** Approved:

June 3, 2019 (Previously approved by Shenandoah

University IRB)

Study Purpose: The purpose of this study is to assess the preconception

health status among high-risk, low socioeconomic diverse reproductive-aged women using established preconception health indicators; to determine barriers to accessing preconception care among high-risk reproductive age women; and to identify opportunities for engaging health department clients in preconception/inter-conception

care.

Study #: 70011

Title: Elucidating Multi-Level Factors Related to Cancer

Incidence and Morality in Virginia: Insights Gained

from the Mid-Atlantic Twin Registry

Principal Investigator: Bernard F. Fuemmeler, PhD, MPH, Virginia

Commonwealth University (VCU)

Approved: June 20, 2019 (Previously approved by VCU IRB) **Study Purpose:** The study purpose is to create a database that merges

data from the Virginia Cancer Registry (VCR) with data from the Mid-Atlantic Twin Registry (MATR) to be used for research purposes. Merging both databases can yield information regarding the following questions: 1) What is the heritability for the most common cancers among those represented in the linked database? 2) To what degree is the influence of genes on cancer risk modified by environmental pollutants and/or aspects of neighborhood deprivation? 3) What are the risk/protective factors associated with cancer?

Study #: 70012

Title: Clinical Characteristics and Laboratory Testing of

Psittacosis

Principal Investigator: Kelly Shaw, PhD, Virginia Department of Health (VDH)

Approved: July 24, 2019

Study Purpose: The purpose is to study the characteristics of this rare disease in a large group of people and increase awareness of psittacosis among clinical and public health professionals.

Study #: 70013

Title: Communicating with Parents about their Child's Sickle

Cell Trait Result

Principal Investigator: Beth Tarini, MD, MS, Children's National Health System

VDH Collaborator: Shamaree Cromartie

Approved: August 7, 2019 (previously approved by the Children's

National Medical Center IRB)

Study Purpose: The purpose of this study is to explore the counseling experiences of parents after they received their child's sickle cell trait (SCT) results from the Newborn screening and to identify parent's preferences about sickle cell trait disclosure and counseling.

Study #: 70014

Title: Quantifying Cancer Morbidity Risks for Users of

Smokeless and Combustible Tobacco Products

Principal Investigator: Thomas M. Dubinin, PhD, William E. Wecker Associates

Approved: October 3, 2019

Study Purpose: The purposed of this study is to quantify the morbidity and mortality risks of oral cancer (along with cancers of the esophagus, larynx, stomach and pancreas) based on the route of exposure to tobacco products.

Study #: 70015

Title: Assessing Factors that Influence Flu Vaccine Uptake in a

Point of Dispensing (POD) Setting

Principal Investigator: Laura P. Kornegay, MD, MPH, Virginia Department of

Health, Central Shenandoah Health District

Approved: October 18, 2019

Study Purpose: The purposes of this study is to 1) determine how persons found out about the POD; 2) determine motivators for persons to obtain a flu shot in the POD setting; 3) determine the source of information about flu vaccines in correlation with the targeted population groups for pandemic vaccination.

C. Exempt

Study # 50220

Title: Epidemiological Study of Land Applied Biosolids **Principal Investigator:** Dwight Flammia, PhD Virginia Department of Health

(VDH)

Approved: January 18, 2019

Study Purpose: The purpose of the study is to determine if the

application of biosolids to land in Virginia poses a human health risk due to the presence of pathogens in the biosolids.

Study #: 50221

Title: Finding Commonalities among Inactive Enrolled

Virginia WIC Participants in Order to Provide

Suggestions for Outreach and Retention

Principal Investigator: Megan Williams, Virginia Department of Health (VDH)

Approved: July 2, 2019

Study Purpose: The purpose of this project is to determine if enrolled, inactive Virginia WIC participants have common traits that would allow intervention to increase participation.

Study #: 50222

Title: Improving Care Giver Adherence to Recommended

Infant Care Practices

Principal Investigator: Anne Kellams, MD University of Virginia (UVA)

Approved: August 28, 2019 (previously approved by the UVA IRB) **Study Purpose:** The purpose of this pilot qualitative study is to develop and test strategies to improve caregiver adherence to the American Academy of Pediatrics recommended infant care practices related to safe sleep, and to enhance the reach by adding a culturally sensitive prenatal education component targeting low-income populations who are most at risk for sleep-related infant deaths.

Study #: 50223

Title: Small Area Estimation of Disability Prevalence **Principal Investigator:** Derek A. Chapman, PhD, Virginia Commonwealth

University (VCU)

Approved: October 3, 2019 (Previously approved by the VCU IRB) **Study Purpose:** The purpose of this project is to determine which neighborhoods within Virginia have high disability prevalence and how neighborhood characteristics impact disability prevalence rates.

Study #: 50224

Title: Postpartum Health Care Receipt among Immigrant

Women in the United States

Principal Investigator: Maria W. Steenland, ScD, Brown University

VDH Contact: Kenesha Smith Barber, PhD

Approved: November 1, 2019 (Previously approved by the Brown

University IRB)

Study Purpose: The purpose of this project is to examine whether state

policies for public health care coverage of immigrant women during pregnancy are associated with postpartum health care, health behaviors and health outcomes in this population.

II. ANY SIGNIFICANT DEVIATIONS FROM PROPOSALS AS APPROVED:

None

III. COMMITTEE MEMBERS, QUALIFICATIONS FOR SERVICE ON THE COMMITTEE, AND INSTITUTIONAL AFFILIATION:

VDH IRB 2019 Membership			
Committee Members	Qualifications for Service	Institutional Affiliation	
IRB CHAIR			
Bethany Geldmaker	PhD in Nursing and Child Health Care Consultant	Office of Family Health Services, Division of Child and Family Health, Virginia Department of Health	
VOTING MEMBERS			
Denise Bonds	MD, MPH District Health Director	Thomas Jefferson Health District, Virginia Department of Health	
Ana Lizzette Colón	MPH, Eastern Region Epidemiologist	Eastern Region Field Office, Virginia Department of Health	
Bethany J. Geldmaker	PhD in Nursing and Child Health Care Consultant	Division of Child and Family Health, Virginia Department of Health	
Pastor Maceo Freeman	Doctor of Divinity	St. Paul's Baptist Church	
Blythe Balestrieri	PhD in Criminal Justice	Virginia Commonwealth University	
ALTERNATE MEMBERS			
Cecilia Barbosa	PhD in Public Health	Community (non-VDH Representative)	