



Virginia Department of  
Behavioral Health &  
Developmental Services

# **Fiscal Year 2021 DD Waiver Slots Allocation Report**

**(Item 320.S.1 of the 2020 Appropriation Act)**

**August 1, 2021**

*DBHDS Vision: A Life of Possibilities for All Virginians*

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# Item 320. S.1 DD Waiver Slots Allocation Report

## Preface

Item 320 S.1. of the 2020 *Appropriation Act* requires the Department of Behavioral Health and Developmental Services (DBHDS) to report on the allocation of Developmental Disability waivers annually. The language reads:

*S.1. The Department of Behavioral Health and Developmental Services for each fiscal year shall report the number of waiver slots, by waiver, that becomes available for reallocation during the year. In addition, the department shall report on the allocation of emergency waiver slots and reserve slots, which shall include how many slots were allocated in the year and for which waiver. The information on reserve slots shall indicate for which waiver the reserve slot was used and the waiver from which the individual moved that was granted the slot. Furthermore, the report shall show the allocations by each Community Services Board from new waiver slots, emergency slots and reserve slots for the year. The department shall submit this report for the prior fiscal year, ending June 30, by September 1 of each year.*

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## Introduction

This report covers the period of July 1, 2020 to June 30, 2021 and shows the allocation and distribution of waiver slots by type, region, and Community Services Board (CSB).

## Newly Funded DD Waiver Slot Allocations

DBHDS allocates DD waiver slots to each of the Community Services Boards according to the following rubric. When at least 40 new slots are funded by the General Assembly in either the Community Living or Family and Individual Supports waivers, one slot will be allocated by DBHDS to each CSB. Additional slots, up to the total number of available slots for a given waiver, will be allocated by DBHDS to each CSB for individuals living within that CSB's catchment area based upon the following factors and criteria:

1. The population within the specific CSB catchment area
2. The percentage of Medicaid eligible individuals in the catchment area
3. The percentage of individuals on the "Priority One" portion of the statewide DD waiver waiting list

If there are Building Independence waiver slots to be allocated, they are allocated by region. In FY21, there were no new Building Independence waiver slots funded.

Table One and Table Two detail the allocation of the slots.

<b>Table 1: FY21 Allocation of Building Independence Waiver Slots by Dev. Service Region</b>	<b>SFY21 BI slots</b>
Central	0
Eastern	0
Northern	0
Southwestern	0
Western	0
<b>Total</b>	<b>0</b>

<b>Table 2: FY21 Allocation of Community Living and Family &amp; Individual Supports Waiver Slots by CSB</b>	<b>SFY21 CL slots</b>	<b>SFY21 FIS slots</b>
Alexandria Community Services Board	2	10
Alleghany Highlands Community Services Board	1	1
Arlington County Community Services Board	3	14
Blue Ridge Behavioral Healthcare	3	20
Chesapeake Integrated Behavioral Healthcare	3	15
Chesterfield Community Services Board	7	34
Colonial Behavioral Health	1	12
Crossroads Community Services Board	-1	7
Cumberland Mountain Community Services Board	1	7
Danville-Pittsylvania Community Services	2	9
Dickenson County Behavioral Health Services	1	1
District 19 Community Services Board	2	12
Eastern Shore Community Services Board	1	3
Fairfax-Falls Church Community Services Board	18	95
Goochland-Powhatan Community Services	1	3
Hampton-Newport News Community Services Board	4	22
Hanover County Community Services Board	2	10
Harrisonburg-Rockingham Community Services Board	2	10
Henrico Area Mental Health and Developmental Services	5	26
Highlands Community Services	1	5
Horizon Behavioral Health	3	16
Loudoun County MH, SA and Developmental Services	6	32
Middle Peninsula-Northern Neck Community Services	2	11
Mount Rogers Community Services Board	2	8
New River Valley Community Services	3	15
Norfolk Community Services Board	4	18
Northwestern Community Services	2	18
Piedmont Community Services	2	10
Planning District One Behavioral Health Services	1	6
Portsmouth Department of Behavioral Healthcare	1	7
Prince William County Community Services Board	7	38
Rappahannock Area Community Services Board	7	35
Rappahannock-Rapidan Community Services Board	2	13
Region Ten Community Services Board	3	20
Richmond Behavioral Health Authority	3	16
Rockbridge Area Community Services	1	2
Southside Community Services Board	1	5
Valley Community Services Board	2	10
Virginia Beach Community Services Board	5	28
Western Tidewater Community Services Board	2	11
<b>Total</b>	<b>119</b>	<b>635</b>

Regarding Table 2 above, it will be noted that at the time of the slot allocation one CSB above had borrowed two slots from DBHDS for emergency situations. Thus the one slot they would have received was captured to repay part of their debt and the “negative slot allocation” was rectified the next time that CSB had a CL waiver slot come available for reassignment (i.e., DBHDS used that available slot to repay the remainder of their slot debt). Also, the table shows 119 slots allocated, while there were 120 slots funded. That is due to the fact that the math in the algorithm used for allocation occasionally does not always lead to a clean distribution of all slots (it may lead to a fraction of a slot going to multiple CSBs, which is not possible). This year, as in some past years, the extra slot was utilized as a reserve slot.

## Reassigned Slots

When a person leaves their DD waiver slot due to death, relocation out of state, loss of eligibility, or declining further waiver services, that slot becomes available for reassignment by the local Waiver Slot Assignment Committee to another person in that CSB’s jurisdiction.

Table Three breaks out the turnover by waiver.

<b>Table 3: Number of Existing Slots by Waiver Reassigned in FY21</b>	
<b>Waiver</b>	<b>Number of Slots Reassigned (Turn Over)</b> [May be multiple times per slot]
Building Independence	54
Family & Individual Supports	196
Community Living	336
<b>TOTAL</b>	<b>586</b>

## Emergency Slots

DBHDS maintains a pool of Community Living waiver slots that may be assigned to individuals deemed in an emergency status according to the following criteria:

1. Child protective services has substantiated abuse/neglect against the primary caregiver and has removed the individual from the home; or for adults where
  - a) adult protective services has found that the individual needs and accepts protective services, or
  - b) abuse/neglect has not been founded, but corroborating information from other sources (agencies) indicate that there is an inherent risk present and there are no other caregivers available to provide support services to the individual.

2. Death of primary caregiver or lack of alternative caregiver coupled with the individual's inability to care for themselves and endangerment to self or others without supports.
3. An individual who transitioned from one of the DD Waivers to the Medicaid Works program who chooses to resume DD Waiver services.

The third criterion above was added to the list this fiscal year with the implementation of the permanent DD waiver regulations following General Assembly legislation.

Requests for emergency slots are forwarded by the Community Services Board (CSB) to DBHDS to determine if the individual's situation meets the above criteria. Once the pool of emergency slots reaches a minimum of three slots, the next nonemergency waiver slot that becomes available at the CSB in receipt of an emergency slot shall be reassigned to the emergency slot pool in order to ensure emergency slots remain to be assigned to future emergencies within the Commonwealth's fiscal year.

Table Four details the waivers and CSBs to which emergency slots were assigned.

<b>Table 4: Number of Emergency Slots Assigned in FY21 by Waiver by CSBs</b>			
<b>CSB</b>	<b>Community Living Waiver</b>	<b>Family and Individual Support Waiver</b>	<b>Total</b>
ALEXANDRIA CSB	1		<b>1</b>
BLUE RIDGE CSB	1		<b>1</b>
CHESAPEAKE INTERGRATED BEHAV HEALTHCARE	6		<b>6</b>
CHESTERFIELD CSB	4		<b>4</b>
COLONIAL BEHAVIORAL HEALTH	1	2	<b>3</b>
CROSSROADS CSB	3		<b>3</b>
CUMBERLAND MNTL HLTH CTR	1		<b>1</b>
DANVILLE-PITTSYLVANIA CSB	1		<b>1</b>
FAIRFAX-FALLS CHURCH CSB	7	4	<b>11</b>
GOOCHLAND POWHATAN MENTAL HLTH	1		<b>1</b>
HAMPTON-NN CSB	4		<b>4</b>
HANOVER COUNTY COMMUNITY SERVICES	1		<b>1</b>
HENRICO AREA MENTAL HLTH & DEVLPMNTL SVC	5		<b>5</b>
HIGHLANDS CMNTY SVCS BOARD	1		<b>1</b>
LOUDOUN COUNTY CSB	1		<b>1</b>
MOUNT ROGERS CSB	1		<b>1</b>
NEW RIVER VALLEY COMMUNITY SERVICES	1		<b>1</b>
NORTHWESTERN COMMUNITY SVCS	3		<b>3</b>

PIEDMONT COMMUNITY SERVICES	2		2
PRINCE WILLIAM COUNTY CSB	1	1	2
RAPPAHANNOCK AREA CSB	3	1	4
RAPPAHANNOCK RAPIDAN CSB	2	1	3
REGION TEN CMMNTY SVCS BRD	2		2
RICHMOND BHVRL HLTH AUTHORITY	3	2	5
VALLEY CSB	1		1
WESTERN TIDEWATER CSB	2		2
<b>TOTAL</b>	<b>60</b>	<b>11</b>	<b>71</b>

## Reserve Slots

Reserve slots may be used for transitioning an individual who, due to documented changes in his support needs or a preference for supports found in a waiver with a less comprehensive array of supports, requires or requests a move from the DD waiver in which he is presently enrolled into another of the DD waivers to access necessary services.

If there are insufficient reserve slots in a given waiver to accommodate the needs of individuals whose needs qualify them to move from their current waiver, the names of those individuals meeting the criteria are kept on a chronological list until such time as a slot in that waiver is available.

The permanent DD waivers’ regulations, which became effective 3/31/21, include a requirement that CSBs make vacated “turn-over” slots available to individuals from that CSB who are waiting for a reserve slot in that waiver. The slot of the individual moving into the awaited reserve slot is then assigned by the usual means (Waiver Slot Assignment Committee) to a person on the waiting list. With DMAS’s consent, some CSBs adopted the practice even prior to the effective date of the permanent regulations. This has resulted in greater movement between the waivers when needed.

There is currently a seven person chronological list of people waiting to transfer to a Community Living waiver slot, with 13 additional persons currently under review to determine if they meet criteria. Eight people are on the chronological list awaiting a Family and Individual Support waiver slot, with two more currently under review.

Table Five details the movement of unique individuals between waivers and Table Six details the CSB at which each transfer occurred.

<b>Table 5: Total Reserve Slot Transitions in FY21 by Waiver</b>	
Transition from Building Independence to Family and Individual Supports	22
Transition from Building Independence to Community Living	5
Transition from Family and Individual Supports to Community Living	50
<b>TOTAL</b>	<b>77</b>



<b>Table 6: Reserve Slots Assigned in FY21 by CSB</b>			
<b>CSB</b>	<b>Transfer from BI to FIS</b>	<b>Transfer from BI to CL</b>	<b>Transfer from FIS to CL</b>
ALEXANDRIA COMMUNITY SERV BD	1		
ALLEGHANY HIGHLANDS CSB			
ARLINGTON MENTAL HEALTH			1
BLUE RIDGE CSB	1		1
CHESAPEAKE INTERGRATED BEHAV HEALTHCARE	2		1
CHESTERFIELD CSB	1		1
CITY OF VA BEACH CSB			
COLONIAL BEHAVIORAL HEALTH			1
CROSSROADS CSB			1
CUMBERLAND MNTL HLTH CTR			
DANVILLE-PITTSYLVANIA COM SERV			
DICKENSON COUNTY BEHAVIORAL HEALTH SVCS			
DISTRICT 19 MEN HLTH SER			
EASTERN SHORE CSB			1
FAIRFAX-FALLS CHURCH CSB	4		15
GOOCHLAND POWHATAN MENTAL HLTH			
HAMPTON-NN CSB		1	3
HANOVER COUNTY COMMUNITY SERVICES			1
HARRISONBURG-ROCKINGHAM CSB			
HENRICO AREA MENTAL HLTH & DEVLP MNTL SVC	3		2
HIGHLANDS CMNTY SVCS BOARD			
HORIZON BEHAVIORAL HEALTH			1
LOUDOUN COUNTY CSB	1		3
MIDDLE PENINSULA NORTHERN NECK CSB			
MOUNT ROGERS CSB			1
NEW RIVER VALLEY COMMUNITY SERVICES			
NORFOLK COMMUNITY SERVICES BOARD	3	1	3
NORTHWESTERN COMMUNITY SVCS			3
PIEDMONT COMMUNITY SERVICES		2	1
PLANNING DISTRICT ONE CSB			
PORTSMOUTH DEPT OF BEHAVIORAL			2
PRINCE WILLIAM COUNTY CSB			2

RAPPAHANNOCK AREA COMMUNITY SERVICES BRD	1		3
RAPPAHANNOCK RAPIDAN CSB	1		1
REGION TEN CMMNTY SVCS BRD	3	1	
RICHMOND BHVRL HLTH AUTHORITY	1		1
ROCKBRIDGE AREA COMMUNITY SVS BOARD			1
SOUTHSIDE CSB			
VALLEY CSB			
WESTERN TIDEWATER CSB			
<b>TOTALS</b>	<b>22</b>	<b>5</b>	<b>50</b>

## Conclusion

DBHDS continues to work with families of individuals in need of services to ensure they have access to those services most appropriate for their needs. DBHDS also continues to work to make sure that the DD waiver slots allocated to serve Virginians with disabilities are being used as effectively as possible.